



Katie M. Hobbs, Governor
Barbara D. Richardson, Director

Personal Financial Statement

Section 7 Page 1 of 4

- Do not use for business statement.
- Legibly print or type all information.
- There must be an answer provided for each question. Therefore, if not applicable use “None” or “N/A”.
- Schedules, details and descriptions must be completed in space provided and by attachments if necessary.
- Total Assets must equal Total Liabilities and Net Worth.
- Describe any unusual assets or liabilities.

Name _____ Financial Condition As of Date _____
 Address, City, State, Zip _____
 Occupation _____
 Customer at what financial institution _____ (office)

Assets	Amount	Liabilities	Amount
Cash in Bank		Notes Payable to Bank	
Cash in other Banks (detail)		Notes payable to Other Banks (detail below)	
Ordinary Accounts receivable - Good		Ordinary Accounts Payable	
Due from Friends and Relatives (describe)		Due to Friends & Relatives (describe below)	
Notes Receivable - Good (Sched 1)		Notes Payable to Others (describe below)	
Mortgages Owned (Sched 1)			
Readily Marketable Securities (Sched 4)		Automobile Loans or Leases	
Other Securities (Sched 4)		Due to Brokers	
Cash Surrender Value of Life Insurance (Sched 5)		Loans on Life Insurance (Sched 5)	
Real Estate & Buildings (Sched 2)		Mortgages or Liens on Real Estate (Sched 3)	
Automobiles		Installment Loans	
Personal Property		Income Taxes Payable	
Other Assets (describe below)		Other Taxes Payable	
		Other Liabilities (describe below)	
		Credit Cards	
Total Assets		Total Liabilities	
		Net Worth (Assets Minus Liabilities)	

Approximate Annual Income and Expense (exclusive of ordinary living expenses)

Income	Amount	Fixed Expenses	Amount
Salary From		Insurance Premiums	
Income from Securities		Rent or Mortgage Payments	
Real Estate Rental		Income Taxes (for year _____)	
Net Income form Business or Profession		Other Taxes	
Other (Alimony, child support or separate maint.)		Other (Include alimony, child support or separate maintenance payments if you are obligated to make them.	
Total Income		Total	

1. Are the above evaluations on receivables conservative? Yes No (If no, explain by separate letter)
2. Are any assets pledged or debts secured except as indicated? Yes No (If yes, itemize by debt and security)
3. _____
 Do you have any contingent liabilities for guarantees, endorsements or otherwise? Yes No (If yes, explain)
4. _____
 Do you do business with any other bank? Yes No (If yes, nature of business)



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5. If you are married are any of the above assets your spouse's separate property? Yes No (If yes, itemize)
6. Are there any suits, judgments, tax deficiencies or other claims pending or in prospect against you? Yes No (If yes, explain by separate letter)
7. Have you ever gone through bankruptcy or compromised a debt? Yes No (If yes, explain by separate letter)
8. Have you made a will? Yes No Who is named executor of estate? _____

Complete the following schedules

Schedule 1 - Notes and Mortgages Owned			
Describe here or on separate sheet any important or unusual receivables.			
Name Of Debtor	Amount Due	How Payable	Remarks (Include description & value of any security)

Schedule 2 – Real Estate and Buildings							
Provide details of encumbrances on Schedule 3 opposite proper parcel number.							
Parcel	Location & Description (Include improvements)	Monthly Income	Title In Name Of	Value On Land	Improvements	Encumbrances Amount	Fire Ins. Amount
No. #1							
No. #2							
No. #3							
No. #4							
No. #5							

What is the basis for the above valuations? (State whether cost, fair market value today, or other basis)

Are there any properties held on joint tenancy? Yes No Parcel numbers: _____

Schedule 3 - Real Estate Encumbrances							
Parcel	Amt. Owing Per Sched 2	Nature of Encumbrance and to Whom Payable	Interest Rate	Due Date	Payment Amount	*Are Interest & Principal Current.	
No. #1						Yes	No
No. #2						Yes	No
No. #3						Yes	No
No. #4						Yes	No
No. #5						Yes	No

* If any payments of principal or interest are delinquent, provide details.

Are any taxes delinquent? Yes No (If yes, give amount and details)

Are there any unrecorded deeds, liens, or other claims not shown above? _____



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Schedule 4 - Securities Owned
 Attach separate schedule sheet if needed.

Stock - Shares, Bond Amounts	Description	Value Carried On This Statement	Current Market On Listed Amount		Estimated Value on Unlisted		Annual Dividend
			@	Amount	@	Amount	

In whose name are the above securities held? _____

If in names of yourself and co-owner, are they joint tenancy? _____

Schedule 5 - Insurance

Public liability on autos \$ _____

Property damage on autos \$ _____

Life Insurance

Beneficiary	Amount Of Policy	Cash Value	Amount Of Liens	Net Cash Value
	\$	\$	\$	\$
	\$	\$	\$	\$
	\$	\$	\$	\$
	\$	\$	\$	\$
	\$	\$	\$	\$



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State whether you have within the last 15 years:

- | | | | |
|----|---|-----|----|
| a. | been convicted of any criminal offense other than traffic violation? | Yes | No |
| b. | had a final judgment entered against him/her in a civil action on account of fraud, misrepresentation, or deceit? Yes | No | |
| c. | filed bankruptcy? | Yes | No |
| d. | had an order entered against you by an administrative agency of this state, the federal government, or any other state or territory of the United States involving fraud, misrepresentation, or deceit? Yes | Yes | No |

If you answered yes to any of the aforementioned (9 a, b, c, or d), furnish complete details on a separate sheet.

I certify that the above information provided by me is true, complete and correct to the best of my knowledge and belief.

Date

Signature