

STATE OF ARIZONA
DEPARTMENT OF INSURANCE AND FINANCIAL INSTITUTIONS

In the Matter of:
COTA, ADRIAN A.
(National Producer No. 18453699)

Respondent.

No. 21A-051-INS
CONSENT ORDER

RECEIVED
OCT 5 2021
DEPT OF INSURANCE &
FINANCIAL INSTITUTIONS

The Arizona Department of Insurance and Financial Institutions (“Department”) has received evidence that **Adrian A. Cota (“Cota” or “Respondent”)** violated provisions of Title 20, Arizona Revised Statutes (“A.R.S”). Respondent wishes to resolve this matter without the commencement of formal proceedings, and admits the following Findings of Fact are true, and consents to the entry of the following Conclusions of Law and Order.

FINDINGS OF FACT

1. Respondent is, and was at all material times, licensed as an Arizona resident insurance producer with a single line of authority in personal lines (property and casualty).

2. The Department issued an insurance producer license, National Producer Number 18453699, on July 18, 2017; the license expired on June 30, 2021.

3. Respondent’s business address of record with the Department is 5353 West Bell Road, Glendale, AZ 85308. Respondent’s mailing address of record with the Department is 7853 West Brown Street, Peoria, AZ 85345. Respondent’s business email address of record is insurancelicensing@csaa.com.

4. On or about September 23, 2020, the Clerk of the Superior Court in and for the County of Maricopa, notified the Department that the Court found Respondent guilty of

1 “facilitation to commit possession of narcotic drugs for sale,” a class 6 felony undesignated,
2 in case number CR2019-153677-001. The Court sentenced Respondent to two (2) years
3 supervised probation beginning September 16, 2020, as well as a period of incarceration in
4 the county jail for 60 days beginning January 1, 2021.

5 5. Pursuant to A.R.S. § 20-301(B), Respondent is required to report the
6 conviction to the Department “within thirty days after the filing date of a criminal
7 conviction.” The Clerk filed the conviction on September 18, 2020.

8 6. During the course of investigation, it was discovered that Cota’s address of
9 record with Department was invalid. The Department advised Cota to update his contact
10 information by January 22, 2021. Cota has failed to update his address(es) on record with
11 the Department.

12 CONCLUSIONS OF LAW

13 1. The Director has jurisdiction over this matter.

14 2. Respondent’s conduct, as described above, constitutes violating any provision
15 of this title (Title 20) or any rule, subpoena or order of the Director, within the meaning of
16 A.R.S. § 20-295(A)(2).

17 3. Respondent’s conduct, as described above, constitutes having been convicted
18 of a felony, within the meaning of A.R.S. § 20-295(A)(6).

19 4. Respondent’s conduct, as described above, constitutes failing to report his
20 criminal conviction within thirty (30) days of the filing of the conviction, within the meaning
21 of A.R.S. § 20-301(B).

22 5. Respondent’s conduct, as described above, constitutes failing to inform the
23

1 director in writing within thirty days of any change in the licensee's, within the meaning of
2 A.R.S. § 20-286(C)(1).

3 6. Grounds exist for the Director to suspend for not more than twelve months or
4 revoke Respondent's insurance producer license, pursuant to A.R.S. § 20-295(A).

5 7. Grounds exist, in addition to or instead of any suspension or revocation, for the
6 Director to impose a civil penalty of not more than \$250.00 for each unintentional failure or
7 violation up to an aggregate civil penalty of \$2,500.00, or impose a civil penalty of not more
8 than \$2,500.00 for each intentional failure or violation, up to an aggregate civil penalty of
9 \$15,000.00, within the meaning of A.R.S. § 20-295(F).

10 **ORDER**

11 IT IS HEREBY ORDERED THAT:

12 1. **Adrian A. Cota** shall immediately pay a civil penalty of three hundred fifty
13 dollars (\$350.00) for deposit into the state general fund.

14
15 Effective this 12th day of October, 2021.

16 

17 _____
18 Evan G. Daniels, Director
19 Arizona Department of Insurance and
20 Financial Institutions
21
22
23

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23

CONSENT TO ORDER

1. Respondent has reviewed the foregoing Findings of Fact, Conclusions of Law and Order.

2. Respondent admits to the jurisdiction of the Director of the Arizona Department of Insurance and Financial Institutions and admits the foregoing Findings of Fact and consents to the entry of the foregoing Conclusions of Law and Order.

3. Respondent is aware of his right to notice and to a hearing, at which he may be represented by counsel, present evidence and examine witnesses.

4. Respondent irrevocably waives his right to such notice and hearing and to any court appeals relating to this Consent Order.

5. Respondent states that no promise of any kind or nature whatsoever, except as expressly contained in this Consent Order, was made to induce him to enter into this Consent Order and that he has entered into this Consent Order voluntarily.

6. Respondent acknowledges that the acceptance of this Consent Order by the Director is solely to settle this matter against him and does not preclude any other agency, officer, or subdivision of this state including the Department from instituting civil or criminal proceedings as may be appropriate now or in the future not related to this matter.

7. Respondent acknowledges that this Consent Order is an administrative action that the Department will report to the National Association of Insurance Commissioners (NAIC). Respondent further acknowledges that he must report this administrative action to

1 any and all states in which he holds an insurance license and must disclose this
2 administrative action on any license application.

3

4 9/27/21
Date

Adrian Cota
Adrian A. Cota, NPN 18453699

5

6

7

8

9

10

11

12

13 **ORIGINAL** of the foregoing filed
14 this 13th day of October, 2021, in the office of:

15

Evan G. Daniels, Director
15 Arizona Department of Insurance and Financial Institutions
Attn: Ana Starcevic
16 100 North 15th Avenue, Suite 261
Phoenix, AZ 85007-2630

17

18 **COPY** of the foregoing delivered by E-mail, to:

19

Adrian A. Cota
19 5353 W. Bell Rd.
Glendale, AZ 85308
20 Adrian90Cota@gmail.com
Respondent

21

Adrian A. Cota
22 7853 W. Brown St.
Peoria, AZ 85345

23

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23

COPY of the foregoing delivered/emailed same date, to:

Deian Ousounov, Regulatory Legal Affairs Officer
Ana Starcevic, Paralegal Project Specialist
Catherine M. O'Neil, Consumer Legal Affairs Officer
Steven Fromholtz, Licensing Manager, Licensing Division
Linda Lutz, Legal Assistant, Licensing Division
Aqueelah Currie, Licensing Supervisor
Wendy Greenwood, Investigator
Arizona Department of Insurance and Financial Institutions
100 North 15th Avenue, Suite 261
Phoenix, Arizona 85007-2630

Francine Juarez

Francine Juarez