

# State of Arizona Budget Request

## Department of Financial Institutions

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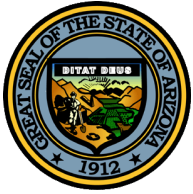
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# State of Arizona Budget Request

State Agency

State Department of Financial Institutions

A.R.S. Citation: **6-110**

## Governor DUCEY:

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2021.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

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(signature)

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Date Prepared: **Monday, September 2, 2019**

### Appropriated Funds

	FY 2020 Approp	FY 2021 Fund. Issue	FY 2021 Total Budget
Total Amount Requested:	6,018.1	0.0	6,018.1
General Fund	1,980.9	0.0	1,980.9
Financial Services Fund	3,986.6	0.0	3,986.6
Banking Department Revolving	50.6	0.0	50.6

### Non-Appropriated Funds

	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Budget
Total Amount Planned:	253.0	0.0	253.0
Banking Department Revolving	206.4	0.0	206.4
Receivership Revolving Fund	46.6	0.0	46.6

Total: 6,271.1 0.0 6,271.1

## Revenue Schedule

**Agency:** State Department of Financial Institutions

**Fund:** AA1000 General Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4312	EXAMINATION FEES	913.0	1,248.0	1,248.0
4332	OTHER EDUCATION FEES	27.2	28.4	28.4
4339	OTHER FEES AND CHARGES FOR SERVICES	1,630.1	2,263.0	2,318.7
4372	PUBLICATIONS AND REPRODUCTIONS	1.6	1.6	1.6
4415	OCCUPATIONAL AND PROFESSIONAL LICENSES	3,683.8	3,713.8	3,332.6
4449	OTHER FEES	1,498.0	1,475.5	1,475.5
4519	OTHER FINES OR FORFEITURES OR PENALTIES	67.5	103.4	103.4
4645	CREDIT CARD DISCOUNT FEES PAID	(11.9)	(20.0)	(25.0)
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	3.0	0.0	0.0
<b>Fund Total:</b>		7,812.3	8,813.7	8,483.2

## Revenue Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
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<b>Fund:</b>	<b>BD1997 Mortgage Recovery Fund</b>
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AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4612	RESTRICTED DONATIONS	16.1	16.1	16.1
4631	TREASURERS INTEREST INCOME	4.9	5.5	6.9
<b>Fund Total:</b>		21.0	21.6	23.0

## Revenue Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Fund:</b>	<b>BD1998 Financial Services Fund</b>

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4415	OCCUPATIONAL AND PROFESSIONAL LICENSES	2,528.1	2,979.4	2,779.4
4449	OTHER FEES	1,688.3	1,692.7	1,692.7
4519	OTHER FINES OR FORFEITURES OR PENALTIES	71.2	25.0	25.0
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	14.4	3.0	3.0
<b>Fund Total:</b>		4,302.0	4,700.1	4,500.1

## Revenue Schedule

**Agency:** State Department of Financial Institutions

**Fund:** BD2126 Banking Department Revolving

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4519	OTHER FINES OR FORFEITURES OR PENALTIES	536.5	455.8	500.0
4699	MISCELLANEOUS RECEIPTS	7.6	7.6	7.6
<b>Fund Total:</b>		544.1	463.4	507.6

## Revenue Schedule

**Agency:** State Department of Financial Institutions

**Fund:** BD2500 IGA and ISA Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4901	OPERATING TRANSFERS IN	1,400.0	0.0	0.0
<b>Fund Total:</b>		1,400.0	0.0	0.0

## Revenue Schedule

**Agency:** State Department of Financial Institutions

**Fund:** BD3023 Receivership Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4699	MISCELLANEOUS RECEIPTS	50.0	0.0	0.0
4901	OPERATING TRANSFERS IN	398.2	290.5	250.6
<b>Fund Total:</b>		448.2	290.5	250.6



## Sources and Uses of Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Fund:</b>	AA1000 General Fund

<b>Cash Flow Summary</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
Revenue (From Revenue Schedule)	7,812.3	8,813.7	8,483.2
Total Available	7,812.3	8,813.7	8,483.2
Total Appropriated Disbursements	1,703.9	1,980.9	1,980.9
Balance Forward to Next Year	6,108.4	12,941.2	19,443.5

<b>Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	709.1	588.0	588.0
Employee Related Expenses	228.7	217.6	217.6
Prof. And Outside Services	179.2	243.7	243.7
Travel - In State	0.1	6.0	6.0
Travel - Out of State	4.9	12.8	12.8
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	575.1	804.8	804.8
Equipment	3.2	108.0	108.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	3.6	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>1,703.9</b>	<b>1,980.9</b>	<b>1,980.9</b>
<b>Appropriated Expenditure Total:</b>	<b>1,703.9</b>	<b>1,980.9</b>	<b>1,980.9</b>
<b>Appropriated FTE:</b>	<b>8.0</b>	<b>10.2</b>	<b>10.2</b>

**Fund Description**

OSP:

## Sources and Uses of Funds

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Fund:</b>	<b>BD1997 Mortgage Recovery Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
Balance Forward from Prior Year	2,118.2	8,247.6	21,210.4
Revenue (From Revenue Schedule)	21.0	21.6	23.0
Total Available	2,139.2	8,269.2	21,233.4
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	8,247.6	21,210.4	40,676.9

<b>Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

## Sources and Uses of Funds

**Agency:** State Department of Financial Institutions

### Fund Description

OSPB: Revenues consist of assessments levied by the Superintendent of Financial Institutions on licensed loan originators if the balance of the fund falls below two million dollars at the end of the fiscal year. The Mortgage Recovery Fund provides relief to per

## Sources and Uses of Funds

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Fund:</b>	<b>BD1998 Financial Services Fund</b>

<b>Cash Flow Summary</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
Balance Forward from Prior Year	8,441.3	9,147.9	9,861.4
Revenue (From Revenue Schedule)	4,302.0	4,700.1	4,500.1
Total Available	12,743.3	13,848.0	14,361.5
Total Appropriated Disbursements	3,595.4	3,986.6	3,986.6
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	9,147.9	9,861.4	10,374.9

<b>Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	2,612.9	2,909.9	2,909.9
Employee Related Expenses	982.1	1,076.7	1,076.7
Prof. And Outside Services	7.8	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	6.3	0.0	0.0
Equipment	1.1	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	(14.8)	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>3,595.4</b>	<b>3,986.6</b>	<b>3,986.6</b>
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	<b>3,595.4</b>	<b>3,986.6</b>	<b>3,986.6</b>
<b>Appropriated FTE:</b>	<b>49.9</b>	<b>51.0</b>	<b>51.0</b>

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Non-Appropriated FTE:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

## Sources and Uses of Funds

Agency: State Department of Financial Institutions

### Fund Description

OSP: Revenues are collected from licensing fees paid by loan originators operating in Arizona and used to regulate and license loan originators.

## Sources and Uses of Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Fund:</b>	BD2126 Banking Department Revolving

<b>Cash Flow Summary</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	626.8	284.1	200.0
Revenue (From Revenue Schedule)	544.1	463.4	507.6
Total Available	1,170.9	747.5	707.6
Total Appropriated Disbursements	50.0	50.6	50.6
Total Non-Appropriated Disbursements	836.8	496.9	457.0
Balance Forward to Next Year	284.1	200.0	200.0

<b>Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	50.0	50.6	50.6
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	50.0	50.6	50.6
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	50.0	50.6	50.6
<b>Appropriated FTE:</b>	0.0	0.0	0.0

<b>Non-Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	206.7	5.0	5.0
Travel - In State	72.2	75.0	75.0
Travel - Out of State	60.0	65.0	65.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	45.2	45.3	45.3
Equipment	41.9	16.1	16.1
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	12.6	0.0	0.0
<b>Expenditure Categories Total:</b>	438.6	206.4	206.4
Cap Transfer due to Fund Balance	398.2	290.5	250.6
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	836.8	496.9	457.0
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0

# Sources and Uses of Funds

**Agency:** State Department of Financial Institutions

## Fund Description

OSPB: Revenues include any recovered investigative costs, or attorney's fees and civil penalties recovered by the state. Funds are used to investigate and prosecute civil actions against financial entities in Arizona. Any unencumbered balance at the end of the

## Sources and Uses of Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Fund:</b>	BD2500 IGA and ISA Fund

<b>Cash Flow Summary</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	0.0	0.0	0.0
Revenue (From Revenue Schedule)	1,400.0	0.0	0.0
Total Available	1,400.0	0.0	0.0
Total Appropriated Disbursements	1,400.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

<b>Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	1,400.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	1,400.0	0.0	0.0
<b>Appropriated FTE:</b>	0.0	0.0	0.0

<b>Non-Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	0.0	0.0	0.0
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0



## Sources and Uses of Funds

**Agency:** State Department of Financial Institutions

### Fund Description

OSPB: This fund was established for state agencies as a clearing account to properly account for, control, and report receipts and disbursements associated with intergovernmental and interagency service agreements, which are not reported in other funds.

## Sources and Uses of Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Fund:</b>	BD3023 Receivership Revolving Fund

<b>Cash Flow Summary</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	1,900.8	879.3	1,123.2
Revenue (From Revenue Schedule)	448.2	290.5	250.6
Total Available	2,349.0	1,169.8	1,373.8
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	1,469.7	46.6	46.6
Balance Forward to Next Year	879.3	1,123.2	1,327.2

<b>Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
<b>Appropriated Expenditure Total:</b>	0.0	0.0	0.0
<b>Appropriated FTE:</b>	0.0	0.0	0.0

<b>Non-Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	68.4	46.0	46.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	1.3	0.6	0.6
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	1,400.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,469.7	46.6	46.6
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	1,469.7	46.6	46.6
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0

## Sources and Uses of Funds

Agency: State Department of Financial Institutions

### Fund Description

OSPB: Revenues include monies awarded and received as fees and costs in receiverships in which the superintendent was the receiver and monies received from the Banking Department Revolving Fund. Monies in the fund may be used to pay any costs incurred by the D

## Summary of Expenditure and Budget Request for All Funds

**Agency:** State Department of Financial Institutions

<b>Appropriated</b>		<b>FY 2019 Actual</b>	<b>FY 2020 Expd. Plan</b>	<b>FY 2021 Fund. Issue</b>	<b>FY 2021 Total Request</b>
Cost Center/Program:					
1	Office of Supervision	3,594.0	4,140.5	0.0	4,140.5
2	Office of Regulatory Affairs	1,740.9	1,851.8	0.0	1,851.8
3	Receiverships	14.4	25.8	0.0	25.8
		<u>5,349.3</u>	<u>6,018.1</u>	<u>0.0</u>	<u>6,018.1</u>
<b>Expenditure Categories</b>					
	FTE	57.9	61.2	0.0	61.2
	Personal Services	3,322.0	3,497.9	0.0	3,497.9
	Employee Related Expenses	1,210.8	1,294.3	0.0	1,294.3
	Professional and Outside Services	237.0	294.3	0.0	294.3
	Travel In-State	0.1	6.0	0.0	6.0
	Travel Out of State	4.9	12.8	0.0	12.8
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	581.4	804.8	0.0	804.8
	Equipment	4.3	108.0	0.0	108.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	(11.2)	0.0	0.0	0.0
	<b>Expenditure Categories Total:</b>	<u>5,349.3</u>	<u>6,018.1</u>	<u>0.0</u>	<u>6,018.1</u>

## Summary of Expenditure and Budget Request for All Funds

**Agency:** State Department of Financial Institutions

**Non-Appropriated**

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:					
1	Office of Supervision	438.6	206.4	0.0	206.4
3	Receiverships	1,469.7	46.6	0.0	46.6
		<u>1,908.3</u>	<u>253.0</u>	<u>0.0</u>	<u>253.0</u>
<b>Expenditure Categories</b>					
	FTE	0.0	0.0	0.0	0.0
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	275.1	51.0	0.0	51.0
	Travel In-State	72.2	75.0	0.0	75.0
	Travel Out of State	60.0	65.0	0.0	65.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	46.5	45.9	0.0	45.9
	Equipment	41.9	16.1	0.0	16.1
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	1,412.6	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		<u>1,908.3</u>	<u>253.0</u>	<u>0.0</u>	<u>253.0</u>

## Summary of Expenditure and Budget Request for All Funds

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
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<b>Agency Total for All Funds:</b>	7,257.6	6,271.1	0.0	6,271.1			
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## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Supervision

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>				
1-1 Office of Supervision	4,032.6	4,346.9	0.0	4,346.9
<b>Program Summary Total:</b>	4,032.6	4,346.9	0.0	4,346.9
<b>Expenditure Categories</b>				
0000 FTE Positions	41.1	43.7	0.0	43.7
6000 Personal Services	2,288.0	2,503.2	0.0	2,503.2
6100 Employee Related Expenses	830.0	926.2	0.0	926.2
6200 Professional and Outside Services	349.2	181.4	0.0	181.4
6500 Travel In-State	72.3	81.0	0.0	81.0
6600 Travel Out of State	63.8	74.9	0.0	74.9
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	367.6	502.7	0.0	502.7
8000 Equipment	47.3	77.5	0.0	77.5
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	14.4	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	4,032.6	4,346.9	0.0	4,346.9
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	942.9	1,063.3	0.0	1,063.3
BD1998-A Financial Services Fund (Appropriated)	2,601.1	3,026.6	0.0	3,026.6
BD2126-A Banking Department Revolving (Appropriated)	50.0	50.6	0.0	50.6
	3,594.0	4,140.5	0.0	4,140.5
<b>Non-Appropriated Funds</b>				
BD2126-N Banking Department Revolving (Non-Appropriate)	438.6	206.4	0.0	206.4
BD3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0	0.0	0.0
	438.6	206.4	0.0	206.4
<b>Fund Source Total:</b>	4,032.6	4,346.9	0.0	4,346.9

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Supervision

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	AA1000-A General Fund (Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Office of Supervision	942.9	1,063.3	0.0	1,063.3
	Total	942.9	1,063.3	0.0	1,063.3

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	4.0	5.0	0.0	5.0
	Personal Services	390.6	294.0	0.0	294.0
	Employee Related Expenses	125.2	108.8	0.0	108.8
	Professional and Outside Services	92.5	125.8	0.0	125.8
	Travel In-State	0.1	6.0	0.0	6.0
	Travel Out of State	3.8	9.9	0.0	9.9
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	324.6	457.4	0.0	457.4
	Equipment	4.3	61.4	0.0	61.4
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	1.8	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		942.9	1,063.3	0.0	1,063.3
<b>Fund AA1000-A Total:</b>		942.9	1,063.3	0.0	1,063.3
<b>Program 1 Total:</b>		942.9	1,063.3	0.0	1,063.3



## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Supervision

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	BD1998-A Financial Services Fund (Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Office of Supervision	2,601.1	3,026.6	0.0	3,026.6
	Total	2,601.1	3,026.6	0.0	3,026.6

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	37.1	38.7	0.0	38.7
	Personal Services	1,897.4	2,209.2	0.0	2,209.2
	Employee Related Expenses	704.8	817.4	0.0	817.4
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	(2.2)	0.0	0.0	0.0
	Equipment	1.1	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		2,601.1	3,026.6	0.0	3,026.6
<b>Fund BD1998-A Total:</b>		2,601.1	3,026.6	0.0	3,026.6
<b>Program 1 Total:</b>		2,601.1	3,026.6	0.0	3,026.6

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Supervision

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	BD2126-A Banking Department Revolving (Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Office of Supervision	50.0	50.6	0.0	50.6
	Total	50.0	50.6	0.0	50.6

### Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	50.0	50.6	0.0	50.6
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>50.0</b>	<b>50.6</b>	<b>0.0</b>	<b>50.6</b>
<b>Fund BD2126-A Total:</b>	<b>50.0</b>	<b>50.6</b>	<b>0.0</b>	<b>50.6</b>
<b>Program 1 Total:</b>	<b>50.0</b>	<b>50.6</b>	<b>0.0</b>	<b>50.6</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Supervision

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	BD2126-N Banking Department Revolving (Non-Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Office of Supervision	438.6	206.4	0.0	206.4
	Total	438.6	206.4	0.0	206.4

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	206.7	5.0	0.0	5.0
Travel In-State	72.2	75.0	0.0	75.0
Travel Out of State	60.0	65.0	0.0	65.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	45.2	45.3	0.0	45.3
Equipment	41.9	16.1	0.0	16.1
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	12.6	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>438.6</b>	<b>206.4</b>	<b>0.0</b>	<b>206.4</b>
<b>Fund BD2126-N Total:</b>	<b>438.6</b>	<b>206.4</b>	<b>0.0</b>	<b>206.4</b>
<b>Program 1 Total:</b>	<b>438.6</b>	<b>206.4</b>	<b>0.0</b>	<b>206.4</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Supervision

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	BD3023-N Receivership Revolving Fund (Non-Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Office of Supervision	0.0	0.0	0.0	0.0
	Total	0.0	0.0	0.0	0.0

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund BD3023-N Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Program 1 Total:</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Supervision

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	41.1	43.7	0.0	43.7
6000 Personal Services	2,288.0	2,503.2	0.0	2,503.2
6100 Employee Related Expenses	830.0	926.2	0.0	926.2
6200 Professional and Outside Services	349.2	181.4	0.0	181.4
6500 Travel In-State	72.3	81.0	0.0	81.0
6600 Travel Out of State	63.8	74.9	0.0	74.9
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	367.6	502.7	0.0	502.7
8000 Equipment	47.3	77.5	0.0	77.5
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	14.4	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>				
	4,032.6	4,346.9	0.0	4,346.9
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	942.9	1,063.3	0.0	1,063.3
BD1998-A Financial Services Fund (Appropriated)	2,601.1	3,026.6	0.0	3,026.6
BD2126-A Banking Department Revolving (Appropriated)	50.0	50.6	0.0	50.6
	3,594.0	4,140.5	0.0	4,140.5
<b>Non-Appropriated Funds</b>				
BD2126-N Banking Department Revolving (Non-Appropriated)	438.6	206.4	0.0	206.4
BD3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0	0.0	0.0
	438.6	206.4	0.0	206.4
<b>Fund Source Total:</b>				
	4,032.6	4,346.9	0.0	4,346.9

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions			
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Office of Supervision			
<b>Fund:</b>	BD1998-A Financial Services Fund			
	<b>Appropriated</b>			
0000 FTE	37.1	38.7	0.0	38.7
6000 Personal Services	1,897.4	2,209.2	0.0	2,209.2
6100 Employee Related Expenses	704.8	817.4	0.0	817.4
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	(2.2)	0.0	0.0	0.0
8000 Equipment	1.1	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>	2,601.1	3,026.6	0.0	3,026.6
<b>Fund Total:</b>	2,601.1	3,026.6	0.0	3,026.6
<b>Program Total For Selected Funds:</b>	2,601.1	3,026.6	0.0	3,026.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions				
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Office of Supervision				
<b>Fund:</b>	BD2126-A Banking Department Revolving				
	<b>Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	50.0	50.6	0.0	50.6
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Appropriated Total:</b>	50.0	50.6	0.0	50.6
	<b>Fund Total:</b>	50.0	50.6	0.0	50.6
	<b>Program Total For Selected Funds:</b>	50.0	50.6	0.0	50.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
<b>Program:</b>	Office of Supervision				
<b>Fund:</b>	BD2126-N Banking Department Revolving				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	206.7	5.0	0.0	5.0
6500	Travel In-State	72.2	75.0	0.0	75.0
6600	Travel Out of State	60.0	65.0	0.0	65.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	45.2	45.3	0.0	45.3
8000	Equipment	41.9	16.1	0.0	16.1
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	12.6	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	438.6	206.4	0.0	206.4
	<b>Fund Total:</b>	438.6	206.4	0.0	206.4
	<b>Program Total For Selected Funds:</b>	438.6	206.4	0.0	206.4



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions				
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Office of Supervision				
<b>Fund:</b>	BD3023-N Receivership Revolving Fund				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	0.0	0.0	0.0	0.0
	<b>Fund Total:</b>	0.0	0.0	0.0	0.0
	<b>Program Total For Selected Funds:</b>	0.0	0.0	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Supervision</b>

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	41.1	43.7
<b>Expenditure Category Total</b>	<b>41.1</b>	<b>43.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	4.0	5.0
BD1998-A Financial Services Fund (Appropriated)	37.1	38.7
<b>Fund Source Total</b>	<b>41.1</b>	<b>43.7</b>
<hr/>		
Personal Services	2,288.0	2,503.2
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>2,288.0</b>	<b>2,503.2</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	390.6	294.0
BD1998-A Financial Services Fund (Appropriated)	1,897.4	2,209.2
<b>Fund Source Total</b>	<b>2,288.0</b>	<b>2,503.2</b>
<b>Non-Appropriated</b>		
BD2126-N Banking Department Revolving (Non-Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Employee Related Expenses	830.0	926.2
<b>Expenditure Category Total</b>	<b>830.0</b>	<b>926.2</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	125.2	108.8
BD1998-A Financial Services Fund (Appropriated)	704.8	817.4
<b>Fund Source Total</b>	<b>830.0</b>	<b>926.2</b>
<b>Non-Appropriated</b>		
BD2126-N Banking Department Revolving (Non-Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Professional and Outside Services		181.4
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	25.6	
Attorney General Legal Services	275.3	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	7.1	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	(7.0)	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Supervision</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	48.2	
<b>Expenditure Category Total</b>	<b>349.2</b>	<b>181.4</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	92.5	125.8
BD1998-A Financial Services Fund (Appropriated)	0.0	0.0
BD2126-A Banking Department Revolving (Appropriated)	50.0	50.6
	<b>142.5</b>	<b>176.4</b>
<b>Non-Appropriated</b>		
BD2126-N Banking Department Revolving (Non-Appropriated)	206.7	5.0
BD3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	<b>206.7</b>	<b>5.0</b>
<b>Fund Source Total</b>	<b>349.2</b>	<b>181.4</b>
<hr/>		
Travel In-State	72.3	81.0
<b>Expenditure Category Total</b>	<b>72.3</b>	<b>81.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.1	6.0
BD1998-A Financial Services Fund (Appropriated)	0.0	0.0
	<b>0.1</b>	<b>6.0</b>
<b>Non-Appropriated</b>		
BD2126-N Banking Department Revolving (Non-Appropriated)	72.2	75.0
	<b>72.2</b>	<b>75.0</b>
<b>Fund Source Total</b>	<b>72.3</b>	<b>81.0</b>
<hr/>		
Travel Out of State	63.8	74.9
<b>Expenditure Category Total</b>	<b>63.8</b>	<b>74.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	3.8	9.9
BD1998-A Financial Services Fund (Appropriated)	0.0	0.0
	<b>3.8</b>	<b>9.9</b>
<b>Non-Appropriated</b>		
BD2126-N Banking Department Revolving (Non-Appropriated)	60.0	65.0
	<b>60.0</b>	<b>65.0</b>
<b>Fund Source Total</b>	<b>63.8</b>	<b>74.9</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		502.7
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	7.1	
Risk Management Deductible - Indemnity	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Supervision</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	59.6	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	5.4	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	40.1	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	137.5	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	2.1	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Supervision</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Repair And Maintenance - Other Equipment	2.1	
Other Repair And Maintenance	1.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	4.7	
Computer Supplies	0.9	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	18.5	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.4	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.6	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	37.6	
Books- Subscriptions And Publications	46.9	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Supervision</b>

	FY 2019 Actual	FY 2020 Expd. Plan
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	3.1	
<b>Expenditure Category Total</b>	<b>367.6</b>	<b>502.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	324.6	457.4
BD1998-A Financial Services Fund (Appropriated)	(2.2)	0.0
	<b>322.4</b>	<b>457.4</b>
<b>Non-Appropriated</b>		
BD2126-N Banking Department Revolving (Non-Appropriated)	45.2	45.3
	<b>45.2</b>	<b>45.3</b>
<b>Fund Source Total</b>	<b>367.6</b>	<b>502.7</b>

Current Year Expenditures		77.5
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Supervision</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Furniture Non-Capital Purchase	1.7	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	1.1	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	42.8	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	1.7	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>47.3</b>	<b>77.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	4.3	61.4
BD1998-A Financial Services Fund (Appropriated)	1.1	0.0
	<b>5.4</b>	<b>61.4</b>
<b>Non-Appropriated</b>		
BD2126-N Banking Department Revolving (Non-Appropriated)	41.9	16.1
	<b>41.9</b>	<b>16.1</b>
<b>Fund Source Total</b>	<b>47.3</b>	<b>77.5</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	0.0
	<b>0.0</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	14.4	0.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Supervision</b>

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>14.4</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1.8	0.0
	<b>1.8</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
BD2126-N Banking Department Revolving (Non-Appropriated)	12.6	0.0
	<b>12.6</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>14.4</b>	<b>0.0</b>

<b>Employee Retirement Coverage</b>			
	FTE	Personal Services	Fund#
Arizona State Retirement System	5.0	294.0	AA1000-A
Arizona State Retirement System	38.7	2,209.2	BD1998-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$127,200</b>
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Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0



## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Regulatory Affairs

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>				
2-1 Office of Regulatory Affairs	1,740.9	1,851.8	0.0	1,851.8
<b>Program Summary Total:</b>	1,740.9	1,851.8	0.0	1,851.8
<b>Expenditure Categories</b>				
0000 FTE Positions	16.6	17.0	0.0	17.0
6000 Personal Services	1,022.9	975.9	0.0	975.9
6100 Employee Related Expenses	377.5	361.1	0.0	361.1
6200 Professional and Outside Services	94.5	117.9	0.0	117.9
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	1.1	2.9	0.0	2.9
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	259.0	347.4	0.0	347.4
8000 Equipment	(1.1)	46.6	0.0	46.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	(13.0)	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,740.9	1,851.8	0.0	1,851.8
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	746.6	891.8	0.0	891.8
BD1998-A Financial Services Fund (Appropriated)	994.3	960.0	0.0	960.0
<b>Fund Source Total:</b>	1,740.9	1,851.8	0.0	1,851.8

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Regulatory Affairs

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	AA1000-A General Fund (Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Office of Regulatory Affairs	746.6	891.8	0.0	891.8
	Total	746.6	891.8	0.0	891.8

### Appropriated Funding

#### Expenditure Categories

FTE Positions	3.8	4.7	0.0	4.7
Personal Services	307.4	275.2	0.0	275.2
Employee Related Expenses	100.2	101.8	0.0	101.8
Professional and Outside Services	86.7	117.9	0.0	117.9
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	1.1	2.9	0.0	2.9
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	250.5	347.4	0.0	347.4
Equipment	(1.1)	46.6	0.0	46.6
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	1.8	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>746.6</b>	<b>891.8</b>	<b>0.0</b>	<b>891.8</b>
<b>Fund AA1000-A Total:</b>	<b>746.6</b>	<b>891.8</b>	<b>0.0</b>	<b>891.8</b>
<b>Program 2 Total:</b>	<b>746.6</b>	<b>891.8</b>	<b>0.0</b>	<b>891.8</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Regulatory Affairs

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	BD1998-A Financial Services Fund (Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Office of Regulatory Affairs	994.3	960.0	0.0	960.0
	Total	994.3	960.0	0.0	960.0

### Appropriated Funding

#### Expenditure Categories

FTE Positions	12.8	12.3	0.0	12.3
Personal Services	715.5	700.7	0.0	700.7
Employee Related Expenses	277.3	259.3	0.0	259.3
Professional and Outside Services	7.8	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	8.5	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	(14.8)	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	994.3	960.0	0.0	960.0
<b>Fund BD1998-A Total:</b>	994.3	960.0	0.0	960.0
<b>Program 2 Total:</b>	994.3	960.0	0.0	960.0

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Regulatory Affairs

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	16.6	17.0	0.0	17.0
6000 Personal Services	1,022.9	975.9	0.0	975.9
6100 Employee Related Expenses	377.5	361.1	0.0	361.1
6200 Professional and Outside Services	94.5	117.9	0.0	117.9
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	1.1	2.9	0.0	2.9
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	259.0	347.4	0.0	347.4
8000 Equipment	(1.1)	46.6	0.0	46.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	(13.0)	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,740.9	1,851.8	0.0	1,851.8
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	746.6	891.8	0.0	891.8
BD1998-A Financial Services Fund (Appropriated)	994.3	960.0	0.0	960.0
<b>Fund Source Total:</b>	1,740.9	1,851.8	0.0	1,851.8

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions			
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Office of Regulatory Affairs			
<b>Fund:</b>	AA1000-A General Fund			
	<b>Appropriated</b>			
0000 FTE	3.8	4.7	0.0	4.7
6000 Personal Services	307.4	275.2	0.0	275.2
6100 Employee Related Expenses	100.2	101.8	0.0	101.8
6200 Professional and Outside Services	86.7	117.9	0.0	117.9
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	1.1	2.9	0.0	2.9
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	250.5	347.4	0.0	347.4
8000 Equipment	(1.1)	46.6	0.0	46.6
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	1.8	0.0	0.0	0.0
<b>Appropriated Total:</b>	746.6	891.8	0.0	891.8
<b>Fund Total:</b>	746.6	891.8	0.0	891.8
<b>Program Total For Selected Funds:</b>	746.6	891.8	0.0	891.8

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> State Department of Financial Institutions					
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Office of Regulatory Affairs					
<b>Fund:</b> BD1998-A Financial Services Fund					
<b>Appropriated</b>					
0000	FTE	12.8	12.3	0.0	12.3
6000	Personal Services	715.5	700.7	0.0	700.7
6100	Employee Related Expenses	277.3	259.3	0.0	259.3
6200	Professional and Outside Services	7.8	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	8.5	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	(14.8)	0.0	0.0	0.0
<b>Appropriated Total:</b>		994.3	960.0	0.0	960.0
<b>Fund Total:</b>		994.3	960.0	0.0	960.0
<b>Program Total For Selected Funds:</b>		994.3	960.0	0.0	960.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Regulatory Affairs</b>

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	16.6	17.0
<b>Expenditure Category Total</b>	<b>16.6</b>	<b>17.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	3.8	4.7
BD1998-A Financial Services Fund (Appropriated)	12.8	12.3
<b>Fund Source Total</b>	<b>16.6</b>	<b>17.0</b>
<hr/>		
Personal Services	1,022.9	975.9
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>1,022.9</b>	<b>975.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	307.4	275.2
BD1998-A Financial Services Fund (Appropriated)	715.5	700.7
<b>Fund Source Total</b>	<b>1,022.9</b>	<b>975.9</b>
<hr/>		
Employee Related Expenses	377.5	361.1
<b>Expenditure Category Total</b>	<b>377.5</b>	<b>361.1</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	100.2	101.8
BD1998-A Financial Services Fund (Appropriated)	277.3	259.3
<b>Fund Source Total</b>	<b>377.5</b>	<b>361.1</b>
<hr/>		
Professional and Outside Services		117.9
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.4	
Attorney General Legal Services	48.6	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	9.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	36.5	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Regulatory Affairs</b>

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>94.5</b>	<b>117.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	86.7	117.9
BD1998-A Financial Services Fund (Appropriated)	7.8	0.0
<b>Fund Source Total</b>	<b>94.5</b>	<b>117.9</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	1.1	2.9
<b>Expenditure Category Total</b>	<b>1.1</b>	<b>2.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1.1	2.9
<b>Fund Source Total</b>	<b>1.1</b>	<b>2.9</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		347.4
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	7.1	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	44.6	
Internal Service Data Proc- Pc/Lan	0.0	



## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Regulatory Affairs</b>

	FY 2019 Actual	FY 2020 Expd. Plan
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	25.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	141.4	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	1.6	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	2.1	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	1.8	
Computer Supplies	0.7	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Regulatory Affairs</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.1	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	34.6	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Office of Regulatory Affairs</b>

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>259.0</b>	<b>347.4</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	250.5	347.4
BD1998-A Financial Services Fund (Appropriated)	8.5	0.0
	<b>259.0</b>	<b>347.4</b>
<b>Fund Source Total</b>	<b>259.0</b>	<b>347.4</b>
<hr/>		
Current Year Expenditures		46.6
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	(1.1)	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Office of Regulatory Affairs

	FY 2019 Actual	FY 2020 Expd. Plan
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>(1.1)</b>	<b>46.6</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	(1.1)	46.6
<b>Fund Source Total</b>	<b>(1.1)</b>	<b>46.6</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.0	0.0
<b>Fund Source Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	(13.0)	0.0
<b>Expenditure Category Total</b>	<b>(13.0)</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1.8	0.0
BD1998-A Financial Services Fund (Appropriated)	(14.8)	0.0
<b>Fund Source Total</b>	<b>(13.0)</b>	<b>0.0</b>

<b>Employee Retirement Coverage</b>			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	4.7	275.2	AA1000-A
Arizona State Retirement System	12.3	700.7	BD1998-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$127,200</b>		
Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Receiverships

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>				
3-1 Receiverships	1,484.1	72.4	0.0	72.4
<b>Program Summary Total:</b>	1,484.1	72.4	0.0	72.4
<b>Expenditure Categories</b>				
0000 FTE Positions	0.2	0.5	0.0	0.5
6000 Personal Services	11.1	18.8	0.0	18.8
6100 Employee Related Expenses	3.3	7.0	0.0	7.0
6200 Professional and Outside Services	68.4	46.0	0.0	46.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	1.3	0.6	0.0	0.6
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	1,400.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,484.1	72.4	0.0	72.4
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	14.4	25.8	0.0	25.8
	14.4	25.8	0.0	25.8
<b>Non-Appropriated Funds</b>				
BD3023-N Receivership Revolving Fund (Non-Appropriated)	1,469.7	46.6	0.0	46.6
	1,469.7	46.6	0.0	46.6
<b>Fund Source Total:</b>	1,484.1	72.4	0.0	72.4

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Receiverships

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	AA1000-A General Fund (Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Receiverships	14.4	25.8	0.0	25.8
	Total	14.4	25.8	0.0	25.8

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	0.2	0.5	0.0	0.5
	Personal Services	11.1	18.8	0.0	18.8
	Employee Related Expenses	3.3	7.0	0.0	7.0
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	0.0	0.0	0.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		14.4	25.8	0.0	25.8
<b>Fund AA1000-A Total:</b>		14.4	25.8	0.0	25.8
<b>Program 3 Total:</b>		14.4	25.8	0.0	25.8

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Receiverships

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
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<b>Fund:</b>	BD3023-N Receivership Revolving Fund (Non-Appropriated)
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### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

3-1	Receiverships	1,469.7	46.6	0.0	46.6
	Total	1,469.7	46.6	0.0	46.6

### Non-Appropriated Funding

#### Expenditure Categories

FTE Positions	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	68.4	46.0	0.0	46.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	1.3	0.6	0.0	0.6
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	1,400.0	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	1,469.7	46.6	0.0	46.6
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<b>Fund BD3023-N Total:</b>	1,469.7	46.6	0.0	46.6
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<b>Program 3 Total:</b>	1,469.7	46.6	0.0	46.6
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## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Receiverships

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	0.2	0.5	0.0	0.5
6000 Personal Services	11.1	18.8	0.0	18.8
6100 Employee Related Expenses	3.3	7.0	0.0	7.0
6200 Professional and Outside Services	68.4	46.0	0.0	46.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	1.3	0.6	0.0	0.6
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	1,400.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,484.1	72.4	0.0	72.4
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	14.4	25.8	0.0	25.8
	14.4	25.8	0.0	25.8
<b>Non-Appropriated Funds</b>				
BD3023-N Receivership Revolving Fund (Non-Appropriated)	1,469.7	46.6	0.0	46.6
	1,469.7	46.6	0.0	46.6
<b>Fund Source Total:</b>	1,484.1	72.4	0.0	72.4



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> State Department of Financial Institutions					
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Receiverships					
<b>Fund:</b> AA1000-A General Fund					
<b>Appropriated</b>					
0000	FTE	0.2	0.5	0.0	0.5
6000	Personal Services	11.1	18.8	0.0	18.8
6100	Employee Related Expenses	3.3	7.0	0.0	7.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		14.4	25.8	0.0	25.8
<b>Fund Total:</b>		14.4	25.8	0.0	25.8
<b>Program Total For Selected Funds:</b>		14.4	25.8	0.0	25.8

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> State Department of Financial Institutions					
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Receiverships					
<b>Fund:</b> BD3023-N Receivership Revolving Fund					
<b>Non-Appropriated</b>					
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	68.4	46.0	0.0	46.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1.3	0.6	0.0	0.6
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	1,400.0	0.0	0.0	0.0
<b>Non-Appropriated Total:</b>		1,469.7	46.6	0.0	46.6
<b>Fund Total:</b>		1,469.7	46.6	0.0	46.6
<b>Program Total For Selected Funds:</b>		1,469.7	46.6	0.0	46.6

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Receiverships</b>

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	0.2	0.5
<b>Expenditure Category Total</b>	<b>0.2</b>	<b>0.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.2	0.5
	<b>0.2</b>	<b>0.5</b>
<b>Non-Appropriated</b>		
BD3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	<b>0.0</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>0.2</b>	<b>0.5</b>
<hr/>		
Personal Services	11.1	18.8
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>11.1</b>	<b>18.8</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	11.1	18.8
	<b>11.1</b>	<b>18.8</b>
<b>Fund Source Total</b>	<b>11.1</b>	<b>18.8</b>
<hr/>		
Employee Related Expenses	3.3	7.0
<b>Expenditure Category Total</b>	<b>3.3</b>	<b>7.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	3.3	7.0
	<b>3.3</b>	<b>7.0</b>
<b>Fund Source Total</b>	<b>3.3</b>	<b>7.0</b>
<hr/>		
Professional and Outside Services		46.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	26.0	
Attorney General Legal Services	0.0	
External Legal Services	42.4	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>	
<b>Program:</b>	<b>Receiverships</b>	
	<b>FY 2019 Actual</b>	<b>FY 2020 Expd. Plan</b>
<b>Expenditure Category Total</b>	<b>68.4</b>	<b>46.0</b>
<b>Non-Appropriated</b>		
BD3023-N Receivership Revolving Fund (Non-Appropriated)	68.4	46.0
<b>Fund Source Total</b>	<b>68.4</b>	<b>46.0</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		0.6
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	1.2	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Receiverships</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.1	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Receiverships</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
<b>Expenditure Category Total</b>	<b>1.3</b>	<b>0.6</b>
<b>Non-Appropriated</b>		
BD3023-N Receivership Revolving Fund (Non-Appropriated)	1.3	0.6
	<b>1.3</b>	<b>0.6</b>
<b>Fund Source Total</b>	<b>1.3</b>	<b>0.6</b>
<hr/>		
Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>State Department of Financial Institutions</b>
<b>Program:</b>	<b>Receiverships</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	State Department of Financial Institutions
<b>Program:</b>	Receiverships

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	1,400.0	0.0
<b>Expenditure Category Total</b>	<b>1,400.0</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
BD3023-N Receivership Revolving Fund (Non-Appropriated)	1,400.0	0.0
<b>Fund Source Total</b>	<b>1,400.0</b>	<b>0.0</b>

<b>Employee Retirement Coverage</b>			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	0.4	18.8	AA1000-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$127,200</b>
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Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0



## Administrative Costs

**Agency:** State Department of Financial Institutions

### Administrative Costs Summary

Common Administrative Area	FY 2021
Personal Services	438.7
ERE	162.3
All Other	34.2
<b>Administrative Costs Total:</b>	<b>635.2</b>

### Administrative Cost / Total Expenditure Ratio

	Request	Admin %
FY 2021	6,271.1	10.1%