

**ARIZONA DEPARTMENT OF FINANCIAL INSTITUTIONS
ANNUAL REGULATORY AGENDA**

December 1, 2019

The Arizona Department of Financial Institutions (the “Department”) has prepared and made this annual regulatory agenda available to the public in accordance with the requirements of A.R.S. § 41-1021.02. The Department expects to follow this regulatory agenda, however, the annual regulatory agenda does not prohibit the Department from undertaking any rulemaking action even if that action is not included in this annual regulatory agenda.

Rules of the Department are at [4 A.A.C. 46](#) and [20 A.A.C. 4](#).

NOTE: The Department is not currently engaging in informal or formal rulemaking activities, except where approved by the Governor’s Office, in compliance with Executive Orders 2015-01, 2016-03, 2017-02, 2018-02 and 2019-01 which declare a rule moratorium through December 31, 2019. The Department will post a notice on its [Rulemaking Web Page](#) and will send a notice to stakeholders if the public process on any particular rule package begins or resumes.

The Department has listed the dates it currently anticipates the actions to be taken, but may change the dates as necessary. The following information is provided as required by A.R.S. § 41-1021.02:

I. Identification of Rulemaking

Rule	Notice of Docket Opening (expected to be published)	Notice of Proposed Rulemaking (expected to be published)	Notice of Final Rulemaking (submitted to GRRC)	Notice of Exempt Rulemaking (expiration of exemption)
Appraisal Rules	1 st Quarter 2020	1 st Quarter 2020	1 st Quarter 2020	n/a

Debt Management and Escrow Rules	2 nd Quarter 2020	2 nd Quarter 2020	2 nd Quarter 2020	n/a
Mortgage Rules	3 rd Quarter 2020	3 rd Quarter 2020	3 rd Quarter 2020	n/a

- II. Federal funds for proposed rulemaking: None**
- III. Notice of final rulemaking: see table**
- IV. Review of existing rules due: Articles 12-17 due November 30, 2020**
- V. Rulemakings terminated in 2019: None**
- VI. Privatization option or nontraditional regulatory approach considered: None**

Valid as of December 1, 2019.