ARIZONA DEPARTMENT OF FINANCIAL INSTITUTIONS

Robert D. Charlton
Superintendent of Financial Institutions

Douglas A. Ducey
Governor

September 4, 2018

The Honorable Douglas Ducey
Governor, State of Arizona
1700 West Washington Street
Phoenix, AZ 85007

Dear Governor Ducey:

We are pleased to submit the Department of Financial Institutions' budget request for FY 2020. This request has been developed to effectively supervise over 23,100 financial entities and individuals currently under our regulatory authority, at the minimum cost to the industry and Arizona taxpayers.

Should you have any questions or require any additional information, please contact me at (602) 771-2770.

Sincerely,

Robert D. Charlton
Superintendent of Financial Institutions

100 N 15th Avenue • Suite 261 • Phoenix, Arizona 85007
Telephone: (602) 771-2800 • Facsimile: (602) 381-1225

State of Arizona Budget Request

State Agency

State Department of Financial Institutions

A.R.S. Citation: **6-110**

Governor DUCEY:

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2020.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head: **Robert D. Charlton**

Title: **Superintendent of Financial Institu**

Robert D. Charlton 8/30/2018

(signature)

Phone: **(602) 771-2781**

Prepared By: **Lola L. Duncan**

Email Address: **lduncan@azdfi.gov**

Date Prepared: **Thursday, August 30, 2018**

Appropriated Funds

	FY 2019 Approp	FY 2020 Fund. Issue	FY 2020 Total Budget
Total Amount Requested:	5,997.4	(12.0)	5,985.4
General Fund	1,984.8	0.0	1,984.8
Financial Services Fund	3,962.6	(12.0)	3,950.6
Banking Department Revolving Fund	50.0	0.0	50.0
Board of Appraisal Fund	0.0	0.0	0.0

Non-Appropriated Funds

	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Budget
Total Amount Planned:	663.0	0.0	663.0
Banking Department Revolving Fund	561.0	0.0	561.0
Receivership Revolving Fund	102.0	0.0	102.0

Total:	6,660.4	(12.0)	6,648.4

Revenue Schedule

Agency: State Department of Financial Institutions

Fund: 1000 General Fund

AFIS Code	Category of Receipt and Description	FY 2018	FY 2019	FY 2020
4312	EXAMINATION FEES	750.6	963.0	1,130.0
4332	OTHER EDUCATION FEES	69.3	30.7	32.1
4339	OTHER FEES AND CHARGES FOR SERVICES	1,497.7	1,624.0	1,624.0
4372	PUBLICATIONS AND REPRODUCTIONS	1.7	0.0	0.0
4415	OCCUPATIONAL AND PROFESSIONAL LICENSES	3,833.6	3,644.7	3,826.6
4449	OTHER FEES	1,609.4	1,475.5	1,549.3
4519	OTHER FINES OR FORFEITURES OR PENALTIES	48.3	48.3	50.0
4645	CREDIT CARD DISCOUNT FEES PAID	(19.0)	(4.0)	(4.0)
	Fund Total:	7,791.6	7,782.2	8,208.0

Department of Financial Institutions
FY 2020 Budget Submission
Revenue Justifications

General Fund (#1000)

DFI combines historical revenue trends for licensing, renewal, examination, and financial institutions assessments with division management forecasts for activity (i.e., changes in application levels, financial institution asset levels, etc.) that will impact these revenue streams. With respect to licensing fees, FY 2019 projections assume a 5% annual growth rate.

Mortgage Recovery Fund (#1997)

Under A.R.S. 6-991.09 the Mortgage Recovery Fund was established, consisting of monies paid by loan originator license applicants for the benefit of any person aggrieved by any act, representation, transaction of conduct of a licensed loan originator that violates statute or rule.

Applicants have the option of obtaining a bond in lieu of payment into the fund. Payment into the fund is $100 at the time of initial application and an additional $100 at the renewal date. Based on the recent experience, DFI projects that only 25% of future applicants will pay into the fund.

Note: This fund has a cap of $2.0 million, which was reached as of June 30, 2015. Under A.R.S. 6-991.10, once the cap is reached, payments into the fund are no longer made by licensees as part of their renewal application. However, one-time payments into the fund continue for new license applicants.

Financial Services Fund (#1998)

Under A.R.S. 6-991.21 the Financial Service Fund was established, consisting of loan originator licensing fees collected by DFI. Licensing fees include an initial application fee ($350), prorated license fee ($150), and an annual license renewal fee ($150). As of June 30, 2018, there were 17,215 licensed loan originators. The Department is projecting that number to grow to 18,075 by the next renewal date of December 31, 2018 and to 18,978 by December 31, 2019.

Department of Financial Institutions
FY 2020 Budget Submission
Revenue Justifications

Banking Department Revolving Fund (#2126)

The Department Revolving Fund is established under A.R.S. 6-135. DFI forecasts revenue (Civil Money Penalties) primarily using historical data and projected examiner staff size.

HB18532, R276, SB1520, 038 AG ISA Reallocation to shift cost of ISA with AG's Office for service to help oversee RE Appraisers.

Board of Appraisal (#2270)

DFI combines historical revenue trends for licensing with division management forecasts for activity (i.e., changes in application levels) that will impact these revenue streams.

Note: SB1197 (Laws 2017, Chapter 334), which was effective August 9, 2017, eliminated the Board of Appraisal Fund and transfers an amount remaining in the fund to the General Fund. As a result, the FY 2018 revenue only reflects approximately 1 month of revenue.

Receivership Revolving Fund (#3023)

Pursuant to A.R.S. 6-135.01, the revenue received into this fund comes from two sources: 1) monies awarded and received as fees and costs in receiverships in which the Superintendent was the receiver, and 2) monies transferred from the Department Revolving Fund (RF). Specifically, all unencumbered monies in excess of two hundred thousand dollars in the RF at the end of each year fiscal year, shall be deposited in the Department's Receivership Revolving Fund.

Revenue Schedule

Agency: State Department of Financial Institutions

Fund: 1997 Mortgage Recovery Fund

AFIS Code	Category of Receipt and Description	FY 2018	FY 2019	FY 2020
4612	RESTRICTED DONATIONS	141.5	150.0	150.0
4631	TREASURERS INTEREST INCOME	39.7	40.0	41.0
	Fund Total:	181.2	190.0	191.0

Revenue Schedule

Agency: State Department of Financial Institutions

Fund: 1998 Financial Services Fund

AFIS Code	Category of Receipt and Description	FY 2018	FY 2019	FY 2020
4415	OCCUPATIONAL AND PROFESSIONAL LICENSES	2,667.6	3,151.0	3,308.5
4449	OTHER FEES	2,063.0	1,692.7	1,777.3
4519	OTHER FINES OR FORFEITURES OR PENALTIES	47.8	25.0	30.0
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	0.5	0.0	0.0
	Fund Total:	4,778.9	4,868.7	5,115.8

Revenue Schedule

Agency: State Department of Financial Institutions

Fund: 2126 Banking Department Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2018	FY 2019	FY 2020
4519	OTHER FINES OR FORFEITURES OR PENALTIES	1,296.0	617.0	777.0
4699	MISCELLANEOUS RECEIPTS	0.7	0.0	0.0
	Fund Total:	1,296.7	617.0	777.0

Revenue Schedule

Agency: State Department of Financial Institutions

Fund: 2270 Board of Appraisal Fund

AFIS Code	Category of Receipt and Description	FY 2018	FY 2019	FY 2020
4332	OTHER EDUCATION FEES	0.5	0.0	0.0
4415	OCCUPATIONAL AND PROFESSIONAL LICENSES	115.4	0.0	0.0
4449	OTHER FEES	10.9	0.0	0.0
4519	OTHER FINES OR FORFEITURES OR PENALTIES	0.1	0.0	0.0
4645	CREDIT CARD DISCOUNT FEES PAID	(1.3)	0.0	0.0
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	1.2	0.0	0.0
	Fund Total:	126.8	0.0	0.0

Revenue Schedule

Agency: State Department of Financial Institutions

Fund: 3023 Receivership Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2018	FY 2019	FY 2020
4699	MISCELLANEOUS RECEIPTS	100.0	0.0	0.0
4901	OPERATING TRANSFERS IN	0.0	376.8	0.0
	Fund Total:	100.0	376.8	0.0

Sources and Uses of Funds

Agency: State Department of Financial Institutions

Fund: 1997 Mortgage Recovery Fund

Cash Flow Summary

	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Balance Forward from Prior Year	2,397.0	2,118.2	2,308.2
Revenue (From Revenue Schedule)	181.2	190.0	191.0
Total Available	2,578.2	2,308.2	2,499.2
Total Appropriated Disbursements	460.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	2,118.2	2,308.2	2,499.2

Appropriated Expenditure

Expenditure Categories	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	460.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	460.0	0.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Fund Description

OSPB: Revenues consist of assessments levied by the Superintendent of Financial Institutions on licensed loan originators if the balance of the fund falls below two million dollars at the end of the fiscal year. The Mortgage Recovery Fund provides relief to per

Sources and Uses of Funds

Agency: State Department of Financial Institutions

Fund Description

OSPB: Revenues consist of assessments levied by the Superintendent of Financial Institutions on licensed loan originators if the balance of the fund falls below two million dollars at the end of the fiscal year. The Mortgage Recovery Fund provides relief to per

Sources and Uses of Funds

Agency: State Department of Financial Institutions

Fund: 1998 Financial Services Fund

Cash Flow Summary	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Balance Forward from Prior Year	11,879.1	8,444.5	9,350.6
Revenue (From Revenue Schedule)	4,778.9	4,868.7	5,115.8
Total Available	16,658.0	13,313.2	14,466.4
Total Appropriated Disbursements	8,213.5	3,962.6	3,950.6
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	8,444.5	9,350.6	10,515.8

Appropriated Expenditure

Expenditure Categories	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Personal Services	1,710.8	2,867.4	2,867.4
Employee Related Expenses	648.6	1,061.1	1,061.1
Prof. And Outside Services	290.1	11.6	11.6
Travel - In State	0.0	0.0	0.0
Travel - Out of State	12.8	0.4	0.4
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	321.5	18.6	18.6
Equipment	87.7	3.5	(8.5)
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	142.0	0.0	0.0
Expenditure Categories Total:	3,213.5	3,962.6	3,950.6
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	5,000.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	8,213.5	3,962.6	3,950.6
Apppropriated FTE:	36.7	60.5	60.5

Fund Description

OSPB: Revenues are collected from licensing fees paid by loan originators operating in Arizona and used to regulate and license loan originators.

Sources and Uses of Funds

Agency: State Department of Financial Institutions

Fund: 2126 Banking Department Revolving Fund

Cash Flow Summary	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Balance Forward from Prior Year	166.6	626.7	256.0
Revenue (From Revenue Schedule)	1,296.7	617.0	777.0
Total Available	1,463.3	1,243.7	1,033.0
Total Appropriated Disbursements	0.0	50.0	50.0
Total Non-Appropriated Disbursements	836.6	937.7	561.0
Balance Forward to Next Year	626.7	256.0	422.0

Appropriated Expenditure

Expenditure Categories	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	49.8	49.8
Equipment	0.0	0.2	0.2
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	50.0	50.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	50.0	50.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Personal Services	60.2	75.0	75.0
Employee Related Expenses	19.2	27.7	27.7
Prof. And Outside Services	613.4	352.5	352.5
Travel - In State	44.3	50.0	50.0
Travel - Out of State	37.4	37.0	37.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	59.8	0.0	0.0
Equipment	1.7	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.6	18.8	18.8
Expenditure Categories Total:	836.6	561.0	561.0
Cap Transfer due to Fund Balance	0.0	376.7	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	836.6	937.7	561.0
Non-Apppropriated FTE:	1.0	1.0	1.0

Sources and Uses of Funds

Agency: **State Department of Financial Institutions**

Fund Description

OSPB:	Revenues include any recovered investigative costs, or attorney's fees and civil penalties recovered by the state. Funds are used to investigate and prosecute civil actions against financial entities in Arizona. Any unencumbered balance at the end of the

Sources and Uses of Funds

Agency: State Department of Financial Institutions

Fund: 2270 Board of Appraisal Fund

Cash Flow Summary	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Balance Forward from Prior Year	479.8	0.0	0.0
Revenue (From Revenue Schedule)	126.8	0.0	0.0
Total Available	606.6	0.0	0.0
Total Appropriated Disbursements	606.6	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

Appropriated Expenditure

Expenditure Categories	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Personal Services	43.7	0.0	0.0
Employee Related Expenses	19.3	0.0	0.0
Prof. And Outside Services	22.2	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	2.4	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	4.7	0.0	0.0
Equipment	0.1	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	514.2	0.0	0.0
Expenditure Categories Total:	606.6	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
IT Project Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	606.6	0.0	0.0
Apppropriated FTE:	9.0	0.0	0.0

Fund Description

OSPB: This fund consists of fees and charges paid by real estate appraisers that are used to license, certify, and regulate appraisers and Appraisal Management Companies.

Sources and Uses of Funds

Agency: State Department of Financial Institutions

Fund: 2500 IGA and ISA Fund

Cash Flow Summary	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Balance Forward from Prior Year	0.0	0.0	0.0
Total Available	0.0	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

Fund Description

OSPB: This fund was established for state agencies as a clearing account to properly account for, control, and report receipts and disbursements associated with intergovernmental and interagency service agreements, which are not reported in other funds.

Sources and Uses of Funds

Agency: State Department of Financial Institutions

Fund: 2600 Credit Card Clearing Fund

Cash Flow Summary	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Balance Forward from Prior Year	2.9	2.9	2.9
Total Available	2.9	2.9	2.9
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	2.9	2.9	2.9

Fund Description

OSPB: Funding source is from various fees charged to the public for licensing and other activities. The fund is set up as a pass-through account for deposits coming into the Treasurer's Office from fees paid by payment cards.

Sources and Uses of Funds

Agency: State Department of Financial Institutions

Fund: 3023 Receivership Revolving Fund

Cash Flow Summary	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Balance Forward from Prior Year	2,154.4	1,900.8	775.6
Revenue (From Revenue Schedule)	100.0	376.8	0.0
Total Available	2,254.4	2,277.6	775.6
Total Appropriated Disbursements	50.0	1,400.0	0.0
Total Non-Appropriated Disbursements	303.6	102.0	102.0
Balance Forward to Next Year	1,900.8	775.6	673.6

Appropriated Expenditure

Expenditure Categories	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings,Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	50.0	0.0	0.0
IT Project Transfers	0.0	1,400.0	0.0
Appropriated Expenditure Total:	50.0	1,400.0	0.0
Apppropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2018	Estimate FY 2019	Estimate FY 2020
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	303.6	102.0	102.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	303.6	102.0	102.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	303.6	102.0	102.0
Non-Apppropriated FTE:	0.0	0.0	0.0

Sources and Uses of Funds

Agency: **State Department of Financial Institutions**

Fund Description

OSPB:	Revenues include monies awarded and received as fees and costs in receiverships in which the superintendent was the receiver and monies received from the Banking Department Revolving Fund. Monies in the fund may be used to pay any costs incurred by the D

Funding Issues List

Agency: State Department of Financial Institutions

FY 2020

Priority	Funding Issue Title	Total FTE	Total Amount	General Fund	Other Aprop. Funds	Non-App Funds
1	Enterprise Compensation Strategy	0.0	0.0	0.0	0.0	0.0
2	Remove One-Time Equipment Costs	0.0	(12.0)	0.0	(12.0)	0.0
	Total:	0.0	(12.0)	0.0	(12.0)	0.0
	Decision Package Total:	0.0	(12.0)	0.0	(12.0)	0.0

#2020-1: Enterprise Compensation Strategy

Department of Financial Institutions (DFI) has identified positions within DFI where compensation adjustments are warranted. Therefore, the DFI is currently working with the Governor's Office to address this issue for key positions in FY 2020. A well-designed pay increase plan will reduce high turnover and vacancy rates, resulting in a more efficient government that saves resources on constantly hiring and training new staff. The DFI and the Governor's Office are considering a variety of pay package options that could potentially include: across-the-board pay raises; merit based increases; and/or leveling to market rates.

DFI recognized that the Governor is particularly focused on compensation adjustment for vital public safety agencies. State public safety agencies often compete with local and federal operators that currently pay higher salaries than state positions, hindering the State's ability to recruit and retain highly qualified individuals. Working to create a safe and secure environment for Arizona citizens and visitors is one of our State's greatest responsibilities and challenges.

Further, the Governor is uniquely position positioned to collaborate across public safety and non-public safety departments, identifying critical compensation challenges and crafting the best solution that is appropriate for each.

DFI looks forward to working with the Governor's Office on these solutions during the development of the FY 2020 Executive Budget.

#2020-2: Remove One-Time Equipment Costs

DFI FY 2019 budget included funding to hire four (4) new Mortgage Lending Examiners, one (1) Money Services Examiner and one (1) Financial Services Examiner. All six (6) of these new positions were funded from the Financial Services Fund. Since the additional funding included $12.0K one-time equipment costs, that amount must be removed from DFI FY 2020 appropriation.

Funding Issue Detail

Agency: State Department of Financial Institutions

Issue: 1 Enterprise Compensation Strategy

Program: Office of Regulatory Affairs
Fund: 1998-A Financial Services Fund (Appropriated)

Calculated ERE: $0.00
Uniform Allowance: $0.00

Expenditure Categories	FY 2020
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	0.0
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	0.0

Issue: 2 Remove One-Time Equipment Costs

Program: Office of Regulatory Affairs
Fund: 1998-A Financial Services Fund (Appropriated)

Calculated ERE: $0.00
Uniform Allowance: $0.00

Expenditure Categories	FY 2020
FTE	0.0
Personal Services	0.0
Employee Related Expenses	0.0
Subtotal Personal Services and ERE:	0.0
Professional & Outside Services	0.0
Travel In-State	0.0
Travel Out-of-State	0.0
Food	0.0
Aid to Organizations & Individuals	0.0
Other Operating Expenditures	0.0
Equipment	(12.0)
Capital Outlay	0.0
Debt Services	0.0
Cost Allocation	0.0
Transfers	0.0
Program / Fund Total:	(12.0)

Summary of Expenditure and Budget Request for All Funds

Agency: State Department of Financial Institutions

Appropriated

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Cost Center/Program:					
1	Office of Supervision	2,784.5	4,260.9	0.0	4,260.9
2	Office of Regulatory Affairs	3,074.8	1,709.4	(12.0)	1,697.4
3	Receiverships	15.1	27.1	0.0	27.1
		5,874.4	5,997.4	(12.0)	5,985.4
Expenditure Categories					
	FTE	77.2	73.1	0.0	73.1
	Personal Services	2,940.0	3,475.2	0.0	3,475.2
	Employee Related Expenses	1,116.6	1,285.9	0.0	1,285.9
	Professional and Outside Services	446.2	411.5	0.0	411.5
	Travel In-State	1.8	0.5	0.0	0.5
	Travel Out of State	27.8	42.8	0.0	42.8
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	575.2	719.0	0.0	719.0
	Equipment	110.6	62.5	(12.0)	50.5
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	656.2	0.0	0.0	0.0
Expenditure Categories Total:		5,874.4	5,997.4	(12.0)	5,985.4

Summary of Expenditure and Budget Request for All Funds

Agency: State Department of Financial Institutions

Non-Appropriated

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Cost Center/Program:					
1	Office of Supervision	836.6	561.0	0.0	561.0
3	Receiverships	303.6	102.0	0.0	102.0
		1,140.2	663.0	0.0	663.0
Expenditure Categories					
	FTE	1.0	1.0	0.0	1.0
	Personal Services	60.2	75.0	0.0	75.0
	Employee Related Expenses	19.2	27.7	0.0	27.7
	Professional and Outside Services	917.0	454.5	0.0	454.5
	Travel In-State	44.3	50.0	0.0	50.0
	Travel Out of State	37.4	37.0	0.0	37.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	59.8	0.0	0.0	0.0
	Equipment	1.7	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.6	18.8	0.0	18.8
Expenditure Categories Total:		1,140.2	663.0	0.0	663.0

Summary of Expenditure and Budget Request for All Funds

Agency: State Department of Financial Institutions

Agency Total for All Funds:	7,014.6	6,660.4	(12.0)	6,648.4

Summary of Expenditure and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Fund: 1000 General Fund (Appropriated)

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Cost Center/Program:					
1	Office of Supervision	619.0	1,142.2	0.0	1,142.2
2	Office of Regulatory Affairs	1,420.2	815.5	0.0	815.5
3	Receiverships	15.1	27.1	0.0	27.1
		2,054.3	1,984.8	0.0	1,984.8
Expenditure Categories					
	FTE	31.5	12.6	0.0	12.6
	Personal Services	1,185.5	607.8	0.0	607.8
	Employee Related Expenses	448.7	224.8	0.0	224.8
	Professional and Outside Services	133.9	399.9	0.0	399.9
	Travel In-State	1.8	0.5	0.0	0.5
	Travel Out of State	12.6	42.4	0.0	42.4
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	249.0	650.6	0.0	650.6
	Equipment	22.8	58.8	0.0	58.8
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:		2,054.3	1,984.8	0.0	1,984.8
Fund Total:		2,054.3	1,984.8	0.0	1,984.8

Summary of Expenditure and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Fund: 1998 Financial Services Fund (Appropriated)

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Cost Center/Program:					
1	Office of Supervision	2,165.5	3,068.7	0.0	3,068.7
2	Office of Regulatory Affairs	1,048.0	893.9	(12.0)	881.9
		3,213.5	3,962.6	(12.0)	3,950.6
Expenditure Categories					
	FTE	36.7	60.5	0.0	60.5
	Personal Services	1,710.8	2,867.4	0.0	2,867.4
	Employee Related Expenses	648.6	1,061.1	0.0	1,061.1
	Professional and Outside Services	290.1	11.6	0.0	11.6
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	12.8	0.4	0.0	0.4
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	321.5	18.6	0.0	18.6
	Equipment	87.7	3.5	(12.0)	(8.5)
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	142.0	0.0	0.0	0.0
Expenditure Categories Total:		3,213.5	3,962.6	(12.0)	3,950.6
Fund Total:		3,213.5	3,962.6	(12.0)	3,950.6

Summary of Expenditure and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Fund: 2126 Banking Department Revolving (Appropriated)

	FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Cost Center/Program:				
1 Office of Supervision	0.0	50.0	0.0	50.0
	0.0	50.0	0.0	50.0
Expenditure Categories				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	49.8	0.0	49.8
Equipment	0.0	0.2	0.0	0.2
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	0.0	50.0	0.0	50.0
Fund Total:	0.0	50.0	0.0	50.0

Summary of Expenditure and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Fund: 2126 Banking Department Revolving (Non-Appropriated)

	FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Cost Center/Program:				
1 Office of Supervision	836.6	561.0	0.0	561.0
	836.6	561.0	0.0	561.0
Expenditure Categories				
FTE	1.0	1.0	0.0	1.0
Personal Services	60.2	75.0	0.0	75.0
Employee Related Expenses	19.2	27.7	0.0	27.7
Professional and Outside Services	613.4	352.5	0.0	352.5
Travel In-State	44.3	50.0	0.0	50.0
Travel Out of State	37.4	37.0	0.0	37.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	59.8	0.0	0.0	0.0
Equipment	1.7	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.6	18.8	0.0	18.8
Expenditure Categories Total:	836.6	561.0	0.0	561.0
Fund Total:	836.6	561.0	0.0	561.0

Summary of Expenditure and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Fund: 2270 Board of Appraisal Fund (Appropriated)

	FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Cost Center/Program:				
2 Office of Regulatory Affairs	606.6	0.0	0.0	0.0
	606.6	0.0	0.0	0.0
Expenditure Categories				
FTE	9.0	0.0	0.0	0.0
Personal Services	43.7	0.0	0.0	0.0
Employee Related Expenses	19.3	0.0	0.0	0.0
Professional and Outside Services	22.2	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	2.4	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	4.7	0.0	0.0	0.0
Equipment	0.1	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	514.2	0.0	0.0	0.0
Expenditure Categories Total:	606.6	0.0	0.0	0.0
Fund Total:	606.6	0.0	0.0	0.0

Summary of Expenditure and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Fund: 2270 Board of Appraisal Fund (Appropriated)

	FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Agency Total for Selected Funds	606.6	0.0	0.0	0.0

Summary of Expenditure and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Fund: 3023 Receivership Revolving Fund (Non-Appropriated)

	FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Cost Center/Program:				
3 Receiverships	303.6	102.0	0.0	102.0
	303.6	102.0	0.0	102.0
Expenditure Categories				
FTE	0.0	0.0	0.0	0.0
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	303.6	102.0	0.0	102.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	303.6	102.0	0.0	102.0
Fund Total:	303.6	102.0	0.0	102.0

Program Summary of Expenditures and Budget Request

Agency: State Department of Financial Institutions
Program: Office of Supervision

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Program Summary					
1-1	Office of Supervision	3,621.1	4,821.9	0.0	4,821.9
	Program Summary Total:	3,621.1	4,821.9	0.0	4,821.9
Expenditure Categories					
0000	FTE Positions	37.6	48.0	0.0	48.0
6000	Personal Services	1,668.7	2,634.7	0.0	2,634.7
6100	Employee Related Expenses	611.7	974.9	0.0	974.9
6200	Professional and Outside Services	764.4	615.8	0.0	615.8
6500	Travel In-State	46.1	50.5	0.0	50.5
6600	Travel Out of State	47.3	79.8	0.0	79.8
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	341.7	416.0	0.0	416.0
8000	Equipment	69.6	31.4	0.0	31.4
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	71.6	18.8	0.0	18.8
	Expenditure Categories Total:	3,621.1	4,821.9	0.0	4,821.9
Fund Source					
Appropriated Funds					
1000-A	General Fund (Appropriated)	619.0	1,142.2	0.0	1,142.2
1998-A	Financial Services Fund (Appropriated)	2,165.5	3,068.7	0.0	3,068.7
2126-A	Banking Department Revolving (Appropriated)	0.0	50.0	0.0	50.0
		2,784.5	4,260.9	0.0	4,260.9
Non-Appropriated Funds					
2126-N	Banking Department Revolving (Non-Appropriated)	836.6	561.0	0.0	561.0
		836.6	561.0	0.0	561.0
	Fund Source Total:	3,621.1	4,821.9	0.0	4,821.9

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Supervision

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund: 1000-A	**General Fund (Appropriated)**				
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
1-1 Office of Supervision		619.0	1,142.2	0.0	1,142.2
	Total	619.0	1,142.2	0.0	1,142.2
Appropriated Funding					
Expenditure Categories					
FTE Positions		12.4	6.0	0.0	6.0
Personal Services		377.4	333.9	0.0	333.9
Employee Related Expenses		136.3	123.5	0.0	123.5
Professional and Outside Services		18.9	258.0	0.0	258.0
Travel In-State		1.8	0.5	0.0	0.5
Travel Out of State		0.8	42.4	0.0	42.4
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		67.1	354.5	0.0	354.5
Equipment		16.7	29.4	0.0	29.4
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0
Expenditure Categories Total:		619.0	1,142.2	0.0	1,142.2
Fund 1000-A Total:		619.0	1,142.2	0.0	1,142.2

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Supervision

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund: 1998-A	**Financial Services Fund (Appropriated)**				
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
1-1 Office of Supervision		2,165.5	3,068.7	0.0	3,068.7
	Total	2,165.5	3,068.7	0.0	3,068.7
Appropriated Funding					
Expenditure Categories					
FTE Positions		24.2	41.0	0.0	41.0
Personal Services		1,231.1	2,225.8	0.0	2,225.8
Employee Related Expenses		456.2	823.7	0.0	823.7
Professional and Outside Services		132.1	5.3	0.0	5.3
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		9.1	0.4	0.0	0.4
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		214.8	11.7	0.0	11.7
Equipment		51.2	1.8	0.0	1.8
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		71.0	0.0	0.0	0.0
Expenditure Categories Total:		2,165.5	3,068.7	0.0	3,068.7
Fund 1998-A Total:		2,165.5	3,068.7	0.0	3,068.7

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Supervision

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund:	**2126-A Banking Department Revolving (Appropriated)**				
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
1-1	Office of Supervision	0.0	50.0	0.0	50.0
	Total	0.0	50.0	0.0	50.0
Appropriated Funding					
Expenditure Categories					
	Personal Services	0.0	0.0	0.0	0.0
	Employee Related Expenses	0.0	0.0	0.0	0.0
	Professional and Outside Services	0.0	0.0	0.0	0.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	0.0	49.8	0.0	49.8
	Equipment	0.0	0.2	0.0	0.2
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:		0.0	50.0	0.0	50.0
Fund 2126-A Total:		0.0	50.0	0.0	50.0

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Supervision

Fund: 2126-N Banking Department Revolving (Non-Appropriated)

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
1-1 Office of Supervision		836.6	561.0	0.0	561.0
	Total	836.6	561.0	0.0	561.0
Non-Appropriated Funding					
Expenditure Categories					
FTE Positions		1.0	1.0	0.0	1.0
Personal Services		60.2	75.0	0.0	75.0
Employee Related Expenses		19.2	27.7	0.0	27.7
Professional and Outside Services		613.4	352.5	0.0	352.5
Travel In-State		44.3	50.0	0.0	50.0
Travel Out of State		37.4	37.0	0.0	37.0
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		59.8	0.0	0.0	0.0
Equipment		1.7	0.0	0.0	0.0
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.6	18.8	0.0	18.8
Expenditure Categories Total:		836.6	561.0	0.0	561.0
Fund 2126-N Total:		836.6	561.0	0.0	561.0
Program 1 Total:		3,621.1	4,821.9	0.0	4,821.9

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: State Department of Financial Institutions
Program: Office of Supervision

	Expenditure Categories	FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
0000	FTE	37.6	48.0	0.0	48.0
6000	Personal Services	1,668.7	2,634.7	0.0	2,634.7
6100	Employee Related Expenses	611.7	974.9	0.0	974.9
6200	Professional and Outside Services	764.4	615.8	0.0	615.8
6500	Travel In-State	46.1	50.5	0.0	50.5
6600	Travel Out of State	47.3	79.8	0.0	79.8
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	341.7	416.0	0.0	416.0
8000	Equipment	69.6	31.4	0.0	31.4
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	71.6	18.8	0.0	18.8
	Expenditure Categories Total:	3,621.1	4,821.9	0.0	4,821.9
	Fund Source				
	Appropriated Funds				
	1000-A General Fund (Appropriated)	619.0	1,142.2	0.0	1,142.2
	1998-A Financial Services Fund (Appropriated)	2,165.5	3,068.7	0.0	3,068.7
	2126-A Banking Department Revolving (Appropriated)	0.0	50.0	0.0	50.0
		2,784.5	4,260.9	0.0	4,260.9
	Non-Appropriated Funds				
	2126-N Banking Department Revolving (Non-Appropriated)	836.6	561.0	0.0	561.0
		836.6	561.0	0.0	561.0
	Fund Source Total:	3,621.1	4,821.9	0.0	4,821.9

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Supervision

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund: 1000-A General Fund					
Appropriated					
0000	FTE	12.4	6.0	0.0	6.0
6000	Personal Services	377.4	333.9	0.0	333.9
6100	Employee Related Expenses	136.3	123.5	0.0	123.5
6200	Professional and Outside Services	18.9	258.0	0.0	258.0
6500	Travel In-State	1.8	0.5	0.0	0.5
6600	Travel Out of State	0.8	42.4	0.0	42.4
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	67.1	354.5	0.0	354.5
8000	Equipment	16.7	29.4	0.0	29.4
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
Appropriated Total:		619.0	1,142.2	0.0	1,142.2
Fund Total:		619.0	1,142.2	0.0	1,142.2
Fund: 1998-A Financial Services Fund					
Appropriated					
0000	FTE	24.2	41.0	0.0	41.0
6000	Personal Services	1,231.1	2,225.8	0.0	2,225.8
6100	Employee Related Expenses	456.2	823.7	0.0	823.7
6200	Professional and Outside Services	132.1	5.3	0.0	5.3
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	9.1	0.4	0.0	0.4
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	214.8	11.7	0.0	11.7
8000	Equipment	51.2	1.8	0.0	1.8
8100	Capital Outlay	0.0	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Supervision

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund: 1998-A Financial Services Fund					
Appropriated					
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	71.0	0.0	0.0	0.0
Appropriated Total:		2,165.5	3,068.7	0.0	3,068.7
Fund Total:		2,165.5	3,068.7	0.0	3,068.7
Fund: 2126-A Banking Department Revolving Fund					
Appropriated					
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	49.8	0.0	49.8
8000	Equipment	0.0	0.2	0.0	0.2
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
Appropriated Total:		0.0	50.0	0.0	50.0
Fund Total:		0.0	50.0	0.0	50.0
Fund: 2126-N Banking Department Revolving Fund					
Non-Appropriated					
0000	FTE	1.0	1.0	0.0	1.0
6000	Personal Services	60.2	75.0	0.0	75.0
6100	Employee Related Expenses	19.2	27.7	0.0	27.7

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Supervision

Fund: 2126-N Banking Department Revolving Fund

Non-Appropriated

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
6200	Professional and Outside Services	613.4	352.5	0.0	352.5
6500	Travel In-State	44.3	50.0	0.0	50.0
6600	Travel Out of State	37.4	37.0	0.0	37.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	59.8	0.0	0.0	0.0
8000	Equipment	1.7	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.6	18.8	0.0	18.8
Non-Appropriated Total:		836.6	561.0	0.0	561.0
Fund Total:		836.6	561.0	0.0	561.0
Program Total For Selected Funds:		3,621.1	4,821.9	0.0	4,821.9

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Office of Supervision**

	FY 2018 Actual	FY 2019 Expd. Plan
FTE	37.6	48.0
Expenditure Category Total	**37.6**	**48.0**
Appropriated		
1000-A General Fund (Appropriated)	12.4	6.0
1998-A Financial Services Fund (Appropriated)	24.2	41.0
	36.6	**47.0**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	1.0	1.0
	1.0	**1.0**
Fund Source Total	**37.6**	**48.0**
Personal Services	1,668.7	2,634.7
Boards and Commissions	0.0	0.0
Expenditure Category Total	**1,668.7**	**2,634.7**
Appropriated		
1000-A General Fund (Appropriated)	377.4	333.9
1998-A Financial Services Fund (Appropriated)	1,231.1	2,225.8
	1,608.5	**2,559.7**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	60.2	75.0
	60.2	**75.0**
Fund Source Total	**1,668.7**	**2,634.7**
Employee Related Expenses	611.7	974.9
Expenditure Category Total	**611.7**	**974.9**
Appropriated		
1000-A General Fund (Appropriated)	136.3	123.5
1998-A Financial Services Fund (Appropriated)	456.2	823.7
	592.5	**947.2**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	19.2	27.7
	19.2	**27.7**
Fund Source Total	**611.7**	**974.9**
Professional and Outside Services		615.8
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	351.6	
Attorney General Legal Services	267.8	
External Legal Services	0.3	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	38.6	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	5.3	
Professional & Outside Services Excluded from Cost Alloca	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Office of Supervision

	FY 2018 Actual	FY 2019 Expd. Plan
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	100.8	
Expenditure Category Total	**764.4**	**615.8**
Appropriated		
1000-A General Fund (Appropriated)	18.9	258.0
1998-A Financial Services Fund (Appropriated)	132.1	5.3
	151.0	**263.3**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	613.4	352.5
	613.4	**352.5**
Fund Source Total	**764.4**	**615.8**
Travel In-State	46.1	50.5
Expenditure Category Total	**46.1**	**50.5**
Appropriated		
1000-A General Fund (Appropriated)	1.8	0.5
1998-A Financial Services Fund (Appropriated)	0.0	0.0
	1.8	**0.5**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	44.3	50.0
	44.3	**50.0**
Fund Source Total	**46.1**	**50.5**
Travel Out of State	47.3	79.8
Expenditure Category Total	**47.3**	**79.8**
Appropriated		
1000-A General Fund (Appropriated)	0.8	42.4
1998-A Financial Services Fund (Appropriated)	9.1	0.4
	9.9	**42.8**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	37.4	37.0
	37.4	**37.0**
Fund Source Total	**47.3**	**79.8**
Food	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Other Operating Expenses		416.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	8.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Office of Supervision**

	FY 2018 Actual	FY 2019 Expd. Plan
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	34.7	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	30.7	
Pmt for AFIS Development & Usage	2.4	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	52.9	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	112.5	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	1.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Office of Supervision

	FY 2018 Actual	FY 2019 Expd. Plan
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	4.7	
Other Repair And Maintenance	1.4	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	7.9	
Computer Supplies	8.3	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	6.9	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.7	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.4	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	53.0	
Books- Subscriptions And Publications	16.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Office of Supervision**

	FY 2018 Actual	FY 2019 Expd. Plan
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.2	
Expenditure Category Total	**341.7**	**416.0**
Appropriated		
1000-A General Fund (Appropriated)	67.1	354.5
1998-A Financial Services Fund (Appropriated)	214.8	11.7
2126-A Banking Department Revolving (Appropriated)	0.0	49.8
	281.9	**416.0**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	59.8	0.0
	59.8	**0.0**
Fund Source Total	**341.7**	**416.0**
Current Year Expenditures		31.4
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Office of Supervision

	FY 2018 Actual	FY 2019 Expd. Plan
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	1.4	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	33.7	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	34.5	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	**69.6**	**31.4**
Appropriated		
1000-A General Fund (Appropriated)	16.7	29.4
1998-A Financial Services Fund (Appropriated)	51.2	1.8
2126-A Banking Department Revolving (Appropriated)	0.0	0.2
	67.9	**31.4**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	1.7	0.0
	1.7	**0.0**
Fund Source Total	**69.6**	**31.4**
Capital Outlay	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Debt Service	0.0	0.0

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Office of Supervision

	FY 2018 Actual	FY 2019 Expd. Plan
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Cost Allocation	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Transfers	71.6	18.8
Expenditure Category Total	**71.6**	**18.8**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	71.0	0.0
	71.0	**0.0**
Non-Appropriated		
2126-N Banking Department Revolving (Non-Appropriated)	0.6	18.8
	0.6	**18.8**
Fund Source Total	**71.6**	**18.8**

Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	0.0	333.9	1000-A
Arizona State Retirement System	0.0	2,225.8	1998-A
Arizona State Retirement System	0.0	75.0	2126-N

Combined Regular & Elected Positions At/Above FICA Maximum of $127,200

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Summary of Expenditures and Budget Request

Agency: State Department of Financial Institutions
Program: Office of Regulatory Affairs

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Program Summary					
2-1	Office of Regulatory Affairs	2,468.2	1,709.4	(12.0)	1,697.4
2-2	SLI Real Estate Appraisal	606.6	0.0	0.0	0.0
	Program Summary Total:	3,074.8	1,709.4	(12.0)	1,697.4
Expenditure Categories					
0000	FTE Positions	40.0	25.5	0.0	25.5
6000	Personal Services	1,320.2	895.7	0.0	895.7
6100	Employee Related Expenses	520.3	331.4	0.0	331.4
6200	Professional and Outside Services	295.2	148.2	0.0	148.2
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	17.9	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	293.3	303.0	0.0	303.0
8000	Equipment	42.7	31.1	(12.0)	19.1
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	585.2	0.0	0.0	0.0
	Expenditure Categories Total:	3,074.8	1,709.4	(12.0)	1,697.4
Fund Source					
Appropriated Funds					
1000-A	General Fund (Appropriated)	1,420.2	815.5	0.0	815.5
1998-A	Financial Services Fund (Appropriated)	1,048.0	893.9	(12.0)	881.9
2270-A	Board of Appraisal Fund (Appropriated)	606.6	0.0	0.0	0.0
		3,074.8	1,709.4	(12.0)	1,697.4
	Fund Source Total:	3,074.8	1,709.4	(12.0)	1,697.4

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Regulatory Affairs

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund: 1000-A	**General Fund (Appropriated)**				
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
2-1 Office of Regulatory Affairs		1,420.2	815.5	0.0	815.5
	Total	1,420.2	815.5	0.0	815.5
Appropriated Funding					
Expenditure Categories					
FTE Positions		18.5	6.0	0.0	6.0
Personal Services		796.8	254.1	0.0	254.1
Employee Related Expenses		308.6	94.0	0.0	94.0
Professional and Outside Services		115.0	141.9	0.0	141.9
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		11.8	0.0	0.0	0.0
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		181.9	296.1	0.0	296.1
Equipment		6.1	29.4	0.0	29.4
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0
Expenditure Categories Total:		1,420.2	815.5	0.0	815.5
Fund 1000-A Total:		1,420.2	815.5	0.0	815.5

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Regulatory Affairs

Fund: 1998-A Financial Services Fund (Appropriated)

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
2-1 Office of Regulatory Affairs		1,048.0	893.9	(12.0)	881.9
	Total	1,048.0	893.9	(12.0)	881.9
Appropriated Funding					
Expenditure Categories					
FTE Positions		12.5	19.5	0.0	19.5
Personal Services		479.7	641.6	0.0	641.6
Employee Related Expenses		192.4	237.4	0.0	237.4
Professional and Outside Services		158.0	6.3	0.0	6.3
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		3.7	0.0	0.0	0.0
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		106.7	6.9	0.0	6.9
Equipment		36.5	1.7	(12.0)	(10.3)
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		71.0	0.0	0.0	0.0
Expenditure Categories Total:		1,048.0	893.9	(12.0)	881.9
Fund 1998-A Total:		1,048.0	893.9	(12.0)	881.9

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Regulatory Affairs

			FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund:	**2270-A**	**Board of Appraisal Fund (Appropriated)**				
Program Expenditures						
	COST CENTER/PROGRAM BUDGET UNIT					
2-2	SLI Real Estate Appraisal		606.6	0.0	0.0	0.0
		Total	606.6	0.0	0.0	0.0
Appropriated Funding						
Expenditure Categories						
	FTE Positions		9.0	0.0	0.0	0.0
	Personal Services		43.7	0.0	0.0	0.0
	Employee Related Expenses		19.3	0.0	0.0	0.0
	Professional and Outside Services		22.2	0.0	0.0	0.0
	Travel In-State		0.0	0.0	0.0	0.0
	Travel Out of State		2.4	0.0	0.0	0.0
	Food		0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
	Other Operating Expenses		4.7	0.0	0.0	0.0
	Equipment		0.1	0.0	0.0	0.0
	Capital Outlay		0.0	0.0	0.0	0.0
	Debt Service		0.0	0.0	0.0	0.0
	Cost Allocation		0.0	0.0	0.0	0.0
	Transfers		514.2	0.0	0.0	0.0
Expenditure Categories Total:			606.6	0.0	0.0	0.0
Fund 2270-A Total:			606.6	0.0	0.0	0.0
Program 2 Total:			606.6	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: State Department of Financial Institutions
Program: Office of Regulatory Affairs

Expenditure Categories		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
0000	FTE	31.0	25.5	0.0	25.5
6000	Personal Services	1,276.5	895.7	0.0	895.7
6100	Employee Related Expenses	501.0	331.4	0.0	331.4
6200	Professional and Outside Services	273.0	148.2	0.0	148.2
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	15.5	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	288.6	303.0	0.0	303.0
8000	Equipment	42.6	31.1	(12.0)	19.1
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	71.0	0.0	0.0	0.0
	Expenditure Categories Total:	2,468.2	1,709.4	(12.0)	1,697.4
Fund Source					
Appropriated Funds					
1000-A	General Fund (Appropriated)	1,420.2	815.5	0.0	815.5
1998-A	Financial Services Fund (Appropriated)	1,048.0	893.9	(12.0)	881.9
		2,468.2	1,709.4	(12.0)	1,697.4
	Fund Source Total:	2,468.2	1,709.4	(12.0)	1,697.4

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Regulatory Affairs

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund: 1000-A General Fund					
Appropriated					
0000	FTE	18.5	6.0	0.0	6.0
6000	Personal Services	796.8	254.1	0.0	254.1
6100	Employee Related Expenses	308.6	94.0	0.0	94.0
6200	Professional and Outside Services	115.0	141.9	0.0	141.9
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	11.8	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	181.9	296.1	0.0	296.1
8000	Equipment	6.1	29.4	0.0	29.4
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
Appropriated Total:		1,420.2	815.5	0.0	815.5
Fund Total:		1,420.2	815.5	0.0	815.5
Fund: 1998-A Financial Services Fund					
Appropriated					
0000	FTE	12.5	19.5	0.0	19.5
6000	Personal Services	479.7	641.6	0.0	641.6
6100	Employee Related Expenses	192.4	237.4	0.0	237.4
6200	Professional and Outside Services	158.0	6.3	0.0	6.3
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	3.7	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	106.7	6.9	0.0	6.9
8000	Equipment	36.5	1.7	(12.0)	(10.3)
8100	Capital Outlay	0.0	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Office of Regulatory Affairs

Fund: 1998-A Financial Services Fund

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Appropriated					
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	71.0	0.0	0.0	0.0
Appropriated Total:		1,048.0	893.9	(12.0)	881.9
Fund Total:		1,048.0	893.9	(12.0)	881.9
Program Total For Selected Funds:		2,468.2	1,709.4	(12.0)	1,697.4

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Office of Regulatory Affairs**

	FY 2018 Actual	FY 2019 Expd. Plan
FTE	31.0	25.5
Expenditure Category Total	**31.0**	**25.5**
Appropriated		
1000-A General Fund (Appropriated)	18.5	6.0
1998-A Financial Services Fund (Appropriated)	12.5	19.5
	31.0	**25.5**
Fund Source Total	**31.0**	**25.5**
Personal Services	1,276.5	895.7
Boards and Commissions	0.0	0.0
Expenditure Category Total	**1,276.5**	**895.7**
Appropriated		
1000-A General Fund (Appropriated)	796.8	254.1
1998-A Financial Services Fund (Appropriated)	479.7	641.6
	1,276.5	**895.7**
Fund Source Total	**1,276.5**	**895.7**
Employee Related Expenses	501.0	331.4
Expenditure Category Total	**501.0**	**331.4**
Appropriated		
1000-A General Fund (Appropriated)	308.6	94.0
1998-A Financial Services Fund (Appropriated)	192.4	237.4
	501.0	**331.4**
Fund Source Total	**501.0**	**331.4**
Professional and Outside Services		148.2
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	42.4	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	89.3	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	141.3	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Office of Regulatory Affairs

	FY 2018 Actual	FY 2019 Expd. Plan
Expenditure Category Total	**273.0**	**148.2**
Appropriated		
1000-A General Fund (Appropriated)	115.0	141.9
1998-A Financial Services Fund (Appropriated)	158.0	6.3
	273.0	**148.2**
Fund Source Total	**273.0**	**148.2**
Travel In-State	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Travel Out of State	15.5	0.0
Expenditure Category Total	**15.5**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	11.8	0.0
1998-A Financial Services Fund (Appropriated)	3.7	0.0
	15.5	**0.0**
Fund Source Total	**15.5**	**0.0**
Food	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Other Operating Expenses		303.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	4.8	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Office of Regulatory Affairs

	FY 2018 Actual	FY 2019 Expd. Plan
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	26.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	30.6	
Pmt for AFIS Development & Usage	2.4	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	29.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	85.9	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	2.8	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	5.7	
Other Repair And Maintenance	1.5	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	6.0	
Computer Supplies	0.3	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Office of Regulatory Affairs**

	FY 2018 Actual	FY 2019 Expd. Plan
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	13.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	1.4	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.1	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	1.0	
Books- Subscriptions And Publications	77.4	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.7	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Office of Regulatory Affairs**

	FY 2018 Actual	FY 2019 Expd. Plan
Expenditure Category Total	**288.6**	**303.0**
Appropriated		
1000-A General Fund (Appropriated)	181.9	296.1
1998-A Financial Services Fund (Appropriated)	106.7	6.9
	288.6	**303.0**
Fund Source Total	**288.6**	**303.0**
Current Year Expenditures		31.1
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	8.1	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	34.5	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Office of Regulatory Affairs

	FY 2018 Actual	FY 2019 Expd. Plan
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	**42.6**	**31.1**
Appropriated		
1000-A General Fund (Appropriated)	6.1	29.4
1998-A Financial Services Fund (Appropriated)	36.5	1.7
	42.6	**31.1**
Fund Source Total	**42.6**	**31.1**
Capital Outlay	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Debt Service	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Cost Allocation	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Transfers	71.0	0.0
Expenditure Category Total	**71.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
1998-A Financial Services Fund (Appropriated)	71.0	0.0
	71.0	**0.0**
Fund Source Total	**71.0**	**0.0**

Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	0.0	254.1	1000-A
Arizona State Retirement System	0.0	641.6	1998-A

Combined Regular & Elected Positions At/Above FICA Maximum of $127,200

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Office of Regulatory Affairs**

			FY 2018 Actual	FY 2019 Expd. Plan
0.0	0.0	0.0		

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: State Department of Financial Institutions
Program: SLI Real Estate Appraisal

Expenditure Categories		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
0000	FTE	9.0	0.0	0.0	0.0
6000	Personal Services	43.7	0.0	0.0	0.0
6100	Employee Related Expenses	19.3	0.0	0.0	0.0
6200	Professional and Outside Services	22.2	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	2.4	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	4.7	0.0	0.0	0.0
8000	Equipment	0.1	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	514.2	0.0	0.0	0.0
	Expenditure Categories Total:	606.6	0.0	0.0	0.0

Fund Source					
Appropriated Funds					
2270-A	Board of Appraisal Fund (Appropriated)	606.6	0.0	0.0	0.0
		606.6	0.0	0.0	0.0
	Fund Source Total:	606.6	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: SLI Real Estate Appraisal

Fund: 2270-A Board of Appraisal Fund

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Appropriated					
0000	FTE	9.0	0.0	0.0	0.0
6000	Personal Services	43.7	0.0	0.0	0.0
6100	Employee Related Expenses	19.3	0.0	0.0	0.0
6200	Professional and Outside Services	22.2	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	2.4	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	4.7	0.0	0.0	0.0
8000	Equipment	0.1	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	514.2	0.0	0.0	0.0
Appropriated Total:		606.6	0.0	0.0	0.0
Fund Total:		606.6	0.0	0.0	0.0
Program Total For Selected Funds:		606.6	0.0	0.0	0.0

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: SLI Real Estate Appraisal

	FY 2018 Actual	FY 2019 Expd. Plan
FTE	9.0	0.0
Expenditure Category Total	**9.0**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	9.0	0.0
	9.0	**0.0**
Fund Source Total	**9.0**	**0.0**
Personal Services	43.7	0.0
Boards and Commissions	0.0	0.0
Expenditure Category Total	**43.7**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	43.7	0.0
	43.7	**0.0**
Fund Source Total	**43.7**	**0.0**
Employee Related Expenses	19.3	0.0
Expenditure Category Total	**19.3**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	19.3	0.0
	19.3	**0.0**
Fund Source Total	**19.3**	**0.0**
Professional and Outside Services		0.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	21.9	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.3	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: SLI Real Estate Appraisal

	FY 2018 Actual	FY 2019 Expd. Plan
Expenditure Category Total	**22.2**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	22.2	0.0
	22.2	**0.0**
Fund Source Total	**22.2**	**0.0**
Travel In-State	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Travel Out of State	2.4	0.0
Expenditure Category Total	**2.4**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	2.4	0.0
	2.4	**0.0**
Fund Source Total	**2.4**	**0.0**
Food	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Other Operating Expenses		0.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	1.3	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**SLI Real Estate Appraisal**

	FY 2018 Actual	FY 2019 Expd. Plan
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.5	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.4	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.1	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.3	
Other Repair And Maintenance	0.9	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**SLI Real Estate Appraisal**

	FY 2018 Actual	FY 2019 Expd. Plan
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.9	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.3	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**SLI Real Estate Appraisal**

	FY 2018 Actual	FY 2019 Expd. Plan
Expenditure Category Total	**4.7**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	4.7	0.0
	4.7	**0.0**
Fund Source Total	**4.7**	**0.0**
Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.1	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: SLI Real Estate Appraisal

	FY 2018 Actual	FY 2019 Expd. Plan
Expenditure Category Total	**0.1**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	0.1	0.0
	0.1	**0.0**
Fund Source Total	**0.1**	**0.0**
Capital Outlay	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Debt Service	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Cost Allocation	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Transfers	514.2	0.0
Expenditure Category Total	**514.2**	**0.0**
Appropriated		
2270-A Board of Appraisal Fund (Appropriated)	514.2	0.0
	514.2	**0.0**
Fund Source Total	**514.2**	**0.0**

Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	0.0	0.0	2270-A

Combined Regular & Elected Positions At/Above FICA Maximum of $127,200

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Program Summary of Expenditures and Budget Request

Agency: State Department of Financial Institutions
Program: Receiverships

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Program Summary					
3-1	Receiverships	318.7	129.1	0.0	129.1
	Program Summary Total:	318.7	129.1	0.0	129.1
Expenditure Categories					
0000	FTE Positions	0.6	0.6	0.0	0.6
6000	Personal Services	11.3	19.8	0.0	19.8
6100	Employee Related Expenses	3.8	7.3	0.0	7.3
6200	Professional and Outside Services	303.6	102.0	0.0	102.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Expenditure Categories Total:	318.7	129.1	0.0	129.1
Fund Source					
Appropriated Funds					
1000-A	General Fund (Appropriated)	15.1	27.1	0.0	27.1
		15.1	27.1	0.0	27.1
Non-Appropriated Funds					
3023-N	Receivership Revolving Fund (Non-Appropriated)	303.6	102.0	0.0	102.0
		303.6	102.0	0.0	102.0
	Fund Source Total:	318.7	129.1	0.0	129.1

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Receiverships

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund:	**1000-A General Fund (Appropriated)**				
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
3-1	Receiverships	15.1	27.1	0.0	27.1
	Total	15.1	27.1	0.0	27.1
Appropriated Funding					
Expenditure Categories					
FTE Positions		0.6	0.6	0.0	0.6
Personal Services		11.3	19.8	0.0	19.8
Employee Related Expenses		3.8	7.3	0.0	7.3
Professional and Outside Services		0.0	0.0	0.0	0.0
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		0.0	0.0	0.0	0.0
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		0.0	0.0	0.0	0.0
Equipment		0.0	0.0	0.0	0.0
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0
Expenditure Categories Total:		15.1	27.1	0.0	27.1
Fund 1000-A Total:		15.1	27.1	0.0	27.1

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Receiverships

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Fund:	**3023-N Receivership Revolving Fund (Non-Appropriated)**				
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
3-1	Receiverships	303.6	102.0	0.0	102.0
	Total	303.6	102.0	0.0	102.0
Non-Appropriated Funding					
Expenditure Categories					
FTE Positions		0.0	0.0	0.0	0.0
Personal Services		0.0	0.0	0.0	0.0
Employee Related Expenses		0.0	0.0	0.0	0.0
Professional and Outside Services		303.6	102.0	0.0	102.0
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		0.0	0.0	0.0	0.0
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		0.0	0.0	0.0	0.0
Equipment		0.0	0.0	0.0	0.0
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0
Expenditure Categories Total:		303.6	102.0	0.0	102.0
Fund 3023-N Total:		303.6	102.0	0.0	102.0
Program 3 Total:		318.7	129.1	0.0	129.1

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: State Department of Financial Institutions
Program: Receiverships

Expenditure Categories		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
0000	FTE	0.6	0.6	0.0	0.6
6000	Personal Services	11.3	19.8	0.0	19.8
6100	Employee Related Expenses	3.8	7.3	0.0	7.3
6200	Professional and Outside Services	303.6	102.0	0.0	102.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	Expenditure Categories Total:	318.7	129.1	0.0	129.1
Fund Source					
Appropriated Funds					
1000-A	General Fund (Appropriated)	15.1	27.1	0.0	27.1
		15.1	27.1	0.0	27.1
Non-Appropriated Funds					
3023-N	Receivership Revolving Fund (Non-Appropriated)	303.6	102.0	0.0	102.0
		303.6	102.0	0.0	102.0
	Fund Source Total:	318.7	129.1	0.0	129.1

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Receiverships

Fund: 1000-A General Fund

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
Appropriated					
0000	FTE	0.6	0.6	0.0	0.6
6000	Personal Services	11.3	19.8	0.0	19.8
6100	Employee Related Expenses	3.8	7.3	0.0	7.3
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
Appropriated Total:		15.1	27.1	0.0	27.1
Fund Total:		15.1	27.1	0.0	27.1

Fund: 3023-N Receivership Revolving Fund

Non-Appropriated					
0000	FTE	0.0	0.0	0.0	0.0
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	303.6	102.0	0.0	102.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: State Department of Financial Institutions

Program: Receiverships

Fund: 3023-N Receivership Revolving Fund

Non-Appropriated

		FY 2018 Actual	FY 2019 Expd. Plan	FY 2020 Fund. Issue	FY 2020 Total Request
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
Non-Appropriated Total:		303.6	102.0	0.0	102.0
Fund Total:		303.6	102.0	0.0	102.0
Program Total For Selected Funds:		318.7	129.1	0.0	129.1

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Receiverships

	FY 2018 Actual	FY 2019 Expd. Plan
FTE	0.6	0.6
Expenditure Category Total	**0.6**	**0.6**
Appropriated		
1000-A General Fund (Appropriated)	0.6	0.6
	0.6	**0.6**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.6**	**0.6**
Personal Services	11.3	19.8
Boards and Commissions	0.0	0.0
Expenditure Category Total	**11.3**	**19.8**
Appropriated		
1000-A General Fund (Appropriated)	11.3	19.8
	11.3	**19.8**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**11.3**	**19.8**
Employee Related Expenses	3.8	7.3
Expenditure Category Total	**3.8**	**7.3**
Appropriated		
1000-A General Fund (Appropriated)	3.8	7.3
	3.8	**7.3**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**3.8**	**7.3**
Professional and Outside Services		102.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	51.6	
Attorney General Legal Services	0.0	
External Legal Services	47.9	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Receiverships

	FY 2018 Actual	FY 2019 Expd. Plan
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	204.1	
Expenditure Category Total	**303.6**	**102.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	303.6	102.0
	303.6	**102.0**
Fund Source Total	**303.6**	**102.0**
Travel In-State	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Travel Out of State	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Food	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Other Operating Expenses		0.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Receiverships**

	FY 2018 Actual	FY 2019 Expd. Plan
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	0.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Receiverships

	FY 2018 Actual	FY 2019 Expd. Plan
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.0	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Receiverships**

	FY 2018 Actual	FY 2019 Expd. Plan
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	

Program Expenditure Schedule

Agency: State Department of Financial Institutions

Program: Receiverships

	FY 2018 Actual	FY 2019 Expd. Plan
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Capital Outlay	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Debt Service	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Cost Allocation	0.0	0.0
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**
Transfers	0.0	0.0

Program Expenditure Schedule

Agency:	**State Department of Financial Institutions**
Program:	**Receiverships**

	FY 2018 Actual	FY 2019 Expd. Plan
Expenditure Category Total	**0.0**	**0.0**
Appropriated		
1000-A General Fund (Appropriated)	0.0	0.0
	0.0	**0.0**
Non-Appropriated		
3023-N Receivership Revolving Fund (Non-Appropriated)	0.0	0.0
	0.0	**0.0**
Fund Source Total	**0.0**	**0.0**

Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	0.6	19.8	1000-A

Combined Regular & Elected Positions At/Above FICA Maximum of $127,200

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

Administrative Costs

Agency: State Department of Financial Institutions

Administrative Costs Summary

Common Administrative Area	FY 2020
Personal Services	415.9
ERE	153.9
All Other	32.3
Administrative Costs Total:	602.1

Administrative Cost / Total Expenditure Ratio

	Request	Admin %
FY 2020	6,648.4	9.1%

Arizona Department of Financial Institutions

Fiscal Year 2020

Department Funding Structure

Department of Financial Institutions
Funding Structure

I. General Fund

DFI neither has access to its general fund revenue (such as a 90/10 agency) nor any restraints on revenue collections (such as a 95/110 agency, in which fees must be set so that revenues collected equal between 95% and 110% of appropriations).

Fees are set by statute and have not been adjusted in more than two decades. During the 2009 to 2012 financial crisis, revenues to drop substantially. During FY 2013, revenues turned upward and have continued to improve resulting in nearly $5.2 million being added to the State's general fund in FY 2018.

DFI's general fund appropriation for FY 2019 now sits at **$2.2 million**. The following chart compares revenue collections with appropriations and general fund expenditures over the past decade.

Arizona Department of Institutions: Actual Revenue and Expenditures vs. Budget, Fiscal Years 2009-2018.

- DFI's revenue less expenditures added $5.2 million to the State's General Fund in FY 2018.

	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	10 Year Average
Revenue	5,435,509	4,637,690	4,412,390	4,302,145	4,769,218	5,333,285	5,866,580	6,401,068	6,157,474	7,304,856	5,462,022
Appropriation	3,304,900	2,970,300	2,894,800	2,814,300	2,958,800	3,019,100	3,020,200	3,008,000	2,978,200	2,201,000	2,916,960
Expenditures	3,304,393	2,970,197	2,794,887	2,745,082	2,948,793	3,011,159	3,004,628	2,904,295	2,911,305	2,146,948	2,874,169
Net to General Fund (Revenue less Expenditures)	2,131,116	1,667,493	1,617,503	1,557,063	1,820,425	2,322,126	2,861,952	3,496,773	3,246,169	5,157,908	2,587,853

Note: Revenue derives from examinations, licensing fees and annual assessments paid by banks and credit unions.

DFI has been a consistent net contributor to the General Fund. Over the last 10 years, DFI added an average of $2.6 million per year to the State's general fund.

All of DFI's general fund revenue streams come exclusively from industry sources (i.e., licensing, examination, and annual assessments). Therefore, the state is essentially utilizing revenues from the regulated financial sector for non-DFI initiatives.

II. Other "Appropriated" Funds

Financial Services Fund: Under A.R.S. Title 6, Chapter 9, Article 4, any person acting as a loan originator required a license from DFI beginning July 1, 2010. This new law also provided a financial structure that allows the Loan Originator licensing program to be self-sustaining and minimize support from the General Fund. Under A.R.S. § 6-991.21, the Financial Services Fund was established, consisting of loan originator licensing fees collected by DFI. The monies in this fund – which are subject to annual legislative appropriation – shall be used "…for the supervision and regulation of loan originators."

Real Estate Appraisal Fund: SB1197 (Laws 2017, Chapter 334) made conforming changes to various statutes regarding the department's real estate appraisal duties as a result of agency consolidation. Among these changes was the elimination of the Board of Appraisal Fund. Licensing fee revenues will now be deposited in the General Fund rather than in the Board of Appraisal Fund.

III. Non-appropriated Funding

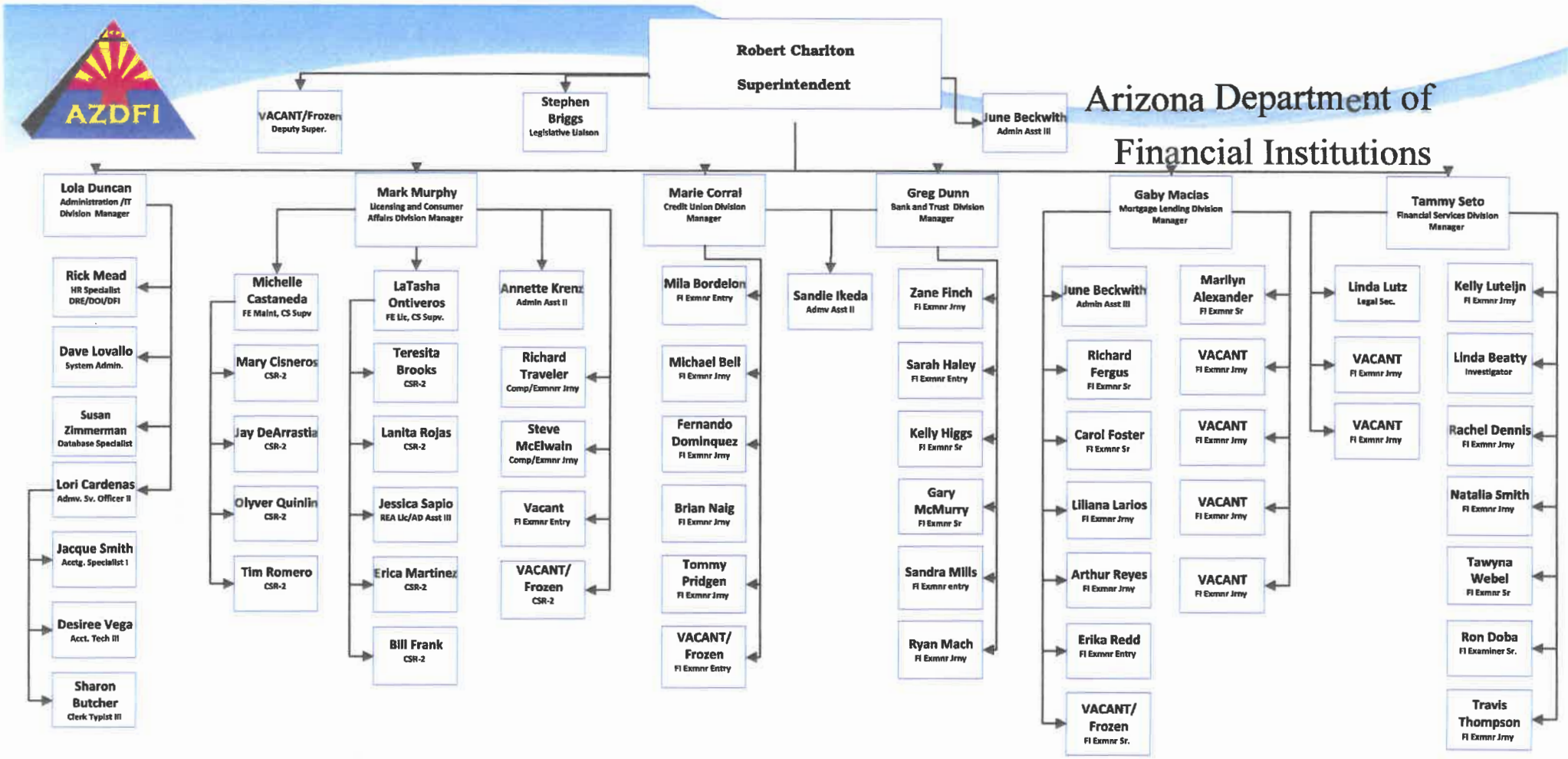
Department Revolving Fund: DFI has a Special Revolving Fund ("RF") for civil money penalties (CMP's) collected and deposited. Monies can be expended by DFI "…for investigative proceedings or for the purposes of instituting and prosecuting civil actions pursuant to this title." A.R.S. § 6-135(C). CMP's are assessed by DFI as an enforcement tool to encourage compliance with State statutes. It's important to point out that any amount over $200K in this fund at the end of each fiscal must be transferred to DFI's Receivership Revolving Fund pursuant to A.R.S. § 6-135(B).

Receivership Revolving Fund: This fund consists of: 1) monies awarded and received as fees and costs in receiverships in which the Superintendent was the receiver and 2) Monies received from DFI's Department Revolving fund (see above). Under A.R.S. § 6-135.01(B), "monies in the fund may be used to pay any costs incurred by the Department arising out of the administration of a receivership in which the Superintendent is the receiver."

IV. FY20 Budget Request

DFI's FY 2020 budget request can be funded without the use of Arizona taxpayers' funds. The monies generated from banks, credit unions, and licensees' fees and assessments should be used to underwrite DFI's operations. These monies should also be used to fund core agency functions that are currently being funded out of the Department Revolving Fund (e.g. examiner travel reimbursements and examiner training). In this way, DFI can adequately and meaningfully serve its regulated community and consumers.

Arizona Department of Financial Institutions



ALB, CL, , DM, EA,
DC MT ONE CE

August 21, 2018

Department of Financial Institutions
Receivership Revolving Fund
Annual Report Ending June 30, 2018

Receipts

Deposits:		
Landmarc Capital Receivership		$ 100,000.00
Other Deposits		$ .00
Transfer from Special Revolving Fund		$ .00
	Total Receipts	$ 100,000.00

Disbursements

Professional & Outside Services:		
Attorney Fees	$ 47,868.57	
Temporary Services	$ 51,609.74	$ 99,478.31
Other:		
Laws 2018, 53L, 2R, CH276, SB1520, Sec 139.7	$ 50,000.00	$ 50,000.00
Electronic Licensing System*:		
Professional & Outside Services	$204,112.25	$ 204,112.25
	Total Disbursements	$ 353,590.56

SUMMARY

Balance as of July 1, 2017	$ 2,154,426.34
Current Receipts	$ 100,000.00
Current Disbursements	$ 353,590.56
Ending Balance as of June 30, 2018	$ 1,900,835.78

*The 2018 Budget Reconciliation Bill (SB 1531) allows the Agency to spend up to $850K from the Receivership Revolving Fund in fiscal years 2014 thru 2018 (combined) for an electronic licensing system.