

STATE OF ARIZONA
DEPARTMENT OF INSURANCE

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In the Matter of:
MAFARA, JACK DOMINIC JR
(National Producer No. 5717944)

Respondent.

No. 20A- 026-INS
CONSENT ORDER

The Arizona Department of Insurance (“Department”) has received evidence that **Jack Dominic Mafara JR. (“Mafara” or “Respondent”)** violated provisions of Title 20, Arizona Revised Statutes (“A.R.S”). Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Finding of Fact are true, and consent to the entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Mafara first obtained his insurance producer license in 2001. His license, national producer number 5717944, was last renewed on April 1, 2019, and is scheduled to expire on March 31, 2023.
2. Mafara is, and was at all material times, licensed as an Arizona resident insurance producer with a line of authority in life insurance.
3. Mafara’s business and mailing address of record with the Department is C/O East West Financial, 1475 Palma Road, Bullhead City, Arizona 86442. Mafara’s business e-mail address of record with the Department is jack@eastwestfinancialservicesllc.com.

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1 **Failure to Report**

2 4. On January 30, 2019, The State Bar of Arizona (“The State Bar”) issued a
3 Cease and Desist Order against Mafara for engaging in the unauthorized practice of law in
4 Arizona.

5 5. Mafara failed to notify the Director of this administrative action within thirty
6 (30) days after final disposition of this matter.

7 **Unlicensed Business Entity**

8 6. On February 21, 2008, East West Financial Services I LLC (“East West”) was
9 registered as a business entity with the Arizona Corporation Commission. Mafara is listed as
10 the manager for East West. On November 18, 2019, a Department investigation revealed that
11 Mafara was marketing insurance business through East West.

12 7. Mafara failed to obtain a business entity insurance producer license for East
13 West prior to engaging in activities that require an Arizona insurance license.

14 8. On January 31, 2020, the Department conducted an Examination Under Oath
15 of Mafara and addressed his failure to obtain a business entity insurance producer license for
16 East West. The Department instructed Mafara to immediately report the administrative
17 action taken by The State Bar and also to obtain a business entity insurance producer license
18 for East West if he wishes to conduct insurance business through this entity.

19 9. On March 12, 2020, the Department conducted a subsequent investigation to
20 ensure Mafara’s compliance following the examination. The investigation revealed that
21 Mafara failed to notify the Department of the State Bar administrative action and also failed
22 to obtain a business entity insurance producer license for East West while continuing to

1 conduct insurance business through the entity.

2 **CONCLUSIONS OF LAW**

3 1. The Director has jurisdiction over this matter.

4 2. Respondent's conduct, as described above, constitutes a violation of Title 20 or
5 any rule, subpoena or order of the Director, within the meaning of A.R.S. § 20-295(A)(2).

6 3. Respondent's conduct, as described above, constitutes failure to notify the
7 director within thirty days after the final disposition of the matter of any administrative
8 action taken against the producer in another jurisdiction, in violation of A.R.S. § 20-301(A).

9 4. Respondent's conduct, as described above, constitutes failing to apply for a
10 business entity license, within the meaning of A.R.S. § 20-285(C).

11 5. Grounds exist for the Director to suspend for not more than twelve months or
12 revoke Respondent's insurance producer license, pursuant to A.R.S. § 20-295(A).

13 6. Grounds exist, in addition to or instead of any suspension or revocation, for the
14 Director to impose a civil penalty of not more than \$250.00 dollars for each unintentional
15 failure or violation, up to an aggregate civil penalty of \$2,500.00, or impose a civil penalty of
16 not more than \$2,500.00 for each intentional failure or violation, up to an aggregate civil
17 penalty of \$15,000.00, within the meaning of A.R.S. § 20-295(F).

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1 **ORDER**

2 IT IS HEREBY ORDERED THAT:

3 Jack Dominic Mafara Jr, National Producer Number 5717944, shall immediately pay
4 a civil penalty of **five hundred dollars (\$500.00)** for deposit into the State General Fund.

5 Effective this **20th** day of **April**, 2020.

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8 Scott Greenberg, Deputy Director
9 for Christina Corieri, Interim Director
Arizona Department of Insurance

10 **CONSENT TO ORDER**

11 1. Respondent has reviewed the foregoing Findings of Fact, Conclusions of Law
12 and Order.

13 2. Respondent admits to the jurisdiction of the Director of the Arizona
14 Department of Insurance and admits the foregoing Findings of Fact and consent to the entry
15 of the foregoing Conclusions of Law and Order.

16 3. Respondent is aware of his right to notice and to a hearing, at which he may be
17 represented by counsel, present evidence and examine witnesses.

18 4. Respondent irrevocably waives his right to such notice and hearing and to any
19 court appeals relating to this Consent Order.

20 5. Respondent states that no promise of any kind or nature whatsoever, except as
21 expressly contained in this Consent Order, was made to induce him to enter into this Consent
22 Order and that he has entered into this Consent Order voluntarily.

1 6. Respondent acknowledges that the acceptance of this Consent Order by the
2 Director is solely to settle this matter against him and does not preclude any other agency,
3 officer, or subdivision of this state including the Department from instituting civil or criminal
4 proceedings as may be appropriate now or in the future not related to this matter.

5 7. Respondent acknowledges that this Consent Order is an administrative action
6 that the Department will report to the National Association of Insurance Commissioners
7 (NAIC). Respondents further acknowledge that he must report this administrative action to
8 any and all states in which he holds an insurance license and must disclose this
9 administrative action on any license application.

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11 4-6-20
Date



Jack Dominic Mafara Jr. (NPN # 5717944)

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19 **COPY** of the foregoing delivered by U.S. First-Class Mail
this 20th day of April, 2020, to:

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21 Jack D. Mafara Jr.
22 C/O East West Financial Services LLC
1475 Palma Road
Bullhead City, AZ 86442
Respondent

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1 **COPY** delivered same date to:

2 Deian Ousounov, Regulatory Legal Affairs Officer
3 Ana Starcevic, Paralegal Project Specialist
4 Catherine M. O'Neil, Consumer Legal Affairs Officer
5 Steven Fromholtz, Assistant Director, Licensing Division
6 Aqueelah Currie, Licensing Supervisor
7 Jeff Eavenson, Investigator
8 Linda Lutz, Legal Assistant
9 Arizona Department of Insurance
10 100 North 15th Avenue, Suite 261
11 Phoenix, Arizona 85007-2630

12 **COPY** delivered electronically, same date to:

13 Jack D. Mafara Jr.
14 jack@eastwestfinancialservicesllc.com
15 Respondent



16 _____
17 Francine Juarez

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Signature:

Email: