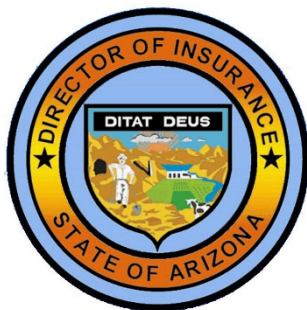


# State of Arizona Budget Request

---

## ARIZONA DEPARTMENT OF INSURANCE

**Fiscal Year 2021**



**Keith A. Schraad**  
Director

---

# State of Arizona Budget Request

## Department of Insurance

**Transmittal Statement..... 1**

**Revenues, Sources and Uses ..... 2**

Fund	Revenue Schedule	Sources and Uses of Funds
1000: General Fund	2	N/A
• Insurance Premium Tax Forecast	2.3	N/A
2000: Federal Grant	3	11
2034: Insurance Examiners Revolving Fund	4	12
2163: Insurance Department Fingerprinting Fund	5	13
2316: Assessment Fund for Voluntary Plans	N/A	14
2377: Captive Insurance Regulatory and Supervision Fund	6	15
2467: Health Care Appeals Fund	7	16
2473: Financial Surveillance Fund	8	17
2500: IGA and ISA Fund	N/A	18
3104: Receivership Liquidation Fund	9	19
3727: Insurance Premium Tax Clearing Fund	10	20

**Summary of Expenditures and Budget Request ..... 21**

Selected Fund	Page
1000: General Fund	24
2000: Federal Grant	25
2034: Insurance Examiners Revolving Fund	26
2316: Assessment Fund for Voluntary Plans	27
2377: Captive Insurance Regulatory and Supervision Fund	28
2467: Health Care Appeals Fund	29
2473: Financial Surveillance Fund	30
2500: IGA and ISA Fund	31
3104: Receivership Liquidation Fund	32

**Program Summary of Expenditures and Budget Request..... 34**

Report	Page
Program 1: Policy and Administration	34
Program 2: Solvency Regulation	46
Program 3: Consumer Support	63
Program 4: Fraud Investigation and Deterrence	82
Program 5: Licensing	94
Program 6: Premium Tax Collection and Analysis	106
Program 7: Captive Insurer	116

**Administrative Costs..... 126**

**Organization Chart ..... 127**



# State of Arizona Budget Request

State Agency  
Department of Insurance

A.R.S. Citation: § 20-101

Governor **DUCEY**:

This and the accompanying budget schedules, statements and explanatory information constitute the operating budget request for this agency for Fiscal Year 2021.

To the best of my knowledge all statements and explanations contained in the estimates submitted are true and correct.

Agency Head: **Keith A. Schraad**

Title: **Director**

Keith A. Schraad 9/3/2019  
(signature)

Phone: **(602) 364-3764**

Prepared By: **Scott B. Greenberg**

Email Address: **sgreenberg@azinsurance.gov**

Date Prepared: **Tuesday, September 3, 2019**

### Appropriated Funds

	FY 2020 Approp	FY 2021 Fund. Issue	FY 2021 Total Budget
Total Amount Requested:	5,663.2	0.0	5,663.2
General Fund	5,663.2	0.0	5,663.2

### Non-Appropriated Funds

	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Budget
Total Amount Planned:	3,723.0	0.0	3,723.0
Federal Grants FUND	0.0	0.0	0.0
Insurance Examiners Revolving FUND	2,265.9	0.0	2,265.9
Assessment Fund for Voluntary Plans Fund	124.1	0.0	124.1
Captive Insurance Regulatory and Supervision Fund	396.1	0.0	396.1
Health Care Appeals Fund	271.3	0.0	271.3
Financial Surveillance Fund	532.1	0.0	532.1
IGA and ISA Fund	0.3	0.0	0.3
Receivership Liquidation FUND	133.2	0.0	133.2
Total:	9,386.2	0.0	9,386.2

## Revenue Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
----------------	--------------------------------

<b>Fund:</b>	<b>AA1000 General Fund</b>
--------------	----------------------------

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4192	INSURANCE PREMIUM TAX	549,596.9	535,100.0	539,500.0
4314	FILING FEES	541.6	557.9	574.6
4339	OTHER FEES AND CHARGES FOR SERVICES	10.6	10.6	10.6
4372	PUBLICATIONS AND REPRODUCTIONS	8.8	8.4	8.0
4415	OCCUPATIONAL AND PROFESSIONAL LICENSES	10,490.9	11,120.4	11,878.6
4417	REGULATORY LICENSES	611.1	611.1	611.1
4449	OTHER FEES	2,184.2	2,201.0	2,201.0
4511	COURT ASSESSMENTS	0.4	0.0	0.0
4512	RESTITUTION	3.2	0.0	0.0
4519	OTHER FINES OR FORFEITURES OR PENALTIES	293.3	293.3	293.3
4645	CREDIT CARD DISCOUNT FEES PAID	(3.9)	(4.0)	(4.1)
4647	CREDIT CARD PROCESSING FEES PAID	(1.8)	(1.9)	(1.9)
4699	MISCELLANEOUS RECEIPTS	9.5	9.5	9.5
4829	PRIOR YEAR REVENUE ADJUSTMENTS	38.2	0.0	0.0
<b>Fund Total:</b>		563,783.0	549,906.3	555,080.7



**FUND 1000 (GENERAL FUND)**

**IMPORTANT GENERAL FUND REVENUE FORECAST ASSUMPTION**

Estimates assume that ARS § 20-167(F) and 20-466(J), which provide for fee schedule and fraud assessment reductions if revenues exceed 110% of the Department’s General Fund appropriation, will continue to be suspended or will be otherwise amended to prevent reductions to unit fee amounts.

During FY 2019, the Department collected \$13,455,479 in fee and assessment revenue that would be included in the fee-schedule calculation. For FY 2020, the Department’s General Fund appropriation is \$5,663,200, causing FY 2019 revenues to be 237% of the Department’s FY 2020 appropriation. Without a change to or suspension of the existing statutes, the Department would be required to adjust its fee schedule and insurance fraud assessments so that beginning in FY 2021, the Department would collect between \$5,380,040 (95% of the Department’s FY 2020 appropriation) and \$6,229,520 (110% of the Department’s FY 2020 appropriation).

***Absent legislation, the Department will need to institute a fee decrease resulting in a \$7.2- to \$8.1-million General Fund revenue reduction.***

**4192 – Insurance Premium Tax**

See “Insurance Premium Tax Forecast,” for details concerning our estimates for FY 2020 and FY 2021. Overall, we expect the declining tax rate applicable to life insurance and non-fire property and casualty premiums coupled with anticipated increases in tax credit application will mitigate tax revenue that would otherwise result from aggregate premium growth.

**4314 – Filing Fees**

Estimates assume a 3% per year increase, consistent with the growth rate in FY 2019.

	FY 2018 Actual	FY 2019 Actual	FY 2020 Projection	FY 2021 Projection
<b>4314 Revenue</b>	\$524,650	\$541,600 (+3.2%)	\$557,900 (+3.0%)	\$574,600 (+3.0%)

**4415 – Occupational and Professional Licensing**

We estimated licensing revenue to reflect continued robust growth for FY 2020 and FY 2021.

	FY 2018 Actual	FY 2019 Actual	FY 2020 Projection	FY 2021 Projection
<b>4415 Revenue</b>	\$9,976,100	\$10,490,900 (+7.3%)	\$11,120,400 (+6.0%)	\$11,787,600 (+6.0%)

#### 4417 – Regulatory Licenses

Projections assume the number of certificates of authority issued and renewed will remain essentially the same, consistent with the growth rate in FY 2019.

	FY 2018 Actual	FY 2019 Actual	FY 2020 Projection	FY 2021 Projection
<b>4417 Revenue</b>	\$596,500	\$611,100 (+2.4%)	\$611,100 (0.0%)	\$611,100 (0.0%)

#### 4449 – Other Fees

This category is comprised of revenue from insurance fraud assessments prescribed by ARS § 20-466 and license late renewal fees. Late renewal fees are assumed to remain the same in FY 2020 and FY 2021 as they were in FY 2019.

4449 Category	FY 2019 Actual	FY 2020 Forecast	FY 2021 Forecast
Fraud Assessments	\$1,831,200	\$1,848,000	\$1,848,000
Late Renewal Fees	353,000	353,000	353,000
<b>TOTAL</b>	<b>\$2,184,200</b>	<b>\$2,201,000</b>	<b>\$2,201,000</b>

#### **IMPORTANT GENERAL FUND REVENUE FORECAST ASSUMPTION**

Estimates for fraud assessment revenue assume that the impact of ARS § 20-466(J), which provides for fraud assessment reductions if revenues exceed 110% of the Fraud Unit budget, will be negated by one of the following methods:

1. Appropriation of additional funding to the Fraud Unit to bring the Fraud Unit budget to an amount so that revenue is between 95% and 110% of the budget.
2. Continue to suspend or otherwise amend ARS § 20-466(J) to require the Department to continue to assess each insurer and service company the \$1,050-per-company maximum.

During FY 2019, the Department collected \$1,831,200 in fraud assessment revenue. For FY 2020, the Department's Fraud Unit budget is \$1,091,300, causing FY 2019 revenues to be 168% of the Department's FY 2020 Fraud Unit budget.

#### 4519 – Other Fines or Forfeitures or Penalties

The number and size of fines, forfeitures and penalties are impossible to predict. Projections for FY 2020 and FY 2021 assume penalty revenue will be substantially similar to the \$293,300 received in FY 2019.

# INSURANCE PREMIUM TAX (IPT) FORECAST

## EXECUTIVE SUMMARY 7

[Figure 1: Premium Tax Receipts and Distributions](#)

*Arizona Premium Tax Law Changes Enacted in 2018* 7

*Two-year Forecast* 7

[Figure 2. Summary of Actual and Estimated Premium Tax Collections](#)

*Summary of Economic Data* 8

## LINES OF INSURANCE 10

*Life Insurance* 10

[Figure 3: Life Insurance Aggregate Arizona Taxable Premiums](#)

*Accident and Health Insurance* 10

[Figure 4: Taxable Accident and Health Insurance Premium Volume](#)

*AHCCCS-contracted Coverage* 11

*Fire Insurance* 11

[Figure 5: Taxable Fire Insurance Premium Volume and Tax – Authorized Insurers](#)

*Vehicle Insurance* 12

[Figure 6: Vehicle Insurance Premium Volume and Loss Ratios](#)

*Other Property and Casualty Insurance* 13

[Figure 7: Summary of Other Property and Casualty Premium Volume](#)

- Homeowners (HO) Insurance
- Other liability (OL) coverage

[Figure 8: Arizona Other Liability Premiums](#)

*Surplus Lines and Industrial Insureds* 15

[Figure 9: Arizona Surplus Lines and Industrial Insured Premiums](#)

## RETALIATION PAYMENTS 17

[Figure 10: Retaliation Payments](#)

[Figure 11: Estimated Impact of Tax Reduction on Retaliation Revenue](#)

# INSURANCE PREMIUM TAX (IPT) FORECAST

## **IPT CREDITS** **18**

[Figure 12: Insurance Premium Tax Credits](#)

[Figure 13: Premium Tax Credits from Guaranty Fund Assessments](#)

## **ATTACHMENT A**

### **Insurance Premium Tax Receipt and Disbursement Due Dates** **20**

## **ATTACHMENT B**

### **Key Economic Data** **21**



## EXECUTIVE SUMMARY

**Figure 1** summarizes actual premium tax receipts for Fiscal Year 2018 and Fiscal Year 2019 and forecasted receipts for Fiscal Years 2020 and 2021. We expect insurance premium tax revenue to remain level over the next few years as the impact of modest insurance premium volume growth continues to be offset by the impact of the decreasing tax rate applicable to life insurance premiums and most forms of property and casualty insurance premiums due to Laws 2016, Chapter 358 (HB 2002).

**Figure 1: Premium Tax Receipts and Distributions (in millions)**

TAX RECIPIENTS	FY 2018 Actual	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
General Fund	\$509.3	\$549.6	\$535.1	\$539.5
AZDPS Retirement System (ARS § 20-224.01)	23.2	25.6	27.3	28.9
Municipal fire districts (ARS § 9-951)	17.2	18.2	18.9	19.1
<b>Total Premium Tax Receipts:</b>	<b>\$549.7</b>	<b>\$593.4</b>	<b>\$581.3</b>	<b>\$587.5</b>

**ATTACHMENT A** shows approximate amounts and timing of IPT revenue flow expected for FY 2020.

Our forecast represents our best estimates of how national economic trends and Arizona-specific conditions will affect aggregate premium volume and tax revenues for each type (or “line”) of insurance, subject to the accuracy of macroeconomic assumptions and source data, and the absence of substantial industrial or regulatory changes. We identify factors that change from year to year (i.e. aggregate loss ratios, competition levels, demographics and macroeconomic factors) and estimate the impacts of the combination of those factors on future insurance premium growth.

### Arizona Premium Tax Law Change Enacted in 2018

**Chapter 273 (SB 1101):** Allows an agency captive insurer that meets specified qualifications to insure employer group term life insurance, employer group disability income insurance and reimbursement of employer health plan deductibles, and requires the agency captive to pay insurance premium tax to the extent the tax liability exceeds the license or renewal fee the agency captive paid during the calendar/tax year. At this time, we do not expect this law change to materially impact tax revenues.

### Two-year Forecast

As **Figure 2** shows, FY 2019 actuals exceeded FY 2019 estimates by 8%, particularly with regard to its projection for credits and offsets insurers were expected to apply against their tax liabilities, and the forecast for accident and health insurance. The FY 2019 variance is the result of the Calendar Year 2018 estimates being magnified by the installment payment cash flow. Specifically, not only were the taxes calculated by insurers and filed in February and March greater than projected, but each of the four installment payments (March 15, April 15, May 15 and June 15) occurring within FY 2019 were consequently greater than projected (reflected as part of the amount in the “Cash Flow Adjustment”) line.

**Figure 2: Summary of Actual and Estimated Premium Tax Collections (in millions)-**

LINE OF INSURANCE (Tax Rate FY18, FY19, FY20)	FY 2019 Estimate	FY 2019 Actuals	FY 2020 Estimate	FY 2021 Estimate
Life Insurance (1.85%, 1.80%, 1.75%)	\$42.4	\$43.6	\$43.6	\$44.0
Accident and Health (2.0% all years)	102.9	108.5	110.7	110.7

LINE OF INSURANCE (Tax Rate FY18, FY19, FY20)	FY 2019 Estimate	FY 2019 Actuals	FY 2020 Estimate	FY 2021 Estimate
AHCCCS Contractors (2.0% all years)	221.4	225.5	221.3	229.1
Fire – Insurers (2.2% or 0.66% all years)	18.4	18.9	19.7	19.8
Vehicle (2.3312%, 2.2812%, 2.2312%)	131.0	135.1	141.0	146.1
Other Property and Casualty (1.85%, 1.80%, 1.75%)	62.2	62.5	62.1	58.9
Surplus Lines Fire (3.0% all years)	2.3	2.4	2.6	2.7
Other Surplus Lines / Industrial Insureds (3.0% all years)	14.9	16.1	17.4	18.8
Retaliation	9.8	10.3	11.8	13.3
Tax Credits	(52.6)	(40.9)	(49.0)	(54.2)
Cash Flow Adjustment**	(3.0)	11.4	0.1	(1.7)
<b>TOTAL Fiscal Year Revenues</b>	<b>\$549.6</b>	<b>\$593.4</b>	<b>\$581.3</b>	<b>\$587.5</b>
Distribution to DPS Retirement	(24.8)	(25.6)	(27.3)	(28.9)
Distributions to Municipal Fire Districts	(17.5)	(18.2)	(18.9)	(19.1)
<b>General Fund</b>	<b>\$507.3</b>	<b>\$549.6</b>	<b>\$535.1</b>	<b>\$539.5</b>

\*\*Cash flow adjustment reflects timing differences between tax liability and tax payments within the year.



## Summary of Economic Data

The following facts and assumptions, along with key economic data provided in [ATTACHMENT B](#), underlie our forecast.

The forecast assumes **US economic growth and recovery** will continue to grow at a substantially moderated rate during CY 2019, and will likely stall during CY 2020 and CY 2021 as a result of recessionary conditions indicated by the current interest rate inversion (lower long-term interest rate than short-term interest rate). The economy will also be impacted by dynamic environmental factors such as international trade and tariffs, and other factors resulting from federal policy.

**Arizona's population** estimates show growth picked up between 2016 and 2017, but the rate of growth has since declined ([ATTACHMENT B, Item 10](#)). The forecast assumes the State's forecasts for population, which shows a continued declining rate (1.4% for 2020 through 2022).

**Arizona's (seasonally adjusted) unemployment rate** increased between June 2018 and June 2019 ([ATTACHMENT B, Item 12](#)) despite non-farm employment continuing to grow at a steady pace ([ATTACHMENT B, Item 13](#)).

**Arizona's gross domestic product** is continuing to grow ([ATTACHMENT B, Item 2](#)), and Arizona had fewer **business bankruptcy filings** for the eighth consecutive year ([ATTACHMENT B, Item 22](#)).

**Personal income and disposable personal income of Arizona residents** grew ([ATTACHMENT B, Items 15, 17 and 20](#)). **Personal bankruptcies** grew in Fiscal Year 2018 and Fiscal Year 2019 after having been declining for six consecutive years ([ATTACHMENT B, Item 23](#)). **Consumer confidence** in the US continues to grow, and is now 24% above the 1985 baseline (100) ([ATTACHMENT B, Item 4](#)).

**Appreciation of equities** overall has slowed significantly ([ATTACHMENT B, Items 5, 6, 7](#)). Yield on *fixed-rate investments*, such as certificates of deposits and treasury bonds ([ATTACHMENT B, Item 9](#)), declined during Fiscal Year 2019. The current interest rate inversion will likely adversely impact the change in equity values during the coming two years.

**Arizona's housing market** grew at a strong rate in Fiscal Year 2019 ([ATTACHMENT B, Item 30](#)), and median home prices and single family home sales in Arizona continued to grow ([ATTACHMENT B, Items 31, 32](#)). However, the current interest rate inversion and relatively high housing prices could lead to a significant slowing of the housing market in the upcoming two years.

# LINES OF INSURANCE

## Life Insurance

Between CY 2017 and CY 2018, taxed life insurance premiums increased by 3.1% as shown in [Figure 3](#).

Figure 3: Life Insurance Aggregate Arizona Taxable Premiums (in millions)

Calendar Year	Taxable Life Insurance Premiums	Change in Total from Prior Year	Applicable Tax Rate (HB 2002)	Source: National Association of Insurance Commissioners OPTins Database (accessed August 12, 2019)
2020 est.	\$2,512.3	3.8%	1.75%	
2019 est.	2,420.3	3.3%	1.80%	
2018	2,354.7	3.1%	1.85%	
2017	2,271.6	3.3%	1.90%	
2016	2,198.3	0.7%	1.95%	
2015	2,183.6	9.9%	2.00%	
2014	1,986.5	1.3%		

Life insurance proceeds paid upon the death of the insured are generally not taxable, making it an attractive estate planning strategy. In general, life insurance premium volume grows with disposable income and employment levels ([ATTACHMENT B, Items 11 through 21](#)), and has an inverse relationship with interest rates and earning potential from competing investments ([ATTACHMENT B, Items 5 through 9](#)). During Calendar Year 2015, both interest rates and appreciation in equities were low, and we saw in 2015 a large jump in life insurance premium volume. During Calendar Years 2017 and Calendar Year 2018, both interest rates on fixed investments and equity appreciation increased. Under current conditions, it seems likely that life insurance premium volume will grow at a faster rate because of the impending recession (interest rate inversion).

**We estimate that aggregate life insurance premiums will grow by 3.3% in Calendar Year 2019, and by 3.8% in Calendar Year 2020. Per HB 2002, life premium will be taxed at 1.80% for CY 2019, and 1.75% for CY 2020.**

## Accident and Health Insurance

Between CY 2017 and CY 2018, taxed accident and health insurance premiums (excluding capitation for AHCCCS-contracted Medicaid benefits and excluding tax-exempt premium) increased by 5.5%, led by increases in group major medical insurance premium, but offset by declining enrollment of individuals in major medical health plans offered through the federally facilitated (healthcare.gov) exchange (2017: 196,291 members; 2018: 165,758 members; 2019: 147,099 members). **Overall, we predict accident and health insurance premiums will grow by 2% in CY 2019, and will not grow significantly in CY 2020.**

Figure 4: Taxable Accident and Health Insurance Premium Volume (in millions)

Calendar Year	Taxable Accident/Health Premiums	Change from Prior Year
2020 est.	5,534.0	0.0%
2019 est.	5,534.0	2.0%
2018	5,425.5	5.5%
2017	5,142.9	0.1%
2016	5,139.6	-0.8%
2015	5,184.4	16.6%
2014	4,444.9	3.3%

Source: National Association of Insurance Commissioners OPTins Database (accessed August 12, 2019)

### AHCCCS-contracted Coverage

AHCCCS contractors pay an estimated tax for the calendar quarter on the 15<sup>th</sup> day of March, June, September and December. When filing an estimated report for the current quarter, the contractor is encouraged to amend the prior-quarter payment to the extent the estimated payment for the prior calendar quarter differed from the amount due for the prior quarter.

Tax revenue from contractors that provide AHCCCS Medicaid benefits deposited and recorded in FY 2019 totaled **\$225.5 million**. Information from AHCCCS suggests that tax revenue from contractors will be near **\$221.3 million for FY 2020**, and **\$229.1 million for FY 2021**. AHCCCS Budget Administrator Nicholas Seidel ([nicholas.seidel@azahcccs.gov](mailto:nicholas.seidel@azahcccs.gov)) can address questions concerning this section.

### Fire Insurance

Based on the following discussion, we believe fire insurance premium tax revenues from insurers will increase by approximately 4.2% for CY 2019 and 0.5% for CY 2020.

ARS § 20-224(J) defines fire insurance to include ●100% of fire (“FI”) lines, ●40% of commercial multiple peril non-liability (“CMP-P”) lines, ●35% of homeowners’ multiple peril (“HO”) lines, ●25% of farmowners’ multiple peril (“FO”) lines, and ●20% of allied lines (“AL”).

Overall, premiums for fire insurance increased by 4.2% in 2018 over 2017, the result of

- a 5.1% increase in FI premium,
- a 1.1% increase to CMP-P premium,
- a 4.7% increase in HO premium,
- no significant change in FO premium, and
- a 5.7% increase in AL premium.

Steadily increasing home values ([ATTACHMENT B, Items 31 and 32](#)) coupled with continued increases in housing permits ([ATTACHMENT B, Item 30](#)), population ([ATTACHMENT B, Item 10](#)) and continued increases to employment levels and personal income ([ATTACHMENT B, Items 11 through 21](#)) imply that more people have been purchasing and insuring homes. High costs of living on the West Coast has lead Arizona to become a more affordable place for individuals and businesses to plant roots. However, the current interest rate inversion signals a recession is likely. We expect insurers to respond to this in

conjunction with high loss ratios in certain areas with premium increases in CY 2019, and we expect a slowing economy will tamp down fire insurance premium growth in CY 2020.

**Figure 5: Taxable Fire Insurance Premium Volume (in millions) and Tax – Authorized Insurers**

Calendar Year	[a] Fire Insurance Premiums w/ 2.2% tax rate	[b] Fire Insurance Premiums w/ 0.66% tax rate	Fire Insurance Premium Tax ([a] * 2.2%) + ([b] * 0.66%)	Change (in Tax) from Prior Year
<b>2020 est.</b>	<b>897.5</b>	<b>4.0</b>	<b>19,770,400</b>	<b>0.5%</b>
<b>2019 est.</b>	<b>893.0</b>	<b>4.0</b>	<b>19,672,400</b>	<b>4.2%</b>
<b>2018</b>	<b>857.0</b>	<b>3.8</b>	<b>18,878,834</b>	<b>4.1%</b>
2017	823.0	3.3	18,127,113	2.6%
2016	802.2	3.1	17,668,615	1.0%
2015 (statute change)	794.4	3.1	17,497,895	22.5%
2014	647.6	4.6	14,278,477	(1.3%)

Sources: National Association of Insurance Commissioners OPTins Database (accessed August 12, 2019); “Detail – By Line of Business,” Arizona-licensed insurers, PC insurance. iSITE data query (accessed on August 12, 2019)

ARS § 20-224 prescribes two tax rates applicable to fire insurance sold by insurance companies – 0.66% for insurance on property located in incorporated cities or towns that procure private firefighting services and 2.2% for insurance on property located elsewhere. Surplus lines brokers pay tax on all premiums they procure, including those allocable to fire risk, at a 3% tax rate pursuant to ARS § 20-416 (reflected in the “Surplus Lines and Industrial Insureds” section of this report). 85% of fire and allied surplus lines premiums are considered fire insurance.

The State Treasurer transfers 85% of fire insurance premium taxes to municipal fire district pension funds, and the remaining 15% goes to the General Fund.

## Vehicle Insurance

Arizona has two premium taxes that apply to vehicle insurance– a base insurance premium tax (ARS § 20-224), which is deposited to the General Fund, and a 0.4312% additional tax (ARS § 20-224.01), which goes to the Department of Public Safety Personnel Retirement System. ***Per HB 2002, the base insurance premium tax rate reduces from 2.00% to 1.95% for CY 2016, and reduces by an additional 0.05% each year until it reaches 1.70%.***

Taxable vehicle insurance premiums increased by 10.0% in 2018 (see [Figure 6](#) on the following page).

Arizona vehicle registrations increased by 3.6% in Fiscal Year 2019 ([ATTACHMENT B, Item 24](#)); therefore, the market for automobile insurance grew. Traffic crashes and related injuries and fatalities have leveled off ([ATTACHMENT B, Items 26 through 29](#)). Overall the CY 2018 loss ratio for insurance covering commercial and private-passenger vehicles decreased for a second consecutive year ([Figure 6](#)).

Figure 6: Vehicle Insurance Premium Volume (in millions) and Loss Ratios

Calendar Year	Vehicle Premiums*	Change from Prior Year	Incurred Loss Ratio**
2020	6,698.9	6.0%	
2019	6,319.7	7.2%	
2018	5,896.1	9.7%	64.9%
2017	5,373.2	10.7%	68.4%
2016	4,852.0	8.9%	73.1%
2015	4,455.1	5.5%	67.7%

Sources:

\*National Association of Insurance Commissioners OPTins Database (accessed August 12, 2019)

\*\*Weighted average (on direct written premium) for vehicle insurance as reported on the report entitled, "Aggregate – Lines of Business by State," Arizona-licensed insurers. ISITE data query (accessed on August 12, 2019).

We expect premiums to grow by 7.2% in CY 2019 and 6.0% in CY 2020. Insurers compete vigorously in the vehicle insurance marketplace, and will more likely seek smaller rate increases due to the improving loss ratio. Insurers will see continued strong overall growth in vehicle premiums by covering an increasing number of vehicles under both private passenger and commercial auto policies. CY 2020 premium growth rate will be less than CY 2019 due to fewer automobile purchases during an anticipated economic downturn.

## Other Property and Casualty Insurance

We estimate that premium volume for other property and casualty ("OPC") insurance should grow at 5% in CY 2019 and 3% for CY 2020 for each of the subsequent few years based on the results for 2018 and an assumption that economic slowing will impact premium volume starting in CY 2020. Figure 7 lists various types of "other property and casualty" ("OPC") insurance (other than vehicle insurance and fire insurance already discussed). Over half of OPC premium comes from homeowners' multiple peril insurance and other liability insurance.

Figure 7: Summary of Other Property and Casualty Premium Volume (in millions)

Sub-line	2016 Net Premiums*	2017 Net Premiums*	2018 Net Premiums*	% of 2018
<b>Homeowners multi-peril</b> (excludes 35% fire-insurance portion)	\$1,010.5	\$1,040.7 +3.0%	<b>\$1,090.1</b> +4.7%	<b>31.5%</b>
<b>Other liability</b> (occurrence and claims-made)	625.5 +3.9%	643.8 +2.9%	<b>685.4</b> +6.5%	<b>19.8%</b>
<b>Inland marine</b>	324.1 +1.3%	350.0 +8.0%	<b>377.8</b> +7.9%	<b>10.9%</b>
<b>Commercial multi-peril liability</b>	240.3 +3.7	245.4 +2.1	<b>251.9</b> +2.6	<b>7.3%</b>
<b>Commercial multi-peril non-liability</b> (excludes 40% fire-insurance portion)	211.5 +1.1%	209.7 -0.9%	<b>212.0</b> 1.1%	<b>6.1%</b>
Mortgage guaranty	124.7	143.8	164.5	4.8%
Medical professional liability	150.2 -2.7	139.3 -7.3%	137.0 -1.7%	4.0%
Surety	99.5	131.7	111.6	3.2%

Sub-line	2016 Net Premiums*	2017 Net Premiums*	2018 Net Premiums*	% of 2018
Multiple peril crop	85.1	129.2	96.7	2.8%
Allied lines (excludes 20% fire-insurance portion)	77.7	81.4	86.0	2.5%
Aircraft	43.5	48.4	45.5	1.3%
Warranty	16.7	33.0	35.5	1.0%
Products liability	21.6	25.0	22.2	0.6%
Boiler and machinery	22.5	22.6	23.8	0.7%
Credit	21.4	21.2	20.7	0.6%
Ocean marine	18.0	20.0	21.1	0.6%
Aggregate write-ins, other	22.2	18.7	19.6	0.6%
Federal flood	16.9	16.3	15.8	0.5%
Farmowners' multi-peril (excludes 25% fire-insurance portion)	12.4	12.6	12.6	0.4%
Fidelity	12.7	12.1	12.7	0.4%
Earthquake	5.1	4.6	5.8	0.2%
Burglary and theft	3.4	3.7	3.2	0.1%
Private crop	2.9	3.6	3.8	0.1%
Financial guaranty	1.7	1.0	0.6	0.0%
<b>TOTAL</b>	<b>\$3,170.1</b>	<b>\$3,357.8</b> +5.9%	<b>\$3,455.9</b> +2.9%	<b>100.0%</b>
<p>*Direct premiums written minus dividends.  Source: "Detail – By Line of Business," Arizona-licensed insurers, PC insurance. iSITE data query (accessed on August 12, 2019)</p>				

**(Non-fire) homeowners insurance** is almost a third of the OPC premium written in Arizona. Premium volume is likely most greatly influenced by losses (62.4% incurred loss ratio in 2018 vs. 48.0% in 2017, 49.3% in 2016 and 47.6% in 2015); and the changes in home construction, prices and sales levels ([ATTACHMENT B, Items 30 through 32](#)). The jump in the loss ratio means that insurers may have a greater appetite to increase rates, particularly in anticipation of an economic downturn. Increases in construction and sales could mean more home ownership and, thus, more homeowners' insurance purchases, but we anticipate that trend diminishing. In FY 2018 and FY 2019, we saw leaps in housing permits issued. Housing permit issuance ([ATTACHMENT B, Item 30](#)) is a leading indicator – a house needs to be built and sold before it will be covered with homeowners' insurance. Population growth, per capita income and housing prices ([ATTACHMENT B, Items 10, 17, 21, 31 and 32](#)) are coincidental indicators – a house needs a person with sufficient income to buy it, and housing prices will increase as inventory of homes for sale decline. Given the fact that home prices are steadily increasing, population and per capita income are growing slowly but steadily, and issuance of housing permits increased significantly in FY 2019, we estimate homeowners insurance premium volume to increase in the neighborhood of 4.5% to 5% during the next couple of years.

**Other liability insurance** accounts for about 20% of the OPC premium written in Arizona. [Figure 8](#) below shows the aggregate OL net written premium and the incurred loss ratio for each of the past five years. Based on the reduced loss ratio in 2018 and in anticipation of greater competition during a leveling economy, we estimate that competition will lead aggregate OL premiums will grow at a reduced rate of 2% to 3% per year.



Figure 8: Arizona Other Liability Premiums (in millions)

Calendar Year	Written Premiums*	Change from Prior Year	Incurred Loss Ratio
2020	720.8	2.5%	
2019	703.2	2.6%	
2018	685.4	6.5%	44.5%
2017	643.8	2.9%	77.9%
2016	625.5	3.9%	55.8%
2015	602.3	7.6%	60.5%
2014	559.5	5.6%	80.6%
2013	529.9	6.8%	41.2%

\*Direct premiums written minus dividends.  
 "Aggregate – Lines of Business by State," Arizona-licensed insurers. iSITE data query (accessed on August 12, 2019)

## Surplus Lines and Industrial Insureds

Surplus lines brokers and industrial insureds pay their taxes on a semi-annual basis, with taxes for January through June transactions due in August, and taxes for July through December transactions due in February. Therefore, we present actual and estimated premiums and taxes for these entities on a fiscal-year basis.

Premiums and tax from **industrial insureds** is unpredictable because they rely on decisions of typically larger business enterprises to procure their own insurance through the non-admitted market (resulting in industrial insured premium tax), to use a surplus lines broker to procure insurance in the non-admitted market (resulting in surplus lines broker premium tax) or to purchase insurance through the admitted market (resulting in insurer premium tax). The following are tax amounts deposited for industrial insureds during the past three fiscal years (**in thousands**):

Fiscal Year	Premium Tax
2017	519.9
2018	821.8
2019	548.6
2020+ est.	600.0

**We are estimating \$600-thousand for industrial insured premium tax for each future year.**

**Surplus lines** is a form of specialty insurance procured for an insured by a surplus lines broker, or directly by statutorily defined "industrial insureds," from any of the following three categories of sources:

1. A non-admitted foreign insurer (which does not hold a certificate of authority or license in Arizona) that is registered on the ADOI *Director's List of Qualified Surplus Lines Insurers*;
2. A non-admitted alien insurer that is shown in the *NAIC List of Alien Insurers*; or,
3. A domestic surplus lines insurer that holds a certificate of authority in Arizona limited to offering surplus lines insurance procured by a surplus lines broker or industrial insured.

Pursuant to ARS § 20-416(G) and 20-224(C), surplus lines brokers consider 85% of their fire and allied lines insurance policies to be fire insurance premiums received for fire insurance, resulting in the taxes reflected in [Figure 9](#) on the following page.

**Figure 9: Arizona Surplus Lines Tax**

Fiscal Year	Taxable Surplus Lines Fire Insurance Premium Tax	Change from Prior Year	Premium Tax for Other (non-fire) Surplus Lines	Change from Prior Year
<b>2021 est.</b>	<b>2,846,300</b>	<b>8.0%</b>	<b>18,800,900</b>	<b>8.0%</b>
<b>2020 est.</b>	<b>2,635,400</b>	<b>8.0%</b>	<b>17,408,200</b>	<b>8.0%</b>
<b>2019</b>	<b>2,440,236</b>	<b>13.4%</b>	<b>16,118,694</b>	<b>13.7%</b>
2018	2,151,079	29.5%	14,172,567	6.1%
2017	1,660,847	-15.8%	13,351,703	15.0%
2016	1,971,952		11,609,713	

Source: AFIS JACTG query on September 1, 2019, for transactions with revenue source code 4192 (premium tax), function codes 62000-62999 (surplus lines premium tax), balance sheet account 0070 (cash).

Surplus lines coverage is particularly difficult to forecast because the nature of each policy is exceptional – surplus lines insurance covers risks for which insurance is not readily available on a regulated insurance policy from an authorized insurer. Arizona’s steady employment levels ([Attachment B, Items 11 through 13](#)), fewer business bankruptcies ([Attachment B Item 22](#)), and increased housing permits ([Attachment B Item 30](#)) all indicate the likelihood that premium from surplus lines and industrial insurance will continue to grow at a modest level, but there is really no way to predict by how much, and no correlation exists between the growth rate for fire and allied lines surplus lines policies and other surplus lines and industrial insured policies. **In order to come reasonably close, we are forecasting an 8% annual growth rate for surplus lines tax.**

## RETALIATION PAYMENTS

Retaliation payments collected in FY 2019 totaled \$10.3 million (see [Figure 10](#)).

**Figure 10: Retaliation Payments**

Fiscal Year	Retaliation Payments	Change from Prior Year
<b>2021 est.</b>	<b>13,330,500</b>	<b>12.8%</b>
<b>2020 est.</b>	<b>11,813,500</b>	<b>14.7%</b>
<b>2019</b>	<b>10,296,511</b>	23.3%
2018	8,350,661	(9.2%)
2017	9,194,515	34.0%
2016	6,863,039	(35.8%)
2015	10,685,197	19.5%
2014	8,939,521	(15.5%)
Source: Arizona Financial Information System InfoAdvantage Report – Detailed List of Expenditures, Revenues, Encumbrances and Pre-Encumbrances for FY 2019.		

Arizona law (ARS § 20-230) requires foreign insurers (insurers incorporated in another state) to make retaliation payments to Arizona when the foreign insurer’s home state would have imposed higher taxes (net of credits), fees and other obligations on an Arizona insurer doing business in that state than Arizona imposed on the foreign insurer. Arizona law requires a foreign insurer to pay the difference between the home-state calculation and the Arizona obligation. ARS § 20-230 exempts from retaliation tax requirements insurers domiciled in states that do not, or shall not through reciprocity provided in law, impose retaliation on Arizona insurers (per Laws 2015, Ch. 184). As of June 30, 2019, this includes insurers domiciled in Hawaii, Massachusetts, Minnesota, New York and Rhode Island.

Retaliation payments change when insurers move to or from Arizona or when Arizona’s taxes, fees and assessments change in relation to the taxes, fees and assessments levied by other states; factors that are impossible to predict.

Laws 2016, Chapter 358 (HB 2002), prescribes annual 0.05% reductions to the rate of tax that applies to insurer-written life insurance premiums and non-fire property and casualty insurance premiums until the tax rate reaches 1.7%. The Department estimated that for each dollar of insurance premium tax reduced by the tax rate decrease, Arizona will recover 25 cents from increased retaliation owed by foreign insurers. Summary of the impact of the tax reduction is shown in [Figure 11](#).

**Figure 11. Estimated Impact of Tax Reduction on Retaliation Revenue**

Type of insurance	CY 2019 est. tax if 1.85%	CY 2019 est. tax @1.80%	Difference	25% of Difference (increased RT)
Life	\$44,775,600	\$43,565,400	\$1,210,200	\$295,800
Property and Casualty	180,729,400	175,844,800	4,884,600	1,221,200
TOTAL estimated increase to CY 2018 retaliation: Tax decrease from 1.85% to 1.80%				<b>\$1,517,000</b>

## IPT CREDITS

As summarized in [Figure 12](#), insurers reduced their tax liabilities by an aggregate of \$40.9 million in FY 2019, and we estimate they will reduce their tax liabilities by \$49.0 million in FY 2020 and \$54.2 million in FY 2021.

**Figure 12: Insurance Premium Tax Credits (millions)**

DESCRIPTION OF TAX CREDIT	FY 2019 Estimate	FY 2019 Actual	FY 2020 Estimate	FY 2021 Estimate
Guaranty Fund Assessment per ARS §§ 20-674(B) and 20-694(B)	\$3.6	\$1.9	\$6.0	\$8.2
Quality Jobs Tax Credit per ARS §§ 20-224.03 and 41-1525	5.0	4.8	5.0	5.0
Health Insurance Certificate per ARS §§ 20-224.05 and 43-210.	4.0	3.8	4.0	4.0
Credit for School Tuition Organization Contribution for Low-income Scholarships per ARS §§ 20-224.06 and 43-1183	37.0	26.9	30.5	33.5
Credit for School Tuition Organization Contribution for Displaced and Disabled Students per ARS §§ 20-224.07 and 43-1184	3.0	3.5	3.5	3.5
<b>TOTAL</b>	<b>\$52.6</b>	<b>\$40.9</b>	<b>\$49.0</b>	<b>\$54.2</b>

**Guaranty Fund assessments - \$6.0 million in FY 2020, \$8.2 million in FY 2021.** The Arizona Insurance Guaranty Funds impose assessments on insurers when an insurance company becomes insolvent and the assets of the insolvent insurer are unavailable to pay policyholder claims. The assessed insurers may then offset their premium taxes by 20% of the amount of the assessment up to the insurer's tax liability in the assessment year and in subsequent years. ARS §§ 20-674(B) and 20-694(B).

The Arizona Life and Disability Insurance Guaranty Fund (ALDIGF) began to levy assessments in Calendar Year 2017 (which would first impact FY 2018 premium tax revenue) arising from the long-standing insolvencies of two long-term care insurers (Penn Treaty Network America Insurance Company, and its subsidiary, American Network Insurance Company), and to replenish its capacity to cover ALDIGF common administrative costs. The ALDIGF levied \$6.9 million of assessments in CY 2017. In late October to early November of each year, the ALDIGF will receive an estimate of the funding required to cover the following year's claims. At this time, we believe the ALDIGF may levy the following assessments in the near term (with impacts shown in [Figure 13](#) on the following page):

- **CY 2019:** \$3 million Administrative Account assessment plus \$11 million Disability Account Assessment (with \$2.8 million in additional tax offsets impacting FY 2020 through FY 2024)
- **CY 2020:** \$11 million Disability Account assessment (with \$2.2 million in additional tax offsets impacting FY 2021 through FY 2025)
- **CY 2021:** \$3 million Administrative Account assessment plus \$11 million Disability Account Assessment (with \$2.8 million in additional tax offsets impacting FY 2022 through FY 2026)

**Figure 13: Premium Tax Credits from Guaranty Fund Assessments (millions)**

Assessment Cal. Year	Assessment Amount	FY 2018 Impact	FY 2019 Impact	FY 2020 Impact	FY 2021 Impact	FY 2022 Impact
2017	6.9	1.38	1.38	1.38	1.38	1.38
2018	9.2		1.84	1.84	1.84	1.84
2019	14.0		-	2.80	2.80	2.80
2020	11.0		-	-	2.20	2.20
2021	14.0					2.80
<b>TOTAL</b>		<b>1.38*</b> <i>(0.9 claimed)</i>	<b>3.22</b>	<b>6.02</b>	<b>8.22</b>	<b>11.02</b>

**Quality jobs tax credit (“QJTC”) - \$5.0 million per year.** Pursuant to ARS §§ 20-224.03 and 41-1525, a taxpayer that fulfills capital investment and job-creation requirements is eligible for a QJTC of up to \$3,000 per year for up to three years for each net new qualified position created during the first taxable year or partial year of employment. No taxpayer may claim a credit for more than 400 new jobs per year, and the program is capped at 10,000 jobs (\$30 million) claimed each year. The Arizona Commerce Authority determines credit eligibility and amount for each taxpayer. The enactment of Laws 2016, Ch. 360 (HB 2239) allows a reciprocal insurer to treat its attorney-in-fact as part of the insurer for the purposes of qualifying for and computing the credit. **For CY 2018/FY 2019, insurers reduced their collective tax liabilities by \$4,779,000 using QJTCs.**

**Health insurance certificates (“HICs”) - \$4.0 million per year.** A health insurer is eligible for a tax credit for insuring individuals or small businesses that receive certificates from the Department of Revenue, in exchange for the insurer’s offer of insurance at reduced costs to the individuals or small businesses. The law requires the Department of Revenue to assure that the aggregate credits will not exceed \$5 million in any year. ARS §§ 20-224.05 and 43-210. **For CY 2018/FY 2019, insurers reduced their collective tax liabilities by \$3,845,949 using HICs.**

**School tuition organization (“STO”) - \$34.0 million in FY 2019 and \$37.0 million in FY 2020.** Insurers and other taxpayers are eligible to claim tax credits (and to carry forward unused credit) for contributions they make to school tuition organizations that are approved by the Department of Revenue pursuant to ARS §§ 20-224.06 and 43-1183 (low-income scholarships) and §§ 20-224.07 and 43-1184 (displaced and disabled students). The law requires the Department of Revenue to assure that aggregate credits claimed by all types of taxpayers will not exceed statute-specified caps. The annual cap on credits for STO contributions for displaced and disabled students is fixed at \$5 million. By contrast, the cap for STO contributions for low-income students annually increases from its initial \$10-million cap in FY 2008 by 20% compounded annually through FY 2020. Thereafter, ARS § 43-1183(C)(1) prescribes annual increases to the cap as follows: FY 2021: 15%; FY 2022: 10%; FY 2023: 5%; FY 2024 and after: the greater of the increase to the Metropolitan Phoenix Consumer Price Index or 2%. The cap was \$61.9 million for FY 2018, \$74.3 million for FY 2019, is \$89.2 million for FY 2020, and will be \$102.6 million in FY 2021. **During FY 2019, insurers reduced their collective tax liabilities by \$26,910,421 using credits from STO contributions for low-income scholarships and by \$3,448,076 using credits from STO contributions for displaced and disabled students.**

## ATTACHMENT A

### Insurance Premium Tax Receipt and Disbursement Due Dates

\*Premium tax revenues are not all remitted and processed when due. Insurers may pay early or late and processing times may vary.

Due Date*	Description	FY 2020 Forecast*
<b>COLLECTIONS</b>		
7/15/2019	CY 2019 installment payment (15% of CY 2018 tax liability X 99.2% to account for insurers with tax liabilities < \$50,000)	\$48,076,800
8/15/2019	CY 2019 installment payment	48,076,800
8/15/2019	Tax on surplus lines insurance procured January through June 2019 (includes fire tax)	9,250,000
9/15/2019	AHCCCS contractor taxes	57,538,000
12/15/2019	AHCCCS contractor taxes	53,112,000
2/15/2020	Tax on surplus lines insurance procured July through December 2019 (includes fire tax)	11,320,200
3/1/2020	CY 2019 tax liability less CY 2019 installment payments	39,552,300
3/1/2020	CY 2019 retaliatory tax (includes tax from title insurers from 10/2019)	11,813,500
3/15/2020	AHCCCS contractor taxes	57,538,000
3/15/2020	CY 2020 installment payment (15% of CY 2019 tax liability for insurers with tax liability >= \$50,000)	47,972,000
4/15/2020	CY 2020 installment payment	47,972,000
5/15/2020	CY 2020 installment payment	47,972,000
6/15/2020	CY 2020 installment payment	47,972,000
6/15/2020	AHCCCS contractor taxes	53,112,000
	<b>TOTAL IPT COLLECTIONS, FY 2020:</b>	<b>\$581,277,600</b>
5/31/2020	Transfer to DPSRS per ARS § 20-224.01	\$27,250,500
6/30/2020	Distribution to fire districts per ARS § 9-951	18,899,200
6/30/2020	General Fund	535,127,900
	<b>TOTAL IPT DISBURSEMENTS, FY 2020:</b>	<b>581,277,600</b>

## ATTACHMENT B

### Key Economic Data

	<b>Economic Indicator</b>	<b>2019</b>	<b>Δ</b>	<b>2018</b>	<b>Δ</b>	<b>2017</b>	<b>Δ</b>	<b>2016</b>	<b>Δ</b>	<b>2015</b>	<b>Source</b>
1	Gross Domestic Product – All industry total e-- US (billions)	21,337.9	4.6%	20,402.5	6.1%	19,226.7	3.7%	18,538.0	2.5%	18,093.2	US Bureau of Economic Analysis (bea.gov), Table 3a, Q2 year over year
2	Gross Domestic Product - AZ (millions)	360,032	8.4%	332,016	6.5%	311,640	5.2%	296,314	3.2%	287,190	US Bureau of Economic Analysis (bea.gov), current dollars, all industries, Q1 year over year
3	Consumer Price Index - All Urban Consumers (Annual Data, US City Average)	256.143	1.6%	251.989	2.9%	244.955	1.6%	241.038	1.0%	238.638	US Bureau of Labor Statistics (bls.gov), June reports, Table 1.
4	Consumer Confidence Index (June)	124.3	-1.7%	126.4	7.8%	117.3	20.4%	97.4	-3.9%	101.4	Conference Board ( <a href="http://www.conference-board.org">www.conference-board.org</a> )
5a	Dow Jones Industrial Average Adjusted Close (July 1)	26,717.43	6.6%	25,064.36	14.5%	21,891.11	18.8%	18,432.24	4.2%	17,689.86	Yahoo! Finance: DJIA Historical Prices (finance.yahoo.com, "DJI")
5b	Dow Jones Industrial Average at Opening (January 1)	23,058.61	-7.1%	24,809.35	24.8%	19,872.86	14.2%	17,405.48	1.0%	17,823.07	Yahoo! Finance: DJIA Historical Prices (finance.yahoo.com, "DJI")
6	NASDAQ Composite at Close (July 1)	8,091.16	3.7%	7,805.72	23.0%	6,348.12	23.0%	5,162.12	0.7%	5,128.28	Yahoo! Finance: NASDAQ Composite Historical Prices (finance.yahoo.com, "IXIC")

	<b>Economic Indicator</b>	<b>2019</b>	<b>Δ</b>	<b>2018</b>	<b>Δ</b>	<b>2017</b>	<b>Δ</b>	<b>2016</b>	<b>Δ</b>	<b>2015</b>	<b>Source</b>
7	Russell 3000 Index Adjusted Close (July 1)	1,747.09	5.0%	1,664.35	13.8%	1,462.32	13.9%	1,284.27	2.3%	1,255.44	Yahoo! Finance Russell 3000 Historical Prices (finance.yahoo.com, " <sup>^</sup> RUA")
8	30-year Fixed-rate/points Mortgage Average (June Year) <b>Δ = rate+pts</b>	3.8%/0.5	-15.2%	4.57%/0.5	15.2%	3.90%/0.5	8.1%	3.57%/0.5	-13.0%	3.98/0.7	Primary Mortgage Market Survey Archives, Freddie Mac (3)
9	US Treasury 5-year Constant Maturity Securities, month (June)	1.83	-34.2%	2.78	57.1%	1.77	51.3%	1.17	-30.4%	1.68	H.15 Selected Interest Rates. Board of Governors of the Federal Reserve System ( <a href="http://www.federalreserve.gov/releases/h15/">http://www.federalreserve.gov/releases/h15/</a> )
10	Population - AZ (July 1)	7,183,628	1.5%	7,076,199	1.6%	6,965,897	1.9%	6,835,518	1.1%	6,758,251	Arizona Labor Statistics as of July 1 of the year, Office of Economic Opportunity ( <a href="https://laborstats.az.gov/population">https://laborstats.az.gov/population</a> )
11	Seasonally Adjusted Unemployment Rate - US (June)	3.7%	-7.5%	4.0%	-7.0%	4.3%	-12.2%	4.9%	-7.6%	5.3%	US Bureau of Labor Statistics (bls.gov),
12	Unemployment Rate, seasonally adjusted - AZ (June)	4.9%	4.3%	4.7%	-4.1%	4.9%	-9.3	5.4%	-11.5%	6.1%	US Bureau of Labor Statistics (bls.gov) Series LASST04000000000003 for Arizona
13	Seasonally Adjusted Non-farm Employment - AZ (June, in thousands)	2,927.0	2.8%	2,848.1	3.2%	2,753.4	2.1%	2,696.5	2.7%	2,624.8	US Bureau of Labor Statistics (bls.gov) Table D-1



	<b>Economic Indicator</b>	<b>2019</b>	<b>Δ</b>	<b>2018</b>	<b>Δ</b>	<b>2017</b>	<b>Δ</b>	<b>2016</b>	<b>Δ</b>	<b>2015</b>	<b>Source</b>
14	Aggregate Personal Income - US (billions)	18,596.8	6.3%	17,502.6	7.0%	16,362.2	2.8%	15,910.1	2.5%	15,516.1	US Bureau of Economic Analysis (bea.gov), Q2
15	Aggregate Personal Income - AZ (millions)	N/A	N/A	313,040.2	7.2%	292,108.0	4.7%	278,924.9	4.3%	267,361.1	US Bureau of Economic Analysis (bea.gov), Table SA1.
16	Per Capita Personal Income - US	N/A	N/A	53,712	6.6%	50,392	1.7%	49,571	2.9%	48,190	US Bureau of Economic Analysis (bea.gov)
17	Per Capita Personal Income - AZ	N/A	N/A	43,650	4.8%	41,633	3.5%	40,243	2.6%	39,217	US Bureau of Economic Analysis (bea.gov)
18	Disposable Personal Income - US (billions)	16,389.4	6.0%	15,456.4	7.7%	14,347.0	2.8%	13,959.4	2.8%	13,582.0	US Bureau of Economic Analysis (bea.gov), Q2
19	Disposable Personal Income - AZ (millions)	N/A	N/A	282,170.5	7.7%	261,977.8	4.3%	251,229.4	4.6%	240,127.3	US Bureau of Economic Analysis (bea.gov)
20	Per Capita Disposable Personal Income - US	N/A	N/A	47,451	7.6%	44,107	1.3%	43,536	3.3%	42,154	US Bureau of Economic Analysis (bea.gov)
21	Per Capita Disposable Personal Income - AZ	N/A	N/A	39,345	5.4%	37,339	3.0%	36,247	2.9%	35,222	US Bureau of Economic Analysis (bea.gov)
21 a	Average weekly earnings for all Arizona employees, private business	902.36* *thru July	0.8%* Dec 2018 to July 2019	894.95* *thru July	1.8%* Dec 2017 to July 2018	879.05	6.8%	822.86	2.5%	802.61	<a href="https://laborstats.az.gov/wages-income">https://laborstats.az.gov/wages-income</a> CES Tables - Hours & Earnings for All Employees
22	Bankruptcy Filings, Business - AZ (12 months ending June 30)	410	-12.4%	468	-8.4%	511	-12.0%	581	-3.5%	602	US Courts (uscourts.gov), Table F-2

	<b>Economic Indicator</b>	<b>2019</b>	<b>Δ</b>	<b>2018</b>	<b>Δ</b>	<b>2017</b>	<b>Δ</b>	<b>2016</b>	<b>Δ</b>	<b>2015</b>	<b>Source</b>
23	Bankruptcy Filings, Nonbusiness - AZ (12 months ending June 30)	16,299	4.1%	15,651	4.9%	14,927	-6.3%	15,925	-8.2%	17,352	US Courts (uscourts.gov) Table F-2
24	Vehicles Registered - Point-in-Time in AZ (June 30)	8,821,690	3.6%	8,518,715	3.3%	8,247,514	3.5%	7,970,946	3.6%	7,694,309	ADOT Motor Vehicle Division Statistics ( <a href="http://www.azdot.gov/mvd/Statistics/Statistical-Summary">http://www.azdot.gov/mvd/Statistics/Statistical-Summary</a> )
25	Arizona Gasoline Millions of Gallons (FY through June 30)	N/A		2,974.3	1.6%	2,928.5	2.9%	2,846.3	4.6%	2,722.4	ADOT Financial Management Services Fuel Gallonage ( <a href="http://www.azdot.gov/about/FinancialManagementServices/transportation-funding/vehicle-license-tax-and-fuel-gallonage">http://www.azdot.gov/about/FinancialManagementServices/transportation-funding/vehicle-license-tax-and-fuel-gallonage</a> )
26	Total Vehicle Crashes - AZ (December 31)	N/A	N/A	127,056	0.0%	127,064	0.2%	126,845	8.8%	116,609	Arizona Crash Facts. ADOT ( <a href="http://www.azdot.gov">http://www.azdot.gov</a> )
27	Total Fatal Vehicle Crashes - AZ (December 31)	N/A	N/A	916	-0.3%	919	6.24%	865	6.7%	811	Arizona Crash Facts. ADOT ( <a href="http://www.azdot.gov">http://www.azdot.gov</a> )
28	Total Fatalities in Vehicle Crashes - AZ (December 31)	N/A	N/A	1,010	1.0%	1,000	4.0%	962	7.5%	895	Arizona Crash Facts. ADOT ( <a href="http://www.azdot.gov">http://www.azdot.gov</a> )
29	Total Injured in Vehicle Crashes – AZ (December 31)	N/A	N/A	53,376	-3.8%	55,474	-2.1%	56,636	5.8%	53,554	Arizona Crash Facts. ADOT ( <a href="http://www.azdot.gov">http://www.azdot.gov</a> )

	<b>Economic Indicator</b>	<b>2019</b>	<b>Δ</b>	<b>2018</b>	<b>Δ</b>	<b>2017</b>	<b>Δ</b>	<b>2016</b>	<b>Δ</b>	<b>2015</b>	<b>Source</b>
30	Housing Permits, Total, Fiscal Year	42,822	7.5%	39,836	11.1%	35,869	3.2%	34,762	22.8%	28,317	US Census Bureau Annual History by State by Structure ( <a href="http://www.census.gov/construction/bps/">http://www.census.gov/construction/bps/</a> )
31	Median home value – AZ (June, in thousands)	\$253	7.2%	\$236	5.8%	\$223	6.7%	\$209	8.3%	\$193	Zillow Arizona Market Overview ( <a href="http://www.zillow.com/az/home-values/">http://www.zillow.com/az/home-values/</a> )
32	Housing Price Index – AZ (1 <sup>st</sup> quarter of each year)	329.92	7.0%	308.33	9.4%	281.90	6.7%	264.11	8.4%	243.73	Federal Housing Finance Agency House Price Indexes ( <a href="http://www.fhfa.gov/DataTools/Downloads/Pages/House-Price-Index.aspx">http://www.fhfa.gov/DataTools/Downloads/Pages/House-Price-Index.aspx</a> )
33	Left blank intentionally										
34	Average health insurance premium, single – US	N/A	N/A	6,896	3.1%	6,690	4.0%	6,435	2.9%	6,251	2018 Employer Health Benefits Survey - Kaiser Family Foundation (kff.org)
35	Average health insurance premium, family - US	N/A	N/A	19,616	4.5%	18,764	3.4%	\$18,142	3.4%	\$17,545	2018 Employer Health Benefits Survey - Kaiser Family Foundation (kff.org)

	<b>Economic Indicator</b>	<b>2019</b>	<b>Δ</b>	<b>2018</b>	<b>Δ</b>	<b>2017</b>	<b>Δ</b>	<b>2016</b>	<b>Δ</b>	<b>2015</b>	<b>Source</b>
36	Percentage of Workers Covered by Health Benefits	N/A	N/A	53	-3.6%	55	0%	55	-1.8%	56	2018 Employer Health Benefits Survey - Kaiser Family Foundation (kff.org)
37	Percentage of Covered Employees Enrolled in Health Reimbursement Arrangements (HRAs) and High-deductible Health Plans (HDHPs)	29	3.6%	28	-3.4%	29	21%	24	20%	20	2018 Employer Health Benefits Survey - Kaiser Family Foundation (kff.org)
38	Percentage of Covered Employees Enrolled In Plans with an Annual Deductible of at least \$1,000	58	13.7%	51	0%	51	10.9%	46	12.2%	41	2018 Employer Health Benefits Survey - Kaiser Family Foundation (kff.org)

## Revenue Schedule

**Agency:** Department of Insurance

**Fund:** ID2000 Federal GrantS FUND

<b>AFIS Code</b>	<b>Category of Receipt and Description</b>	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>
4211	FEDERAL GRANTS	215.5	0.0	0.0
<b>Fund Total:</b>		215.5	0.0	0.0

## Revenue Schedule

<b>Agency:</b>	Department of Insurance
----------------	-------------------------

<b>Fund:</b>	ID2034 Insurance Examiners Revolving FUND
--------------	---

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4312	EXAMINATION FEES	2,265.2	2,265.2	2,265.2
4901	OPERATING TRANSFERS IN	0.7	0.0	0.0
<b>Fund Total:</b>		2,265.9	2,265.2	2,265.2



## **FUND 2034 (INSURANCE EXAMINERS' REVOLVING FUND)**

---

### **4312 – Examination Fees**

The estimates for FY 2020 and FY 2021 assume the Department will continue the same usage of contractors over FY 2019 levels to examine insurance company records for compliance with underwriting, claims payment, cancellation and nonrenewal, rate setting, and other requirements in Arizona law designed to protect Arizona citizens and businesses.

## Revenue Schedule

**Agency:** Department of Insurance

**Fund:** ID2163 Insurance Department Fingerprinting Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4339	OTHER FEES AND CHARGES FOR SERVICES	7.0	(25.9)	0.0
<b>Fund Total:</b>		7.0	(25.9)	0.0



## Revenue Schedule

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2377 Captive Insurance Regulatory and Supervision Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4419	OTHER LICENSES	660.8	660.8	660.8
4645	CREDIT CARD DISCOUNT FEES PAID	0.1	0.0	0.0
4647	CREDIT CARD PROCESSING FEES PAID	0.1	0.0	0.0
<b>Fund Total:</b>		661.0	660.8	660.8



---

## FUND 2377 (CAPTIVE INSURANCE REGULATORY AND SUPERVISION FUND)

---

### 4419 – Other Licenses

Estimates for FY 2020 and FY 2021 assume no significant change to the number of, and fees from, licenses issued or renewed.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2467 Health Care Appeals Fund

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4339	OTHER FEES AND CHARGES FOR SERVICES	146.5	146.5	146.5
4449	OTHER FEES	123.6	165.0	123.6
<b>Fund Total:</b>		270.1	311.5	270.1



---

## FUND 2467 (HEALTH CARE APPEALS FUND)

---

### 4339 – Other Fees and Charges for Service

The estimates for FY 2020 and FY 2021 assume similar volume and overall cost for health care appeals requiring external review. For each such appeal, the Department pays the external reviewer and invoices the health care insurer for the amount of the review, pursuant to ARS § 20-2540(B).

### 4449 – Other Fees

This line item represents the assessment the Department levies on health care insurers pursuant to ARS § 20-2541(2). In FY 2020, the Department assessed each health care insurer \$200, compared to the \$150 per-insurer assessment levied for FY 2019. The FY 2021 forecast assumes that ARS § 20-2541(2) will not be amended to allow the Department to utilize more than one FTE to perform health care appeal responsibilities, and that the Department will assess each health care insurer \$150.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance
----------------	-------------------------

<b>Fund:</b>	ID2473 Financial Surveillance Fund
--------------	------------------------------------

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4449	OTHER FEES	382.1	382.1	382.1
<b>Fund Total:</b>		382.1	382.1	382.1



**Arizona Department of Insurance**  
**AGENCY BUDGET REQUEST**  
**FOR FISCAL YEAR 2021**

Revenue Estimate Methodology

**FUND 2473 (FINANCIAL SURVEILLANCE FUND)**

**4449 – Other Fees**

The revenue for this fund is derived from an assessment levied on insurers organized in Arizona (“domestic insurers”), based on the amount of each insurer’s assets. The revenue estimates for FY 2020 and FY 2021 assume the Department will continue to assess the maximum amounts allowed under ARS § 20-156(F).

**IMPORTANT: FINANCIAL SURVEILLANCE FUND BALANCE IS FORECASTED WITH A NEGATIVE BALANCE IN FISCAL YEAR 2021**

The purpose of the Financial Surveillance Fund as expressed in ARS § 20-156(F) is “...to pay the costs of employing financial analysts who shall assist the Department in conducting financial surveillance of domestic insurers.” The Sources and Uses Report demonstrates that revenues yielded from levying maximum assessments are insufficient to pay the costs of employing staff to surveil the financial conditions of Arizona-domestic insurers, and the Financial Surveillance Fund will, itself, be insolvent in FY 2021.

The Department of Insurance will be combining with the Arizona Department of Financial Institutions and the Arizona Automobile Theft Authority to form the Arizona Department of Insurance and Financial Institutions effective July 1, 2020. The combining agencies have already begun to collaborate on the consolidation, and the Department will be conferring with the Governor’s Office about savings that may arise from the consolidation that could be redeployed to pay some of the costs associated with domestic insurer financial surveillance.

## Revenue Schedule

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID3104 Receivership Liquidation FUND

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4631	TREASURERS INTEREST INCOME	1.4	1.0	1.0
4699	MISCELLANEOUS RECEIPTS	118.5	100.0	100.0
<b>Fund Total:</b>		119.9	101.0	101.0



## FUND 3104 (RECEIVERSHIP LIQUIDATION FUND)

---

### 4699 – Miscellaneous Receipts

The amount reported as revenue in the budget request is the change in cash rather than revenue (in order for the Sources and Uses report to tie out). Cash receipts are recorded as a liability and not as revenue because unexpended monies are owed to receivership estates. The liability is reduced and revenue is recognized as expenditures are made. When a receivership is closed, the unexpended portion of the amount paid in from the receivership's assets is returned to the receivership estate.



## Revenue Schedule

<b>Agency:</b>	Department of Insurance
----------------	-------------------------

<b>Fund:</b>	ID3727 Insurance Tax Premium Clearing FUND
--------------	--

AFIS Code	Category of Receipt and Description	FY 2019	FY 2020	FY 2021
4192	INSURANCE PREMIUM TAX	(108.9)	(13.8)	0.0
<b>Fund Total:</b>		(108.9)	(13.8)	0.0



---

## FUND 3727 (INSURANCE PREMIUM TAX CLEARING FUND)

---

### 4192 – Insurance Premium Tax

The year-end balance of \$13.8 thousand represents fire insurance premium tax (ARS § 20-224) and vehicle insurance premium tax (ARS § 20-224.01) collected after the Department notified the State Treasurer of the 85% portion available for allocation to municipal fire districts, and after additional tax on vehicles was transferred to the Department of Public Safety Personnel Retirement System. Funds will be allocated in FY 2020 along with other fire tax and vehicle tax revenues.

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2000 Federal GrantS FUND

<b>Cash Flow Summary</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	0.0	0.0	0.0
Revenue (From Revenue Schedule)	215.5	0.0	0.0
Total Available	215.5	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	215.5	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

<b>Non-Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	215.5	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	215.5	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	215.5	0.0	0.0
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0

**Fund Description**

OSP: Grant monies received in conjunction with the Patient Protection and Affordable Care Act are to be used to improve the transparency and effectiveness of health insurance rate reviews.

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2034 Insurance Examiners Revolving FUND

<b>Cash Flow Summary</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
Balance Forward from Prior Year	1,099.6	1,084.1	1,083.4
Revenue (From Revenue Schedule)	2,265.9	2,265.2	2,265.2
Total Available	3,365.5	3,349.3	3,348.6
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	2,281.4	2,265.9	2,265.9
Balance Forward to Next Year	1,084.1	1,083.4	1,082.7
<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	444.8	406.6	406.6
Employee Related Expenses	164.7	185.3	185.3
Prof. And Outside Services	1,613.0	1,615.0	1,615.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	4.6	5.0	5.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	54.3	54.0	54.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>2,281.4</b>	<b>2,265.9</b>	<b>2,265.9</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>2,281.4</b>	<b>2,265.9</b>	<b>2,265.9</b>
<b>Non-Appropriated FTE:</b>	<b>5.9</b>	<b>5.9</b>	<b>5.9</b>

### Fund Description

OSPB: Revenues from invoices to insurers and other regulated entities are used to pay expenses associated with examining the affairs, transactions, accounts, records, and assets of the insurers and regulated entities.

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2163 Insurance Department Fingerprinting Fund

<b>Cash Flow Summary</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	18.9	25.9	0.0
Revenue (From Revenue Schedule)	7.0	(25.9)	0.0
Total Available	25.9	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	25.9	0.0	0.0

<b>Non-Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	0.0	0.0	0.0
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0

### Fund Description

OSPB: Revenues from fees collected from license applicants who are required to submit fingerprint cards are transferred to the Department of Public Safety to pay for state and federal criminal background checks.

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2316 Assessment Fund for Voluntary Plans Fund

<b>Cash Flow Summary</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	294.3	202.6	78.5
Total Available	294.3	202.6	78.5
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	91.7	124.1	124.1
Balance Forward to Next Year	202.6	78.5	(45.6)

<b>Non-Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	34.2	48.5	48.5
Employee Related Expenses	12.7	22.1	22.1
Prof. And Outside Services	3.7	3.5	3.5
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	41.1	50.0	50.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	91.7	124.1	124.1
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	91.7	124.1	124.1
<b>Non-Appropriated FTE:</b>	1.0	1.0	1.0

**Fund Description**

OSP: Revenues from assessments of insurers authorized to write liability insurance are used to pay the costs associated with helping insurance consumers locate liability insurance coverage.



## **FUND 2316 (ASSESSMENT FUND FOR VOLUNTARY PLANS)**

---

Laws 2017, Chapter 190 (Senate Bill 1441) and Laws 2018, Chapter 272 (Senate Bill 1064) require the Department to establish and administer a "...simple, fair, efficient and cost-effective arbitration procedure for surprise out-of-network bill disputes..." The Fiscal Year 2019 Budget Procedures Budget Reconciliation Bill allowed the Department to use Fund 2316 to pay costs to administer the out-of-network claim dispute resolution process in Fiscal Year 2019 and Fiscal Year 2020. Funding for these costs for Fiscal Year 2021 and beyond have not yet been designated.

***Although the Sources and Uses report shows a negative balance for this fund in FY 2021, the Department does not have the authority to expend monies from this fund in that fiscal year.***

In lieu of entering a funding issue, the Department is working with the Governor's Office to assess savings that may result from the Department's consolidation with the Department of Financial Institutions and the Arizona Automobile Theft Authority, to become effective July 1, 2020, to determine if ample savings will be available for redeployment to cover the dispute resolution program costs.

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2377 Captive Insurance Regulatory and Supervision Fund

<b>Cash Flow Summary</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
Balance Forward from Prior Year	511.7	542.0	200.0
Revenue (From Revenue Schedule)	661.0	660.8	660.8
Total Available	1,172.7	1,202.8	860.8
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	630.7	1,002.8	660.8
Balance Forward to Next Year	542.0	200.0	200.0
<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	213.3	249.7	249.7
Employee Related Expenses	68.6	113.8	113.8
Prof. And Outside Services	5.1	5.1	5.1
Travel - In State	2.2	0.0	0.0
Travel - Out of State	9.8	10.0	10.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	16.9	17.5	17.5
Equipment	3.1	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>319.0</b>	<b>396.1</b>	<b>396.1</b>
Cap Transfer due to Fund Balance	311.7	606.7	264.7
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>630.7</b>	<b>1,002.8</b>	<b>660.8</b>
<b>Non-Appropriated FTE:</b>	<b>3.6</b>	<b>3.6</b>	<b>3.6</b>

### Fund Description

OSP: Revenues from captive insurer license and renewal fees are used to pay the costs of administering the captive insurance program. Any year-end balance exceeding \$100,000 is reverted to the General Fund after the close of the fiscal year. Beginning at the c



## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2467 Health Care Appeals Fund

<b>Cash Flow Summary</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
Balance Forward from Prior Year	96.2	99.4	139.6
Revenue (From Revenue Schedule)	270.1	311.5	270.1
Total Available	366.3	410.9	409.7
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	266.9	271.3	271.3
Balance Forward to Next Year	99.4	139.6	138.4
<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	71.0	71.0	71.0
Employee Related Expenses	29.3	32.3	32.3
Prof. And Outside Services	152.5	153.5	153.5
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	14.1	14.5	14.5
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	266.9	271.3	271.3
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	266.9	271.3	271.3
<b>Non-Appropriated FTE:</b>	1.0	1.0	1.0

**Fund Description**

OSPB: Revenues from invoices to insurers are used to compensate procured independent review organizations for reviewing health care appeal cases that involve issues of medical necessity and to pay expenses relating to implementing and maintaining the external i

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2473 Financial Surveillance Fund

<b>Cash Flow Summary</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
Balance Forward from Prior Year	418.5	272.0	122.0
Revenue (From Revenue Schedule)	382.1	382.1	382.1
Total Available	800.6	654.1	504.1
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	528.6	532.1	532.1
Balance Forward to Next Year	272.0	122.0	(28.0)
<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	350.2	336.5	336.5
Employee Related Expenses	128.7	153.3	153.3
Prof. And Outside Services	18.1	10.0	10.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	1.3	1.3	1.3
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	30.3	31.0	31.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>528.6</b>	<b>532.1</b>	<b>532.1</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>528.6</b>	<b>532.1</b>	<b>532.1</b>
<b>Non-Appropriated FTE:</b>	<b>5.7</b>	<b>6.7</b>	<b>6.7</b>

### Fund Description

OSPB: Revenues from assessments on Arizona insurers are used to pay the costs of employing financial analysts to conduct financial surveillance of domestic insurers.



**Arizona Department of Insurance**  
**AGENCY BUDGET REQUEST**  
**FOR FISCAL YEAR 2021**

Sources and Uses Explanation

**FUND 2473 (FINANCIAL SURVEILLANCE FUND)**

**4449 – Other Fees**

The revenue for this fund is derived from an assessment levied on insurers organized in Arizona (“domestic insurers”), based on the amount of each insurer’s assets. The revenue estimates for FY 2020 and FY 2021 assume the Department will continue to assess the maximum amounts allowed under ARS § 20-156(F).

**IMPORTANT: FINANCIAL SURVEILLANCE FUND BALANCE IS FORECASTED WITH A NEGATIVE BALANCE IN FISCAL YEAR 2021**

The purpose of the Financial Surveillance Fund as expressed in ARS § 20-156(F) is “...to pay the costs of employing financial analysts who shall assist the Department in conducting financial surveillance of domestic insurers.” The Sources and Uses Report demonstrates that revenues yielded from levying maximum assessments are insufficient to pay the costs of employing staff to surveil the financial conditions of Arizona-domestic insurers, and the Financial Surveillance Fund will, itself, be insolvent in FY 2021.

The Department of Insurance will be combining with the Arizona Department of Financial Institutions and the Arizona Automobile Theft Authority to form the Arizona Department of Insurance and Financial Institutions effective July 1, 2020. The combining agencies have already begun to collaborate on the consolidation, and the Department will be conferring with the Governor’s Office about savings that may arise from the consolidation that could be redeployed to pay some of the costs associated with domestic insurer financial surveillance.

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2500 IGA and ISA Fund

<b>Cash Flow Summary</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
Balance Forward from Prior Year	0.7	0.7	0.4
Total Available	0.7	0.7	0.4
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.3	0.3
Balance Forward to Next Year	0.7	0.4	0.1

<b>Non-Appropriated Expenditure</b>	<b>Actual FY 2019</b>	<b>Estimate FY 2020</b>	<b>Estimate FY 2021</b>
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.3	0.3
<b>Expenditure Categories Total:</b>	0.0	0.3	0.3
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	0.0	0.3	0.3
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0

**Fund Description**

OSPB: This fund was established for state agencies as a clearing account to properly account for, control, and report receipts and disbursements associated with intergovernmental and interagency service agreements, which are not reported in other funds.

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID3104 Receivership Liquidation FUND

<b>Cash Flow Summary</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	112.9	112.9	80.7
Revenue (From Revenue Schedule)	119.9	101.0	101.0
Total Available	232.8	213.9	181.7
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	119.9	133.2	133.2
Balance Forward to Next Year	112.9	80.7	48.5

<b>Non-Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	87.5	87.5	87.5
Employee Related Expenses	26.1	39.9	39.9
Prof. And Outside Services	0.3	0.3	0.3
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.7	1.0	1.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	4.1	4.5	4.5
Equipment	1.2	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>119.9</b>	<b>133.2</b>	<b>133.2</b>
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	<b>119.9</b>	<b>133.2</b>	<b>133.2</b>
<b>Non-Appropriated FTE:</b>	<b>1.0</b>	<b>1.0</b>	<b>1.0</b>

### Fund Description

OSPB: Cash from the estates of insurers in receivership is used to pay the common administrative costs of the receiverships overseen by the Department.

## Sources and Uses of Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID3727 Insurance Tax Premium Clearing FUND

<b>Cash Flow Summary</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
Balance Forward from Prior Year	122.7	13.8	0.0
Revenue (From Revenue Schedule)	(108.9)	(13.8)	0.0
Total Available	13.8	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	13.8	0.0	0.0

<b>Non-Appropriated Expenditure</b>	Actual FY 2019	Estimate FY 2020	Estimate FY 2021
<b>Expenditure Categories</b>			
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Residual Equity Transfer	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
<b>Non-Appropriated Expenditure Total:</b>	0.0	0.0	0.0
<b>Non-Appropriated FTE:</b>	0.0	0.0	0.0

**Fund Description**

OSP: Specified taxes on vehicle insurance premiums are transferred to the Department of Public Safety Personnel Retirement System, and taxes on fire insurance premiums are transferred to municipal fire districts to pay fire fighter pension obligations.

## Summary of Expenditure and Budget Request for All Funds

**Agency:** Department of Insurance

<b>Appropriated</b>		<b>FY 2019 Actual</b>	<b>FY 2020 Expd. Plan</b>	<b>FY 2021 Fund. Issue</b>	<b>FY 2021 Total Request</b>
Cost Center/Program:					
1	Policy and Administration	1,413.3	1,552.4	0.0	1,552.4
2	Solvency Regulation	282.2	347.6	0.0	347.6
3	Consumer Support	1,770.5	1,944.2	0.0	1,944.2
4	Fraud Investigation and Deterrence	989.2	1,115.5	0.0	1,115.5
5	Licensing	499.7	536.4	0.0	536.4
6	Premium Tax Collections and Analysis	161.6	167.1	0.0	167.1
		5,116.5	5,663.2	0.0	5,663.2
<b>Expenditure Categories</b>					
	FTE	53.8	56.8	0.0	56.8
	Personal Services	2,969.7	3,185.5	0.0	3,185.5
	Employee Related Expenses	1,106.7	1,451.5	0.0	1,451.5
	Professional and Outside Services	320.4	312.0	0.0	312.0
	Travel In-State	17.7	25.6	0.0	25.6
	Travel Out of State	12.4	1.1	0.0	1.1
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	603.8	681.1	0.0	681.1
	Equipment	51.2	6.4	0.0	6.4
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	34.6	0.0	0.0	0.0
	<b>Expenditure Categories Total:</b>	5,116.5	5,663.2	0.0	5,663.2

## Summary of Expenditure and Budget Request for All Funds

**Agency:** Department of Insurance

**Non-Appropriated**

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:					
1	Policy and Administration	5.9	4.5	0.0	4.5
2	Solvency Regulation	2,315.4	2,306.8	0.0	2,306.8
3	Consumer Support	1,072.3	904.8	0.0	904.8
4	Fraud Investigation and Deterrence	0.0	0.3	0.0	0.3
5	Licensing	110.4	110.5	0.0	110.5
7	Captive Insurer Program	319.0	396.1	0.0	396.1
		3,823.0	3,723.0	0.0	3,723.0
<b>Expenditure Categories</b>					
	FTE	18.2	19.2	0.0	19.2
	Personal Services	1,201.0	1,199.8	0.0	1,199.8
	Employee Related Expenses	430.1	546.7	0.0	546.7
	Professional and Outside Services	2,008.2	1,787.4	0.0	1,787.4
	Travel In-State	2.2	0.0	0.0	0.0
	Travel Out of State	16.4	17.3	0.0	17.3
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	160.8	171.5	0.0	171.5
	Equipment	4.3	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.3	0.0	0.3
<b>Expenditure Categories Total:</b>		3,823.0	3,723.0	0.0	3,723.0



## Summary of Expenditure and Budget Request for All Funds

Agency: Department of Insurance

<b>Agency Total for All Funds:</b>	8,939.5	9,386.2	0.0	9,386.2			
------------------------------------	---------	---------	-----	---------	--	--	--

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	AA1000 General Fund (Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Cost Center/Program:</b>				
1 Policy and Administration	1,413.3	1,552.4	0.0	1,552.4
2 Solvency Regulation	282.2	347.6	0.0	347.6
3 Consumer Support	1,770.5	1,944.2	0.0	1,944.2
4 Fraud Investigation and Deterrence	989.2	1,115.5	0.0	1,115.5
5 Licensing	499.7	536.4	0.0	536.4
6 Premium Tax Collections and Analysis	161.6	167.1	0.0	167.1
	5,116.5	5,663.2	0.0	5,663.2
<b>Expenditure Categories</b>				
FTE	53.8	56.8	0.0	56.8
Personal Services	2,969.7	3,185.5	0.0	3,185.5
Employee Related Expenses	1,106.7	1,451.5	0.0	1,451.5
Professional and Outside Services	320.4	312.0	0.0	312.0
Travel In-State	17.7	25.6	0.0	25.6
Travel Out of State	12.4	1.1	0.0	1.1
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	603.8	681.1	0.0	681.1
Equipment	51.2	6.4	0.0	6.4
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	34.6	0.0	0.0	0.0
	5,116.5	5,663.2	0.0	5,663.2
<b>Expenditure Categories Total:</b>	5,116.5	5,663.2	0.0	5,663.2
<b>Fund Total:</b>	5,116.5	5,663.2	0.0	5,663.2

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2000 Federal Grants FUND(Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
3 Consumer Support	215.5	0.0	0.0	0.0
	215.5	0.0	0.0	0.0
<b>Expenditure Categories</b>				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	215.5	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	215.5	0.0	0.0	0.0
<b>Fund Total:</b>	215.5	0.0	0.0	0.0

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2034 Insurance Examiners Revolving FUND (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
1 Policy and Administration	5.9	4.5	0.0	4.5
2 Solvency Regulation	1,666.9	1,641.5	0.0	1,641.5
3 Consumer Support	498.2	509.4	0.0	509.4
5 Licensing	110.4	110.5	0.0	110.5
	2,281.4	2,265.9	0.0	2,265.9
<b>Expenditure Categories</b>				
FTE	5.9	5.9	0.0	5.9
Personal Services	444.8	406.6	0.0	406.6
Employee Related Expenses	164.7	185.3	0.0	185.3
Professional and Outside Services	1,613.0	1,615.0	0.0	1,615.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	4.6	5.0	0.0	5.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	54.3	54.0	0.0	54.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
	2,281.4	2,265.9	0.0	2,265.9
<b>Expenditure Categories Total:</b>				
	2,281.4	2,265.9	0.0	2,265.9
<b>Fund Total:</b>				
	2,281.4	2,265.9	0.0	2,265.9

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2316 Assessment Fund for Voluntary Plans Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
3 Consumer Support	91.7	124.1	0.0	124.1
	91.7	124.1	0.0	124.1
<b>Expenditure Categories</b>				
FTE	1.0	1.0	0.0	1.0
Personal Services	34.2	48.5	0.0	48.5
Employee Related Expenses	12.7	22.1	0.0	22.1
Professional and Outside Services	3.7	3.5	0.0	3.5
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	41.1	50.0	0.0	50.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	91.7	124.1	0.0	124.1
<b>Fund Total:</b>	91.7	124.1	0.0	124.1

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2377 Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
7 Captive Insurer Program	319.0	396.1	0.0	396.1
	319.0	396.1	0.0	396.1
<b>Expenditure Categories</b>				
FTE	3.6	3.6	0.0	3.6
Personal Services	213.3	249.7	0.0	249.7
Employee Related Expenses	68.6	113.8	0.0	113.8
Professional and Outside Services	5.1	5.1	0.0	5.1
Travel In-State	2.2	0.0	0.0	0.0
Travel Out of State	9.8	10.0	0.0	10.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	16.9	17.5	0.0	17.5
Equipment	3.1	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	319.0	396.1	0.0	396.1
<b>Fund Total:</b>	319.0	396.1	0.0	396.1

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2467 Health Care Appeals Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
3 Consumer Support	266.9	271.3	0.0	271.3
	266.9	271.3	0.0	271.3
<b>Expenditure Categories</b>				
FTE	1.0	1.0	0.0	1.0
Personal Services	71.0	71.0	0.0	71.0
Employee Related Expenses	29.3	32.3	0.0	32.3
Professional and Outside Services	152.5	153.5	0.0	153.5
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	14.1	14.5	0.0	14.5
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	266.9	271.3	0.0	271.3
<b>Fund Total:</b>	266.9	271.3	0.0	271.3

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2473 Financial Surveillance Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
2 Solvency Regulation	528.6	532.1	0.0	532.1
	528.6	532.1	0.0	532.1
<b>Expenditure Categories</b>				
FTE	5.7	6.7	0.0	6.7
Personal Services	350.2	336.5	0.0	336.5
Employee Related Expenses	128.7	153.3	0.0	153.3
Professional and Outside Services	18.1	10.0	0.0	10.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	1.3	1.3	0.0	1.3
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	30.3	31.0	0.0	31.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	528.6	532.1	0.0	532.1
<b>Fund Total:</b>	528.6	532.1	0.0	532.1



## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID2500 IGA and ISA Fund (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
4 Fraud Investigation and Deterrence	0.0	0.3	0.0	0.3
	0.0	0.3	0.0	0.3
<b>Expenditure Categories</b>				
Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.3	0.0	0.3
<b>Expenditure Categories Total:</b>	0.0	0.3	0.0	0.3
<b>Fund Total:</b>	0.0	0.3	0.0	0.3

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID3104 Receivership Liquidation FUND (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
Cost Center/Program:				
2      Solvency Regulation	119.9	133.2	0.0	133.2
	119.9	133.2	0.0	133.2
<b>Expenditure Categories</b>				
FTE	1.0	1.0	0.0	1.0
Personal Services	87.5	87.5	0.0	87.5
Employee Related Expenses	26.1	39.9	0.0	39.9
Professional and Outside Services	0.3	0.3	0.0	0.3
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.7	1.0	0.0	1.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	4.1	4.5	0.0	4.5
Equipment	1.2	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	119.9	133.2	0.0	133.2
<b>Fund Total:</b>	119.9	133.2	0.0	133.2

## Summary of Expenditure and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Fund:</b>	ID3104 Receivership Liquidation FUND (Non-Appropriated)

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Agency Total for Selected Funds</b>	8,939.5	9,386.2	0.0	9,386.2

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Policy and Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>				
1-1 Policy and Administration	1,419.2	1,556.9	0.0	1,556.9
<b>Program Summary Total:</b>	1,419.2	1,556.9	0.0	1,556.9
<b>Expenditure Categories</b>				
0000 FTE Positions	8.0	10.0	0.0	10.0
6000 Personal Services	640.1	735.3	0.0	735.3
6100 Employee Related Expenses	193.5	335.1	0.0	335.1
6200 Professional and Outside Services	79.3	73.0	0.0	73.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	2.3	1.1	0.0	1.1
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	456.3	406.0	0.0	406.0
8000 Equipment	41.6	6.4	0.0	6.4
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	6.1	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,419.2	1,556.9	0.0	1,556.9
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	1,413.3	1,552.4	0.0	1,552.4
	1,413.3	1,552.4	0.0	1,552.4
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving FUND (Non-Appro	5.9	4.5	0.0	4.5
	5.9	4.5	0.0	4.5
<b>Fund Source Total:</b>	1,419.2	1,556.9	0.0	1,556.9

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Policy and Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Policy and Administration	1,413.3	1,552.4	0.0	1,552.4
	Total	1,413.3	1,552.4	0.0	1,552.4

### Appropriated Funding

#### Expenditure Categories

FTE Positions		8.0	10.0	0.0	10.0
Personal Services		640.1	735.3	0.0	735.3
Employee Related Expenses		193.5	335.1	0.0	335.1
Professional and Outside Services		74.9	68.5	0.0	68.5
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		2.3	1.1	0.0	1.1
Food		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		454.8	406.0	0.0	406.0
Equipment		41.6	6.4	0.0	6.4
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		6.1	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		1,413.3	1,552.4	0.0	1,552.4
<b>Fund AA1000-A Total:</b>		1,413.3	1,552.4	0.0	1,552.4
<b>Program 1 Total:</b>		1,413.3	1,552.4	0.0	1,552.4

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Policy and Administration

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
1-1	Policy and Administration	5.9	4.5	0.0	4.5
	Total	5.9	4.5	0.0	4.5

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	4.4	4.5	0.0	4.5
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	1.5	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>5.9</b>	<b>4.5</b>	<b>0.0</b>	<b>4.5</b>
<b>Fund ID2034-N Total:</b>	<b>5.9</b>	<b>4.5</b>	<b>0.0</b>	<b>4.5</b>
<b>Program 1 Total:</b>	<b>5.9</b>	<b>4.5</b>	<b>0.0</b>	<b>4.5</b>

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Policy and Administration

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	8.0	10.0	0.0	10.0
6000 Personal Services	640.1	735.3	0.0	735.3
6100 Employee Related Expenses	193.5	335.1	0.0	335.1
6200 Professional and Outside Services	79.3	73.0	0.0	73.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	2.3	1.1	0.0	1.1
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	456.3	406.0	0.0	406.0
8000 Equipment	41.6	6.4	0.0	6.4
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	6.1	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	1,419.2	1,556.9	0.0	1,556.9
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	1,413.3	1,552.4	0.0	1,552.4
	1,413.3	1,552.4	0.0	1,552.4
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving FUND (Non-Appro	5.9	4.5	0.0	4.5
	5.9	4.5	0.0	4.5
<b>Fund Source Total:</b>	1,419.2	1,556.9	0.0	1,556.9

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
<b>Program:</b>	Policy and Administration				
<b>Fund:</b>	AA1000-A General Fund				
	<b>Appropriated</b>				
0000	FTE	8.0	10.0	0.0	10.0
6000	Personal Services	640.1	735.3	0.0	735.3
6100	Employee Related Expenses	193.5	335.1	0.0	335.1
6200	Professional and Outside Services	74.9	68.5	0.0	68.5
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	2.3	1.1	0.0	1.1
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	454.8	406.0	0.0	406.0
8000	Equipment	41.6	6.4	0.0	6.4
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	6.1	0.0	0.0	0.0
	<b>Appropriated Total:</b>	1,413.3	1,552.4	0.0	1,552.4
	<b>Fund Total:</b>	1,413.3	1,552.4	0.0	1,552.4
	<b>Program Total For Selected Funds:</b>	1,413.3	1,552.4	0.0	1,552.4



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Policy and Administration				
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving FUND				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	4.4	4.5	0.0	4.5
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1.5	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	5.9	4.5	0.0	4.5
	<b>Fund Total:</b>	5.9	4.5	0.0	4.5
	<b>Program Total For Selected Funds:</b>	5.9	4.5	0.0	4.5

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Policy and Administration

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	8.0	10.0
<b>Expenditure Category Total</b>	<b>8.0</b>	<b>10.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	8.0	10.0
<b>Fund Source Total</b>	<b>8.0</b>	<b>10.0</b>
<hr/>		
Personal Services	640.1	735.3
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>640.1</b>	<b>735.3</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	640.1	735.3
<b>Fund Source Total</b>	<b>640.1</b>	<b>735.3</b>
<hr/>		
Employee Related Expenses	193.5	335.1
<b>Expenditure Category Total</b>	<b>193.5</b>	<b>335.1</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	193.5	335.1
<b>Fund Source Total</b>	<b>193.5</b>	<b>335.1</b>
<hr/>		
Professional and Outside Services		73.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	71.7	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.7	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	6.9	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Policy and Administration

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>79.3</b>	<b>73.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	74.9	68.5
	<b>74.9</b>	<b>68.5</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	4.4	4.5
	<b>4.4</b>	<b>4.5</b>
<b>Fund Source Total</b>	<b>79.3</b>	<b>73.0</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	2.3	1.1
<b>Expenditure Category Total</b>	<b>2.3</b>	<b>1.1</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	2.3	1.1
	<b>2.3</b>	<b>1.1</b>
<b>Fund Source Total</b>	<b>2.3</b>	<b>1.1</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		406.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	25.3	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Internal Service Data Processing	43.7	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	2.0	
Pmt for AFIS Development & Usage	10.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	14.4	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	263.7	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	1.4	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	10.6	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	6.2	
Computer Supplies	0.7	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.5	
Publications	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	1.0	
Other Education And Training Costs	1.5	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.9	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	17.4	
Document shredding and Destruction Services	2.2	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.2	
Entertainment And Promotional Items	0.0	
Dues	35.0	
Books- Subscriptions And Publications	18.4	
Costs For Digital Image Or Microfilm	0.2	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	1.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Policy and Administration</b>

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>456.3</b>	<b>406.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	454.8	406.0
	<b>454.8</b>	<b>406.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	1.5	0.0
	<b>1.5</b>	<b>0.0</b>
<b>Fund Source Total</b>	<b>456.3</b>	<b>406.0</b>

Current Year Expenditures		6.4
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	12.1	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	2.2	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	24.3	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.1	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	2.9	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Policy and Administration

	FY 2019 Actual	FY 2020 Expd. Plan
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>41.6</b>	<b>6.4</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	41.6	6.4
<b>Fund Source Total</b>	<b>41.6</b>	<b>6.4</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	6.1	0.0
<b>Expenditure Category Total</b>	<b>6.1</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	6.1	0.0
<b>Fund Source Total</b>	<b>6.1</b>	<b>0.0</b>

<b>Employee Retirement Coverage</b>
-------------------------------------

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	10.0	735.3	AA1000-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$128,400</b>
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
1.0	155.0	0.0

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Solvency Regulation

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>				
2-1 Solvency Regulation	2,597.6	2,654.4	0.0	2,654.4
<b>Program Summary Total:</b>	2,597.6	2,654.4	0.0	2,654.4
<b>Expenditure Categories</b>				
0000 FTE Positions	13.4	14.4	0.0	14.4
6000 Personal Services	885.3	880.2	0.0	880.2
6100 Employee Related Expenses	317.4	401.1	0.0	401.1
6200 Professional and Outside Services	1,309.2	1,290.3	0.0	1,290.3
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	5.9	6.3	0.0	6.3
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	75.0	76.5	0.0	76.5
8000 Equipment	4.8	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	2,597.6	2,654.4	0.0	2,654.4
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	282.2	347.6	0.0	347.6
	282.2	347.6	0.0	347.6
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving FUND (Non-Appro	1,666.9	1,641.5	0.0	1,641.5
ID2473-N Financial Surveillance Fund (Non-Appropriated)	528.6	532.1	0.0	532.1
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	119.9	133.2	0.0	133.2
	2,315.4	2,306.8	0.0	2,306.8
<b>Fund Source Total:</b>	2,597.6	2,654.4	0.0	2,654.4



## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Solvency Regulation

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Regulation	282.2	347.6	0.0	347.6
	Total	282.2	347.6	0.0	347.6

### Appropriated Funding

#### Expenditure Categories

FTE Positions	3.8	3.8	0.0	3.8
Personal Services	186.3	232.6	0.0	232.6
Employee Related Expenses	72.5	106.0	0.0	106.0
Professional and Outside Services	11.2	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	8.6	9.0	0.0	9.0
Equipment	3.6	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	282.2	347.6	0.0	347.6
<b>Fund AA1000-A Total:</b>	282.2	347.6	0.0	347.6
<b>Program 2 Total:</b>	282.2	347.6	0.0	347.6

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Solvency Regulation

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Regulation	1,666.9	1,641.5	0.0	1,641.5
	Total	1,666.9	1,641.5	0.0	1,641.5

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	2.9	2.9	0.0	2.9
	Personal Services	261.3	223.6	0.0	223.6
	Employee Related Expenses	90.1	101.9	0.0	101.9
	Professional and Outside Services	1,279.6	1,280.0	0.0	1,280.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	3.9	4.0	0.0	4.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	32.0	32.0	0.0	32.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		1,666.9	1,641.5	0.0	1,641.5
<b>Fund ID2034-N Total:</b>		1,666.9	1,641.5	0.0	1,641.5
<b>Program 2 Total:</b>		1,666.9	1,641.5	0.0	1,641.5

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Solvency Regulation

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2473-N Financial Surveillance Fund (Non-Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

2-1	Solvency Regulation	528.6	532.1	0.0	532.1
	Total	528.6	532.1	0.0	532.1

### Non-Appropriated Funding

#### Expenditure Categories

FTE Positions	5.7	6.7	0.0	6.7
Personal Services	350.2	336.5	0.0	336.5
Employee Related Expenses	128.7	153.3	0.0	153.3
Professional and Outside Services	18.1	10.0	0.0	10.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	1.3	1.3	0.0	1.3
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	30.3	31.0	0.0	31.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	528.6	532.1	0.0	532.1
--------------------------------------	-------	-------	-----	-------

<b>Fund ID2473-N Total:</b>	528.6	532.1	0.0	532.1
-----------------------------	-------	-------	-----	-------

<b>Program 2 Total:</b>	528.6	532.1	0.0	532.1
-------------------------	-------	-------	-----	-------

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Solvency Regulation

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID3104-N Receivership Liquidation FUND (Non-Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
2-1	Solvency Regulation	119.9	133.2	0.0	133.2
	Total	119.9	133.2	0.0	133.2

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	1.0	1.0	0.0	1.0
	Personal Services	87.5	87.5	0.0	87.5
	Employee Related Expenses	26.1	39.9	0.0	39.9
	Professional and Outside Services	0.3	0.3	0.0	0.3
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.7	1.0	0.0	1.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	4.1	4.5	0.0	4.5
	Equipment	1.2	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		119.9	133.2	0.0	133.2
<b>Fund ID3104-N Total:</b>		119.9	133.2	0.0	133.2
<b>Program 2 Total:</b>		119.9	133.2	0.0	133.2

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Solvency Regulation

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	13.4	14.4	0.0	14.4
6000 Personal Services	885.3	880.2	0.0	880.2
6100 Employee Related Expenses	317.4	401.1	0.0	401.1
6200 Professional and Outside Services	1,309.2	1,290.3	0.0	1,290.3
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	5.9	6.3	0.0	6.3
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	75.0	76.5	0.0	76.5
8000 Equipment	4.8	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>				
	2,597.6	2,654.4	0.0	2,654.4
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	282.2	347.6	0.0	347.6
	282.2	347.6	0.0	347.6
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving FUND (Non-Appro	1,666.9	1,641.5	0.0	1,641.5
ID2473-N Financial Surveillance Fund (Non-Appropriated)	528.6	532.1	0.0	532.1
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	119.9	133.2	0.0	133.2
	2,315.4	2,306.8	0.0	2,306.8
<b>Fund Source Total:</b>				
	2,597.6	2,654.4	0.0	2,654.4

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance					
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Solvency Regulation					
<b>Fund:</b> AA1000-A General Fund					
<b>Appropriated</b>					
0000	FTE	3.8	3.8	0.0	3.8
6000	Personal Services	186.3	232.6	0.0	232.6
6100	Employee Related Expenses	72.5	106.0	0.0	106.0
6200	Professional and Outside Services	11.2	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	8.6	9.0	0.0	9.0
8000	Equipment	3.6	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		282.2	347.6	0.0	347.6
<b>Fund Total:</b>		282.2	347.6	0.0	347.6
<b>Program Total For Selected Funds:</b>		282.2	347.6	0.0	347.6

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
		FY 2019	FY 2020	FY 2021	FY 2021
		Actual	Expd. Plan	Fund. Issue	Total Request
<b>Program:</b>	Solvency Regulation				
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving FUND				
	<b>Non-Appropriated</b>				
0000	FTE	2.9	2.9	0.0	2.9
6000	Personal Services	261.3	223.6	0.0	223.6
6100	Employee Related Expenses	90.1	101.9	0.0	101.9
6200	Professional and Outside Services	1,279.6	1,280.0	0.0	1,280.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	3.9	4.0	0.0	4.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	32.0	32.0	0.0	32.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	1,666.9	1,641.5	0.0	1,641.5
	<b>Fund Total:</b>	1,666.9	1,641.5	0.0	1,641.5
	<b>Program Total For Selected Funds:</b>	1,666.9	1,641.5	0.0	1,641.5

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
		FY 2019	FY 2020	FY 2021	FY 2021
		Actual	Expd. Plan	Fund. Issue	Total Request
<b>Program:</b>	Solvency Regulation				
<b>Fund:</b>	ID2473-N Financial Surveillance Fund				
	<b>Non-Appropriated</b>				
0000	FTE	5.7	6.7	0.0	6.7
6000	Personal Services	350.2	336.5	0.0	336.5
6100	Employee Related Expenses	128.7	153.3	0.0	153.3
6200	Professional and Outside Services	18.1	10.0	0.0	10.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	1.3	1.3	0.0	1.3
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	30.3	31.0	0.0	31.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	528.6	532.1	0.0	532.1
	<b>Fund Total:</b>	528.6	532.1	0.0	532.1
	<b>Program Total For Selected Funds:</b>	528.6	532.1	0.0	532.1



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Solvency Regulation				
<b>Fund:</b>	ID3104-N Receivership Liquidation FUND				
	<b>Non-Appropriated</b>				
0000	FTE	1.0	1.0	0.0	1.0
6000	Personal Services	87.5	87.5	0.0	87.5
6100	Employee Related Expenses	26.1	39.9	0.0	39.9
6200	Professional and Outside Services	0.3	0.3	0.0	0.3
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.7	1.0	0.0	1.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	4.1	4.5	0.0	4.5
8000	Equipment	1.2	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	119.9	133.2	0.0	133.2
	<b>Fund Total:</b>	119.9	133.2	0.0	133.2
	<b>Program Total For Selected Funds:</b>	119.9	133.2	0.0	133.2

## Program Expenditure Schedule

Agency:	Department of Insurance	
Program:	Solvency Regulation	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	13.4	14.4
<b>Expenditure Category Total</b>	<b>13.4</b>	<b>14.4</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	3.8	3.8
	<b>3.8</b>	<b>3.8</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	2.9	2.9
ID2473-N Financial Surveillance Fund (Non-Appropriated)	5.7	6.7
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	1.0	1.0
	<b>9.6</b>	<b>10.6</b>
<b>Fund Source Total</b>	<b>13.4</b>	<b>14.4</b>
<hr/>		
Personal Services	885.3	880.2
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>885.3</b>	<b>880.2</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	186.3	232.6
	<b>186.3</b>	<b>232.6</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	261.3	223.6
ID2473-N Financial Surveillance Fund (Non-Appropriated)	350.2	336.5
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	87.5	87.5
	<b>699.0</b>	<b>647.6</b>
<b>Fund Source Total</b>	<b>885.3</b>	<b>880.2</b>
<hr/>		
Employee Related Expenses	317.4	401.1
<b>Expenditure Category Total</b>	<b>317.4</b>	<b>401.1</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	72.5	106.0
	<b>72.5</b>	<b>106.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	90.1	101.9
ID2473-N Financial Surveillance Fund (Non-Appropriated)	128.7	153.3
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	26.1	39.9
	<b>244.9</b>	<b>295.1</b>
<b>Fund Source Total</b>	<b>317.4</b>	<b>401.1</b>
<hr/>		
Professional and Outside Services		1,290.3
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	10.2	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	

## Program Expenditure Schedule

Agency:	Department of Insurance	
Program:	Solvency Regulation	
	FY 2019 Actual	FY 2020 Expd. Plan
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	1,299.0	
<b>Expenditure Category Total</b>	<b>1,309.2</b>	<b>1,290.3</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	11.2	0.0
	<b>11.2</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	1,279.6	1,280.0
ID2473-N Financial Surveillance Fund (Non-Appropriated)	18.1	10.0
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	0.3	0.3
	<b>1,298.0</b>	<b>1,290.3</b>
<b>Fund Source Total</b>	<b>1,309.2</b>	<b>1,290.3</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	5.9	6.3
<b>Expenditure Category Total</b>	<b>5.9</b>	<b>6.3</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	3.9	4.0
ID2473-N Financial Surveillance Fund (Non-Appropriated)	1.3	1.3
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	0.7	1.0
	<b>5.9</b>	<b>6.3</b>
<b>Fund Source Total</b>	<b>5.9</b>	<b>6.3</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		76.5
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Solvency Regulation</b>

	FY 2019 Actual	FY 2020 Expd. Plan
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.4	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	10.1	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	42.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	1.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	7.2	
Uniforms	0.0	
Inmate Clothing	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Solvency Regulation</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Security Supplies	0.0	
Office Supplies	1.7	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	2.3	
Other Education And Training Costs	0.3	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.4	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	1.3	
Document shredding and Destruction Services	0.1	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	1.5	
Books- Subscriptions And Publications	0.2	
Costs For Digital Image Or Microfilm	3.2	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Solvency Regulation</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	3.3	
<b>Expenditure Category Total</b>	<b>75.0</b>	<b>76.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	8.6	9.0
	<b>8.6</b>	<b>9.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	32.0	32.0
ID2473-N Financial Surveillance Fund (Non-Appropriated)	30.3	31.0
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	4.1	4.5
	<b>66.4</b>	<b>67.5</b>
<b>Fund Source Total</b>	<b>75.0</b>	<b>76.5</b>

Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	1.2	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Solvency Regulation</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	3.6	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>4.8</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	3.6	0.0
<b>Fund Source Total</b>	<b>3.6</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID3104-N Receivership Liquidation FUND (Non-Appropriated)	1.2	0.0
<b>Fund Source Total</b>	<b>1.2</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

<b>Employee Retirement Coverage</b>			
Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	3.8	232.6	AA1000-A
Arizona State Retirement System	2.9	223.6	ID2034-N
Arizona State Retirement System	6.7	336.5	ID2473-N
Arizona State Retirement System	1.0	87.5	ID3104-N

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$128,400</b>		
Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life

# Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Solvency Regulation

	FY 2019 Actual	FY 2020 Expd. Plan
0.0      0.0      0.0		



## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>					
3-1	Consumer Support	2,842.8	2,849.0	0.0	2,849.0
	<b>Program Summary Total:</b>	2,842.8	2,849.0	0.0	2,849.0
<b>Expenditure Categories</b>					
0000	FTE Positions	28.8	28.8	0.0	28.8
6000	Personal Services	1,534.5	1,599.9	0.0	1,599.9
6100	Employee Related Expenses	591.9	729.0	0.0	729.0
6200	Professional and Outside Services	591.0	377.0	0.0	377.0
6500	Travel In-State	0.2	0.6	0.0	0.6
6600	Travel Out of State	9.0	1.0	0.0	1.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	111.6	141.5	0.0	141.5
8000	Equipment	4.6	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Expenditure Categories Total:</b>	2,842.8	2,849.0	0.0	2,849.0
<b>Fund Source</b>					
<b>Appropriated Funds</b>					
AA1000-A	General Fund (Appropriated)	1,770.5	1,944.2	0.0	1,944.2
		1,770.5	1,944.2	0.0	1,944.2
<b>Non-Appropriated Funds</b>					
ID2000-N	Federal Grants FUND(Non-Appropriated)	215.5	0.0	0.0	0.0
ID2034-N	Insurance Examiners Revolving FUND (Non-Appro	498.2	509.4	0.0	509.4
ID2316-N	Assessment Fund for Voluntary Plans Fund (Non-	91.7	124.1	0.0	124.1
ID2467-N	Health Care Appeals Fund (Non-Appropriated)	266.9	271.3	0.0	271.3
	<b>Fund Source Total:</b>	1,072.3	904.8	0.0	904.8
		2,842.8	2,849.0	0.0	2,849.0

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Support	1,770.5	1,944.2	0.0	1,944.2
	Total	1,770.5	1,944.2	0.0	1,944.2

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	23.8	23.8	0.0	23.8
	Personal Services	1,245.8	1,297.4	0.0	1,297.4
	Employee Related Expenses	475.3	591.2	0.0	591.2
	Professional and Outside Services	0.7	0.0	0.0	0.0
	Travel In-State	0.2	0.6	0.0	0.6
	Travel Out of State	8.3	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	35.6	55.0	0.0	55.0
	Equipment	4.6	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		1,770.5	1,944.2	0.0	1,944.2
<b>Fund AA1000-A Total:</b>		1,770.5	1,944.2	0.0	1,944.2
<b>Program 3 Total:</b>		1,770.5	1,944.2	0.0	1,944.2

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2000-N Federal GrantS FUND(Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Support	215.5	0.0	0.0	0.0
	Total	215.5	0.0	0.0	0.0

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	215.5	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>215.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Fund ID2000-N Total:</b>	<b>215.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>
<b>Program 3 Total:</b>	<b>215.5</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Support	498.2	509.4	0.0	509.4
	Total	498.2	509.4	0.0	509.4

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	3.0	3.0	0.0	3.0
	Personal Services	183.5	183.0	0.0	183.0
	Employee Related Expenses	74.6	83.4	0.0	83.4
	Professional and Outside Services	218.6	220.0	0.0	220.0
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.7	1.0	0.0	1.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	20.8	22.0	0.0	22.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		498.2	509.4	0.0	509.4
<b>Fund ID2034-N Total:</b>		498.2	509.4	0.0	509.4
<b>Program 3 Total:</b>		498.2	509.4	0.0	509.4

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Support	91.7	124.1	0.0	124.1
	Total	91.7	124.1	0.0	124.1

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	1.0	1.0	0.0	1.0
	Personal Services	34.2	48.5	0.0	48.5
	Employee Related Expenses	12.7	22.1	0.0	22.1
	Professional and Outside Services	3.7	3.5	0.0	3.5
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	41.1	50.0	0.0	50.0
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		91.7	124.1	0.0	124.1
<b>Fund ID2316-N Total:</b>		91.7	124.1	0.0	124.1
<b>Program 3 Total:</b>		91.7	124.1	0.0	124.1

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2467-N Health Care Appeals Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
3-1	Consumer Support	266.9	271.3	0.0	271.3
	Total	266.9	271.3	0.0	271.3

### Non-Appropriated Funding

#### Expenditure Categories

	FTE Positions	1.0	1.0	0.0	1.0
	Personal Services	71.0	71.0	0.0	71.0
	Employee Related Expenses	29.3	32.3	0.0	32.3
	Professional and Outside Services	152.5	153.5	0.0	153.5
	Travel In-State	0.0	0.0	0.0	0.0
	Travel Out of State	0.0	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	14.1	14.5	0.0	14.5
	Equipment	0.0	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		266.9	271.3	0.0	271.3
<b>Fund ID2467-N Total:</b>		266.9	271.3	0.0	271.3
<b>Program 3 Total:</b>		266.9	271.3	0.0	271.3

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	28.8	28.8	0.0	28.8
6000 Personal Services	1,534.5	1,599.9	0.0	1,599.9
6100 Employee Related Expenses	591.9	729.0	0.0	729.0
6200 Professional and Outside Services	591.0	377.0	0.0	377.0
6500 Travel In-State	0.2	0.6	0.0	0.6
6600 Travel Out of State	9.0	1.0	0.0	1.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	111.6	141.5	0.0	141.5
8000 Equipment	4.6	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>				
	2,842.8	2,849.0	0.0	2,849.0
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	1,770.5	1,944.2	0.0	1,944.2
	1,770.5	1,944.2	0.0	1,944.2
<b>Non-Appropriated Funds</b>				
ID2000-N Federal Grants FUND(Non-Appropriated)	215.5	0.0	0.0	0.0
ID2034-N Insurance Examiners Revolving FUND (Non-Appro	498.2	509.4	0.0	509.4
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-	91.7	124.1	0.0	124.1
ID2467-N Health Care Appeals Fund (Non-Appropriated)	266.9	271.3	0.0	271.3
	1,072.3	904.8	0.0	904.8
<b>Fund Source Total:</b>				
	2,842.8	2,849.0	0.0	2,849.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Consumer Support				
<b>Fund:</b>	AA1000-A General Fund				
	<b>Appropriated</b>				
0000	FTE	23.8	23.8	0.0	23.8
6000	Personal Services	1,245.8	1,297.4	0.0	1,297.4
6100	Employee Related Expenses	475.3	591.2	0.0	591.2
6200	Professional and Outside Services	0.7	0.0	0.0	0.0
6500	Travel In-State	0.2	0.6	0.0	0.6
6600	Travel Out of State	8.3	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	35.6	55.0	0.0	55.0
8000	Equipment	4.6	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Appropriated Total:</b>	1,770.5	1,944.2	0.0	1,944.2
	<b>Fund Total:</b>	1,770.5	1,944.2	0.0	1,944.2
	<b>Program Total For Selected Funds:</b>	1,770.5	1,944.2	0.0	1,944.2



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Consumer Support				
<b>Fund:</b>	ID2000-N Federal GrantS FUND				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	215.5	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	215.5	0.0	0.0	0.0
	<b>Fund Total:</b>	215.5	0.0	0.0	0.0
	<b>Program Total For Selected Funds:</b>	215.5	0.0	0.0	0.0

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Consumer Support				
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving FUND				
	<b>Non-Appropriated</b>				
0000	FTE	3.0	3.0	0.0	3.0
6000	Personal Services	183.5	183.0	0.0	183.0
6100	Employee Related Expenses	74.6	83.4	0.0	83.4
6200	Professional and Outside Services	218.6	220.0	0.0	220.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.7	1.0	0.0	1.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	20.8	22.0	0.0	22.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	498.2	509.4	0.0	509.4
	<b>Fund Total:</b>	498.2	509.4	0.0	509.4
	<b>Program Total For Selected Funds:</b>	498.2	509.4	0.0	509.4

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
		FY 2019	FY 2020	FY 2021	FY 2021
		Actual	Expd. Plan	Fund. Issue	Total Request
<b>Program:</b>	Consumer Support				
<b>Fund:</b>	ID2316-N Assessment Fund for Voluntary Plans Fund				
	<b>Non-Appropriated</b>				
0000	FTE	1.0	1.0	0.0	1.0
6000	Personal Services	34.2	48.5	0.0	48.5
6100	Employee Related Expenses	12.7	22.1	0.0	22.1
6200	Professional and Outside Services	3.7	3.5	0.0	3.5
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	41.1	50.0	0.0	50.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	91.7	124.1	0.0	124.1
	<b>Fund Total:</b>	91.7	124.1	0.0	124.1
	<b>Program Total For Selected Funds:</b>	91.7	124.1	0.0	124.1

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance			
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>
				<b>FY 2021</b>
				<b>Total Request</b>
<b>Program:</b>	Consumer Support			
<b>Fund:</b>	ID2467-N Health Care Appeals Fund			
	<b>Non-Appropriated</b>			
0000	FTE	1.0	1.0	0.0
6000	Personal Services	71.0	71.0	0.0
6100	Employee Related Expenses	29.3	32.3	0.0
6200	Professional and Outside Services	152.5	153.5	0.0
6500	Travel In-State	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0
7000	Other Operating Expenses	14.1	14.5	0.0
8000	Equipment	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	266.9	271.3	0.0
	<b>Fund Total:</b>	266.9	271.3	0.0
	<b>Program Total For Selected Funds:</b>	266.9	271.3	0.0

## Program Expenditure Schedule

Agency:	Department of Insurance	
Program:	Consumer Support	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	28.8	28.8
<b>Expenditure Category Total</b>	<b>28.8</b>	<b>28.8</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	23.8	23.8
	<b>23.8</b>	<b>23.8</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	3.0	3.0
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	1.0	1.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	1.0	1.0
	<b>5.0</b>	<b>5.0</b>
<b>Fund Source Total</b>	<b>28.8</b>	<b>28.8</b>
<hr/>		
Personal Services	1,534.5	1,599.9
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>1,534.5</b>	<b>1,599.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1,245.8	1,297.4
	<b>1,245.8</b>	<b>1,297.4</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	183.5	183.0
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	34.2	48.5
ID2467-N Health Care Appeals Fund (Non-Appropriated)	71.0	71.0
	<b>288.7</b>	<b>302.5</b>
<b>Fund Source Total</b>	<b>1,534.5</b>	<b>1,599.9</b>
<hr/>		
Employee Related Expenses	591.9	729.0
<b>Expenditure Category Total</b>	<b>591.9</b>	<b>729.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	475.3	591.2
	<b>475.3</b>	<b>591.2</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	74.6	83.4
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	12.7	22.1
ID2467-N Health Care Appeals Fund (Non-Appropriated)	29.3	32.3
	<b>116.6</b>	<b>137.8</b>
<b>Fund Source Total</b>	<b>591.9</b>	<b>729.0</b>
<hr/>		
Professional and Outside Services		377.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	6.9	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

	FY 2019 Actual	FY 2020 Expd. Plan
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	584.1	
<b>Expenditure Category Total</b>	<b>591.0</b>	<b>377.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.7	0.0
	<b>0.7</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2000-N Federal Grants FUND(Non-Appropriated)	215.5	0.0
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	218.6	220.0
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	3.7	3.5
ID2467-N Health Care Appeals Fund (Non-Appropriated)	152.5	153.5
	<b>590.3</b>	<b>377.0</b>
<b>Fund Source Total</b>	<b>591.0</b>	<b>377.0</b>
<hr/>		
Travel In-State	0.2	0.6
<b>Expenditure Category Total</b>	<b>0.2</b>	<b>0.6</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.2	0.6
	<b>0.2</b>	<b>0.6</b>
<b>Fund Source Total</b>	<b>0.2</b>	<b>0.6</b>
<hr/>		
Travel Out of State	9.0	1.0
<b>Expenditure Category Total</b>	<b>9.0</b>	<b>1.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	8.3	0.0
	<b>8.3</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	0.7	1.0
	<b>0.7</b>	<b>1.0</b>
<b>Fund Source Total</b>	<b>9.0</b>	<b>1.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		141.5
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Consumer Support</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	37.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	2.1	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	21.4	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	20.4	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Consumer Support</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	1.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	4.4	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	3.2	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	4.3	
Other Education And Training Costs	0.6	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.7	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	4.7	
Document shredding and Destruction Services	1.2	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.1	
Entertainment And Promotional Items	0.0	
Dues	1.4	
Books- Subscriptions And Publications	2.8	
Costs For Digital Image Or Microfilm	1.9	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Consumer Support</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	4.4	
<b>Expenditure Category Total</b>	<b>111.6</b>	<b>141.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	35.6	55.0
	<b>35.6</b>	<b>55.0</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	20.8	22.0
ID2316-N Assessment Fund for Voluntary Plans Fund (Non-Appropriated)	41.1	50.0
ID2467-N Health Care Appeals Fund (Non-Appropriated)	14.1	14.5
	<b>76.0</b>	<b>86.5</b>
<b>Fund Source Total</b>	<b>111.6</b>	<b>141.5</b>

Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Consumer Support</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	3.5	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.7	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.4	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>4.6</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	4.6	0.0
<b>Fund Source Total</b>	<b>4.6</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

### Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	23.8	1,297.4	AA1000-A
Arizona State Retirement System	3.0	183.0	ID2034-N
Arizona State Retirement System	1.0	48.5	ID2316-N
Arizona State Retirement System	1.0	71.0	ID2467-N

### Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400

# Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Consumer Support

	FY 2019 Actual	FY 2020 Expd. Plan						
<table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 25%; text-align: left;">Total FTE</th> <th style="width: 25%; text-align: left;">Personal Services</th> <th style="width: 50%; text-align: left;">FTE's not eligible for Health, Dental &amp; Life</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">0.0</td> <td style="text-align: center;">0.0</td> <td style="text-align: center;">0.0</td> </tr> </tbody> </table>	Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life	0.0	0.0	0.0		
Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life						
0.0	0.0	0.0						

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Fraud Investigation and Deterrence

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>				
4-1 Fraud Investigation and Deterrence	989.2	1,115.8	0.0	1,115.8
<b>Program Summary Total:</b>	989.2	1,115.8	0.0	1,115.8
<b>Expenditure Categories</b>				
0000 FTE Positions	8.0	9.0	0.0	9.0
6000 Personal Services	479.9	520.0	0.0	520.0
6100 Employee Related Expenses	163.2	236.9	0.0	236.9
6200 Professional and Outside Services	233.6	243.5	0.0	243.5
6500 Travel In-State	17.5	25.0	0.0	25.0
6600 Travel Out of State	0.6	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	64.5	90.1	0.0	90.1
8000 Equipment	1.4	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	28.5	0.3	0.0	0.3
<b>Expenditure Categories Total:</b>	989.2	1,115.8	0.0	1,115.8
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	989.2	1,115.5	0.0	1,115.5
	989.2	1,115.5	0.0	1,115.5
<b>Non-Appropriated Funds</b>				
ID2500-N IGA and ISA Fund (Non-Appropriated)	0.0	0.3	0.0	0.3
	0.0	0.3	0.0	0.3
<b>Fund Source Total:</b>	989.2	1,115.8	0.0	1,115.8

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Fraud Investigation and Deterrence

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
4-1	Fraud Investigation and Deterrence	989.2	1,115.5	0.0	1,115.5
	Total	989.2	1,115.5	0.0	1,115.5

### Appropriated Funding

#### Expenditure Categories

	FTE Positions	8.0	9.0	0.0	9.0
	Personal Services	479.9	520.0	0.0	520.0
	Employee Related Expenses	163.2	236.9	0.0	236.9
	Professional and Outside Services	233.6	243.5	0.0	243.5
	Travel In-State	17.5	25.0	0.0	25.0
	Travel Out of State	0.6	0.0	0.0	0.0
	Food	0.0	0.0	0.0	0.0
	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
	Other Operating Expenses	64.5	90.1	0.0	90.1
	Equipment	1.4	0.0	0.0	0.0
	Capital Outlay	0.0	0.0	0.0	0.0
	Debt Service	0.0	0.0	0.0	0.0
	Cost Allocation	0.0	0.0	0.0	0.0
	Transfers	28.5	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		989.2	1,115.5	0.0	1,115.5
<b>Fund AA1000-A Total:</b>		989.2	1,115.5	0.0	1,115.5
<b>Program 4 Total:</b>		989.2	1,115.5	0.0	1,115.5

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Fraud Investigation and Deterrence

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2500-N IGA and ISA Fund (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

4-1	Fraud Investigation and Deterrence	0.0	0.3	0.0	0.3
	Total	0.0	0.3	0.0	0.3

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.3	0.0	0.3

<b>Expenditure Categories Total:</b>	0.0	0.3	0.0	0.3
--------------------------------------	-----	-----	-----	-----

<b>Fund ID2500-N Total:</b>	0.0	0.3	0.0	0.3
-----------------------------	-----	-----	-----	-----

<b>Program 4 Total:</b>	0.0	0.3	0.0	0.3
-------------------------	-----	-----	-----	-----

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Fraud Investigation and Deterrence

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	8.0	9.0	0.0	9.0
6000 Personal Services	479.9	520.0	0.0	520.0
6100 Employee Related Expenses	163.2	236.9	0.0	236.9
6200 Professional and Outside Services	233.6	243.5	0.0	243.5
6500 Travel In-State	17.5	25.0	0.0	25.0
6600 Travel Out of State	0.6	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	64.5	90.1	0.0	90.1
8000 Equipment	1.4	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	28.5	0.3	0.0	0.3
<b>Expenditure Categories Total:</b>	989.2	1,115.8	0.0	1,115.8
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	989.2	1,115.5	0.0	1,115.5
	989.2	1,115.5	0.0	1,115.5
<b>Non-Appropriated Funds</b>				
ID2500-N IGA and ISA Fund (Non-Appropriated)	0.0	0.3	0.0	0.3
	0.0	0.3	0.0	0.3
<b>Fund Source Total:</b>	989.2	1,115.8	0.0	1,115.8

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
<b>Program:</b>	Fraud Investigation and Deterrence				
<b>Fund:</b>	AA1000-A General Fund				
<b>Appropriated</b>					
0000	FTE	8.0	9.0	0.0	9.0
6000	Personal Services	479.9	520.0	0.0	520.0
6100	Employee Related Expenses	163.2	236.9	0.0	236.9
6200	Professional and Outside Services	233.6	243.5	0.0	243.5
6500	Travel In-State	17.5	25.0	0.0	25.0
6600	Travel Out of State	0.6	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	64.5	90.1	0.0	90.1
8000	Equipment	1.4	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	28.5	0.0	0.0	0.0
<b>Appropriated Total:</b>		989.2	1,115.5	0.0	1,115.5
<b>Fund Total:</b>		989.2	1,115.5	0.0	1,115.5
<b>Program Total For Selected Funds:</b>		989.2	1,115.5	0.0	1,115.5



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
<b>Program:</b>	Fraud Investigation and Deterrence				
<b>Fund:</b>	ID2500-N IGA and ISA Fund				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.3	0.0	0.3
	<b>Non-Appropriated Total:</b>	0.0	0.3	0.0	0.3
	<b>Fund Total:</b>	0.0	0.3	0.0	0.3
	<b>Program Total For Selected Funds:</b>	0.0	0.3	0.0	0.3

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Fraud Investigation and Deterrence</b>

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	8.0	9.0
<b>Expenditure Category Total</b>	<b>8.0</b>	<b>9.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	8.0	9.0
<b>Fund Source Total</b>	<b>8.0</b>	<b>9.0</b>
<hr/>		
Personal Services	479.9	520.0
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>479.9</b>	<b>520.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	479.9	520.0
<b>Fund Source Total</b>	<b>479.9</b>	<b>520.0</b>
<hr/>		
Employee Related Expenses	163.2	236.9
<b>Expenditure Category Total</b>	<b>163.2</b>	<b>236.9</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	163.2	236.9
<b>Fund Source Total</b>	<b>163.2</b>	<b>236.9</b>
<hr/>		
Professional and Outside Services		243.5
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	232.2	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	1.4	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	

## Program Expenditure Schedule

Agency:	Department of Insurance	
Program:	Fraud Investigation and Deterrence	
	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>233.6</b>	<b>243.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	233.6	243.5
<b>Fund Source Total</b>	<b>233.6</b>	<b>243.5</b>
<hr/>		
Travel In-State	17.5	25.0
<b>Expenditure Category Total</b>	<b>17.5</b>	<b>25.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	17.5	25.0
<b>Fund Source Total</b>	<b>17.5</b>	<b>25.0</b>
<hr/>		
Travel Out of State	0.6	0.0
<b>Expenditure Category Total</b>	<b>0.6</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	0.6	0.0
<b>Fund Source Total</b>	<b>0.6</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		90.1
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	3.7	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Fraud Investigation and Deterrence</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.0	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	9.4	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	37.7	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	2.1	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.3	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	3.2	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.2	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	3.9	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Fraud Investigation and Deterrence</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	1.0	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.3	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.1	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.4	
Books- Subscriptions And Publications	0.1	
Costs For Digital Image Or Microfilm	0.0	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	1.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	1.1	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Fraud Investigation and Deterrence</b>

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>64.5</b>	<b>90.1</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	64.5	90.1
<b>Fund Source Total</b>	<b>64.5</b>	<b>90.1</b>
<hr/>		
Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.7	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.7	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Fraud Investigation and Deterrence

	<u>FY 2019 Actual</u>	<u>FY 2020 Expd. Plan</u>
<b>Expenditure Category Total</b>	<b>1.4</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1.4	0.0
<b>Fund Source Total</b>	<b>1.4</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	28.5	0.3
<b>Expenditure Category Total</b>	<b>28.5</b>	<b>0.3</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	28.5	0.0
	<b>28.5</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2500-N IGA and ISA Fund (Non-Appropriated)	0.0	0.3
	<b>0.0</b>	<b>0.3</b>
<b>Fund Source Total</b>	<b>28.5</b>	<b>0.3</b>

<b>Employee Retirement Coverage</b>			
	<b>FTE</b>	<b>Personal Services</b>	<b>Fund#</b>
Arizona State Retirement System	9.0	520.0	AA1000-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$128,400</b>		
<b>Total FTE</b>	<b>Personal Services</b>	<b>FTE's not eligible for Health, Dental &amp; Life</b>
0.0	0.0	0.0

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Licensing

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>					
5-1	Licensing	610.1	646.9	0.0	646.9
<b>Program Summary Total:</b>		610.1	646.9	0.0	646.9
<b>Expenditure Categories</b>					
0000	FTE Positions	8.2	8.2	0.0	8.2
6000	Personal Services	313.8	295.7	0.0	295.7
6100	Employee Related Expenses	148.7	134.7	0.0	134.7
6200	Professional and Outside Services	110.4	110.5	0.0	110.5
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	1.2	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	36.0	106.0	0.0	106.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>		610.1	646.9	0.0	646.9
<b>Fund Source</b>					
<b>Appropriated Funds</b>					
AA1000-A	General Fund (Appropriated)	499.7	536.4	0.0	536.4
		499.7	536.4	0.0	536.4
<b>Non-Appropriated Funds</b>					
ID2034-N	Insurance Examiners Revolving FUND (Non-Appro	110.4	110.5	0.0	110.5
		110.4	110.5	0.0	110.5
<b>Fund Source Total:</b>		610.1	646.9	0.0	646.9



## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Licensing

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

5-1	Licensing	499.7	536.4	0.0	536.4
	Total	499.7	536.4	0.0	536.4

### Appropriated Funding

#### Expenditure Categories

FTE Positions	8.2	8.2	0.0	8.2
Personal Services	313.8	295.7	0.0	295.7
Employee Related Expenses	148.7	134.7	0.0	134.7
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	1.2	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	36.0	106.0	0.0	106.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	499.7	536.4	0.0	536.4
--------------------------------------	-------	-------	-----	-------

<b>Fund AA1000-A Total:</b>	499.7	536.4	0.0	536.4
-----------------------------	-------	-------	-----	-------

<b>Program 5 Total:</b>	499.7	536.4	0.0	536.4
-------------------------	-------	-------	-----	-------

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Licensing

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)
--------------	--

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
5-1	Licensing	110.4	110.5	0.0	110.5
	Total	110.4	110.5	0.0	110.5

### Non-Appropriated Funding

#### Expenditure Categories

Personal Services	0.0	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0	0.0
Professional and Outside Services	110.4	110.5	0.0	110.5
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	<b>110.4</b>	<b>110.5</b>	<b>0.0</b>	<b>110.5</b>
<b>Fund ID2034-N Total:</b>	<b>110.4</b>	<b>110.5</b>	<b>0.0</b>	<b>110.5</b>
<b>Program 5 Total:</b>	<b>110.4</b>	<b>110.5</b>	<b>0.0</b>	<b>110.5</b>

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Licensing

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	8.2	8.2	0.0	8.2
6000 Personal Services	313.8	295.7	0.0	295.7
6100 Employee Related Expenses	148.7	134.7	0.0	134.7
6200 Professional and Outside Services	110.4	110.5	0.0	110.5
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	1.2	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	36.0	106.0	0.0	106.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	610.1	646.9	0.0	646.9
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	499.7	536.4	0.0	536.4
	499.7	536.4	0.0	536.4
<b>Non-Appropriated Funds</b>				
ID2034-N Insurance Examiners Revolving FUND (Non-Appro	110.4	110.5	0.0	110.5
	110.4	110.5	0.0	110.5
<b>Fund Source Total:</b>	610.1	646.9	0.0	646.9

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b> Department of Insurance					
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b> Licensing					
<b>Fund:</b> AA1000-A General Fund					
<b>Appropriated</b>					
0000	FTE	8.2	8.2	0.0	8.2
6000	Personal Services	313.8	295.7	0.0	295.7
6100	Employee Related Expenses	148.7	134.7	0.0	134.7
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	1.2	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	36.0	106.0	0.0	106.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		499.7	536.4	0.0	536.4
<b>Fund Total:</b>		499.7	536.4	0.0	536.4
<b>Program Total For Selected Funds:</b>		499.7	536.4	0.0	536.4

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
	<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>	
	<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>	
<b>Program:</b>	Licensing				
<b>Fund:</b>	ID2034-N Insurance Examiners Revolving FUND				
	<b>Non-Appropriated</b>				
6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Professional and Outside Services	110.4	110.5	0.0	110.5
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	0.0	0.0	0.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	110.4	110.5	0.0	110.5
	<b>Fund Total:</b>	110.4	110.5	0.0	110.5
	<b>Program Total For Selected Funds:</b>	110.4	110.5	0.0	110.5

## Program Expenditure Schedule

Agency:	Department of Insurance	
Program:	Licensing	
	FY 2019 Actual	FY 2020 Expd. Plan
FTE	8.2	8.2
<b>Expenditure Category Total</b>	<b>8.2</b>	<b>8.2</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	8.2	8.2
<b>Fund Source Total</b>	<b>8.2</b>	<b>8.2</b>
<hr/>		
Personal Services	313.8	295.7
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>313.8</b>	<b>295.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	313.8	295.7
<b>Fund Source Total</b>	<b>313.8</b>	<b>295.7</b>
<hr/>		
Employee Related Expenses	148.7	134.7
<b>Expenditure Category Total</b>	<b>148.7</b>	<b>134.7</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	148.7	134.7
<b>Fund Source Total</b>	<b>148.7</b>	<b>134.7</b>
<hr/>		
Professional and Outside Services		110.5
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	110.4	

## Program Expenditure Schedule

Agency:	Department of Insurance	
Program:	Licensing	
	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>110.4</b>	<b>110.5</b>
<b>Non-Appropriated</b>		
ID2034-N Insurance Examiners Revolving FUND (Non-Appropriated)	110.4	110.5
<b>Fund Source Total</b>	<b>110.4</b>	<b>110.5</b>
<hr/>		
Travel In-State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	1.2	0.0
<b>Expenditure Category Total</b>	<b>1.2</b>	<b>0.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	1.2	0.0
<b>Fund Source Total</b>	<b>1.2</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		106.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Licensing</b>

	FY 2019 Actual	FY 2020 Expd. Plan
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.3	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	5.0	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	3.4	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.1	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Licensing</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.3	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.4	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	1.6	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.3	
Costs For Digital Image Or Microfilm	22.6	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	2.0	
<b>Expenditure Category Total</b>	<b>36.0</b>	<b>106.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	36.0	106.0
	<b>36.0</b>	<b>106.0</b>
<b>Fund Source Total</b>	<b>36.0</b>	<b>106.0</b>
Current Year Expenditures		0.0

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Licensing</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Licensing

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>
<hr/>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<u>0.0</u>	<u>0.0</u>

### Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	8.2	295.7	AA1000-A

### Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Premium Tax Collections and Analysis

		FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>					
6-1	Premium Tax Collections and Analysis	161.6	167.1	0.0	167.1
	<b>Program Summary Total:</b>	161.6	167.1	0.0	167.1
<b>Expenditure Categories</b>					
0000	FTE Positions	2.0	2.0	0.0	2.0
6000	Personal Services	103.8	104.5	0.0	104.5
6100	Employee Related Expenses	53.5	47.6	0.0	47.6
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	4.3	15.0	0.0	15.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Expenditure Categories Total:</b>	161.6	167.1	0.0	167.1
<b>Fund Source</b>					
<b>Appropriated Funds</b>					
	AA1000-A General Fund (Appropriated)	161.6	167.1	0.0	167.1
	<b>Fund Source Total:</b>	161.6	167.1	0.0	167.1

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Premium Tax Collections and Analysis

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	AA1000-A General Fund (Appropriated)
--------------	--------------------------------------

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

6-1	Premium Tax Collections and Analysis	161.6	167.1	0.0	167.1
	Total	161.6	167.1	0.0	167.1

### Appropriated Funding

#### Expenditure Categories

FTE Positions	2.0	2.0	0.0	2.0
Personal Services	103.8	104.5	0.0	104.5
Employee Related Expenses	53.5	47.6	0.0	47.6
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	4.3	15.0	0.0	15.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

<b>Expenditure Categories Total:</b>	161.6	167.1	0.0	167.1
--------------------------------------	-------	-------	-----	-------

<b>Fund AA1000-A Total:</b>	161.6	167.1	0.0	167.1
-----------------------------	-------	-------	-----	-------

<b>Program 6 Total:</b>	161.6	167.1	0.0	167.1
-------------------------	-------	-------	-----	-------

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Premium Tax Collections and Analysis

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	2.0	2.0	0.0	2.0
6000 Personal Services	103.8	104.5	0.0	104.5
6100 Employee Related Expenses	53.5	47.6	0.0	47.6
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	4.3	15.0	0.0	15.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	161.6	167.1	0.0	167.1
<b>Fund Source</b>				
<b>Appropriated Funds</b>				
AA1000-A General Fund (Appropriated)	161.6	167.1	0.0	167.1
	161.6	167.1	0.0	167.1
<b>Fund Source Total:</b>	161.6	167.1	0.0	167.1

## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
	FY 2019	FY 2020	FY 2021	FY 2021	
	Actual	Expd. Plan	Fund. Issue	Total Request	
<b>Program:</b>	Premium Tax Collections and Analysis				
<b>Fund:</b>	AA1000-A General Fund				
<b>Appropriated</b>					
0000	FTE	2.0	2.0	0.0	2.0
6000	Personal Services	103.8	104.5	0.0	104.5
6100	Employee Related Expenses	53.5	47.6	0.0	47.6
6200	Professional and Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	4.3	15.0	0.0	15.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
<b>Appropriated Total:</b>		161.6	167.1	0.0	167.1
<b>Fund Total:</b>		161.6	167.1	0.0	167.1
<b>Program Total For Selected Funds:</b>		161.6	167.1	0.0	167.1

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Premium Tax Collections and Analysis</b>

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	2.0	2.0
<b>Expenditure Category Total</b>	<b>2.0</b>	<b>2.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	2.0	2.0
<b>Fund Source Total</b>	<b>2.0</b>	<b>2.0</b>
<hr/>		
Personal Services	103.8	104.5
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>103.8</b>	<b>104.5</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	103.8	104.5
<b>Fund Source Total</b>	<b>103.8</b>	<b>104.5</b>
<hr/>		
Employee Related Expenses	53.5	47.6
<b>Expenditure Category Total</b>	<b>53.5</b>	<b>47.6</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	53.5	47.6
<b>Fund Source Total</b>	<b>53.5</b>	<b>47.6</b>
<hr/>		
Professional and Outside Services		0.0
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	0.0	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel In-State	0.0	0.0



## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Premium Tax Collections and Analysis</b>

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Travel Out of State	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		15.0
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.4	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	1.6	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Premium Tax Collections and Analysis</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	0.0	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.0	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	0.0	
Other Education And Training Costs	0.5	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Premium Tax Collections and Analysis</b>

	FY 2019 Actual	FY 2020 Expd. Plan
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.1	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.0	
Dues	0.0	
Books- Subscriptions And Publications	0.1	
Costs For Digital Image Or Microfilm	1.6	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.0	
<b>Expenditure Category Total</b>	<b>4.3</b>	<b>15.0</b>
<b>Appropriated</b>		
AA1000-A General Fund (Appropriated)	4.3	15.0
<b>Fund Source Total</b>	<b>4.3</b>	<b>15.0</b>
<hr/>		
Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Premium Tax Collections and Analysis</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	0.0	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		

<b>Employee Retirement Coverage</b>
-------------------------------------

Personal

# Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Premium Tax Collections and Analysis

	FTE	FY 2019 Actual	FY 2020 Expd. Plan
<b>Retirement System</b>		<b>Services</b>	<b>Fund#</b>
Arizona State Retirement System	2.0	104.5	AA1000-A

<b>Combined Regular &amp; Elected Positions At/Above FICA Maximum of \$128,400</b>
--

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

## Program Summary of Expenditures and Budget Request

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Captive Insurer Program

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
<b>Program Summary</b>				
7-1 Captive Insurer Program	319.0	396.1	0.0	396.1
<b>Program Summary Total:</b>	319.0	396.1	0.0	396.1
<b>Expenditure Categories</b>				
0000 FTE Positions	3.6	3.6	0.0	3.6
6000 Personal Services	213.3	249.7	0.0	249.7
6100 Employee Related Expenses	68.6	113.8	0.0	113.8
6200 Professional and Outside Services	5.1	5.1	0.0	5.1
6500 Travel In-State	2.2	0.0	0.0	0.0
6600 Travel Out of State	9.8	10.0	0.0	10.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	16.9	17.5	0.0	17.5
8000 Equipment	3.1	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	319.0	396.1	0.0	396.1
<b>Fund Source</b>				
<b>Non-Appropriated Funds</b>				
ID2377-N Captive Insurance Regulatory and Supervision Fu	319.0	396.1	0.0	396.1
<b>Fund Source Total:</b>	319.0	396.1	0.0	396.1

## Program Group Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Captive Insurer Program

	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
--	-------------------	-----------------------	------------------------	--------------------------

<b>Fund:</b>	ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-Appropriated)
--------------	---

### Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT					
7-1	Captive Insurer Program	319.0	396.1	0.0	396.1
	Total	319.0	396.1	0.0	396.1

### Non-Appropriated Funding

#### Expenditure Categories

FTE Positions	3.6	3.6	0.0	3.6
Personal Services	213.3	249.7	0.0	249.7
Employee Related Expenses	68.6	113.8	0.0	113.8
Professional and Outside Services	5.1	5.1	0.0	5.1
Travel In-State	2.2	0.0	0.0	0.0
Travel Out of State	9.8	10.0	0.0	10.0
Food	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	16.9	17.5	0.0	17.5
Equipment	3.1	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	319.0	396.1	0.0	396.1
<b>Fund ID2377-N Total:</b>	319.0	396.1	0.0	396.1
<b>Program 7 Total:</b>	319.0	396.1	0.0	396.1

## Program Budget Unit Summary of Expenditures and Budget Request for All Funds

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Captive Insurer Program

Expenditure Categories	FY 2019 Actual	FY 2020 Expd. Plan	FY 2021 Fund. Issue	FY 2021 Total Request
0000 FTE	3.6	3.6	0.0	3.6
6000 Personal Services	213.3	249.7	0.0	249.7
6100 Employee Related Expenses	68.6	113.8	0.0	113.8
6200 Professional and Outside Services	5.1	5.1	0.0	5.1
6500 Travel In-State	2.2	0.0	0.0	0.0
6600 Travel Out of State	9.8	10.0	0.0	10.0
6700 Food	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	16.9	17.5	0.0	17.5
8000 Equipment	3.1	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
<b>Expenditure Categories Total:</b>	319.0	396.1	0.0	396.1
<b>Fund Source</b>				
<b>Non-Appropriated Funds</b>				
ID2377-N Captive Insurance Regulatory and Supervision Fu	319.0	396.1	0.0	396.1
<b>Fund Source Total:</b>	319.0	396.1	0.0	396.1



## Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

<b>Agency:</b>	Department of Insurance				
		<b>FY 2019</b>	<b>FY 2020</b>	<b>FY 2021</b>	<b>FY 2021</b>
		<b>Actual</b>	<b>Expd. Plan</b>	<b>Fund. Issue</b>	<b>Total Request</b>
<b>Program:</b>	Captive Insurer Program				
<b>Fund:</b>	ID2377-N Captive Insurance Regulatory and Supervision Fund				
	<b>Non-Appropriated</b>				
0000	FTE	3.6	3.6	0.0	3.6
6000	Personal Services	213.3	249.7	0.0	249.7
6100	Employee Related Expenses	68.6	113.8	0.0	113.8
6200	Professional and Outside Services	5.1	5.1	0.0	5.1
6500	Travel In-State	2.2	0.0	0.0	0.0
6600	Travel Out of State	9.8	10.0	0.0	10.0
6700	Food	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	16.9	17.5	0.0	17.5
8000	Equipment	3.1	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
	<b>Non-Appropriated Total:</b>	319.0	396.1	0.0	396.1
	<b>Fund Total:</b>	319.0	396.1	0.0	396.1
	<b>Program Total For Selected Funds:</b>	319.0	396.1	0.0	396.1

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Captive Insurer Program</b>

	FY 2019 Actual	FY 2020 Expd. Plan
FTE	3.6	3.6
<b>Expenditure Category Total</b>	<b>3.6</b>	<b>3.6</b>
<b>Non-Appropriated</b>		
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	3.6	3.6
<b>Fund Source Total</b>	<b>3.6</b>	<b>3.6</b>
<hr/>		
Personal Services	213.3	249.7
Boards and Commissions	0.0	0.0
<b>Expenditure Category Total</b>	<b>213.3</b>	<b>249.7</b>
<b>Non-Appropriated</b>		
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	213.3	249.7
<b>Fund Source Total</b>	<b>213.3</b>	<b>249.7</b>
<hr/>		
Employee Related Expenses	68.6	113.8
<b>Expenditure Category Total</b>	<b>68.6</b>	<b>113.8</b>
<b>Non-Appropriated</b>		
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	68.6	113.8
<b>Fund Source Total</b>	<b>68.6</b>	<b>113.8</b>
<hr/>		
Professional and Outside Services		5.1
External Prof/Outside Serv Budg And Appn	0.0	
External Investment Services	0.0	
Other External Financial Services	0.0	
Attorney General Legal Services	5.1	
External Legal Services	0.0	
External Engineer/Architect Cost - Exp	0.0	
External Engineer/Architect Cost- Cap	0.0	
Other Design	0.0	
Temporary Agency Services	0.0	
Hospital Services	0.0	
Other Medical Services	0.0	
Institutional Care	0.0	
Education And Training	0.0	
Vendor Travel	0.0	
Professional & Outside Services Excluded from Cost Alloca	0.0	
Vendor Travel - Non Reportable	0.0	
External Telecom Consulting Services	0.0	
Costs related to those in custody of the State	0.0	
Non - Confidential Specialist Fees	0.0	
Confidential Specialist Fees	0.0	
Outside Actuarial Costs	0.0	
Other Professional And Outside Services	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Captive Insurer Program

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>5.1</b>	<b>5.1</b>
<b>Non-Appropriated</b>		
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	5.1	5.1
<b>Fund Source Total</b>	<b>5.1</b>	<b>5.1</b>
<hr/>		
Travel In-State	2.2	0.0
<b>Expenditure Category Total</b>	<b>2.2</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	2.2	0.0
<b>Fund Source Total</b>	<b>2.2</b>	<b>0.0</b>
<hr/>		
Travel Out of State	9.8	10.0
<b>Expenditure Category Total</b>	<b>9.8</b>	<b>10.0</b>
<b>Non-Appropriated</b>		
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	9.8	10.0
<b>Fund Source Total</b>	<b>9.8</b>	<b>10.0</b>
<hr/>		
Food	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Aid to Organizations and Individuals	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Other Operating Expenses		17.5
Other Operating Expenditures Budg Approp	0.0	
Other Operating Expenditures Excluded from Cost Allocati	0.0	
Risk Management Charges To State Agency	0.0	
Risk Management Deductible - Indemnity	0.0	
Risk Management Deductible - Legal	0.0	
Risk Management Deductible - Medical	0.0	
Risk Management Deductible - Other	0.0	
Gen Liab- Non Physical-Taxable- Self Ins	0.0	
Gross Proceeds Payments To Attorneys	0.0	
General Liability- Non-Taxable- Self Ins	0.0	
Medical Malpractice - Self-Insured	0.0	
Automobile Liability - Self Insured	0.0	
General Property Damage - Self- Insured	0.0	
Automobile Physical Damage-Self Insured	0.0	
Liability Insurance Premiums	0.0	
Property Insurance Premiums	0.0	
Workers Compensation Benefit Payments	0.0	
Self Insurance - Administrative Fees	0.0	
Self Insurance - Premiums	0.0	
Self Insurance - Claim Payments	0.0	
Self Insurance - Pharmacy Claims	0.0	
Premium Tax On Altcs	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Captive Insurer Program</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Other Insurance-Related Charges	0.0	
Internal Service Data Processing	0.0	
Internal Service Data Proc- Pc/Lan	0.0	
External Programming-Mainframe/Legacy	0.0	
External Programming- Pc/Lan/Serv/Web	0.0	
External Data Entry	0.0	
Othr External Data Proc-Mainframe/Legacy	0.0	
Othr External Data Proc-Pc/Lan/Serv/Web	0.0	
Pmt for AFIS Development & Usage	0.3	
Internal Service Telecommunications	0.0	
External Telecom Long Distance-In-State	1.6	
External Telecom Long Distance-Out-State	0.0	
Other External Telecommunication Service	0.0	
Electricity	0.0	
Sanitation Waste Disposal	0.0	
Water	0.0	
Gas And Fuel Oil For Buildings	0.0	
Other Utilities	0.0	
Building Rent Charges To State Agencies	0.0	
Priv Lease To Own Bld Rent Chrgs To Agy	0.0	
Cert Of Part Bld Rent Chrgs To Agy	10.3	
Rental Of Land And Buildings	0.0	
Rental Of Computer Equipment	0.0	
Rental Of Other Machinery And Equipment	0.0	
Miscellaneous Rent	0.0	
Interest On Overdue Payments	0.0	
All Other Interest Payments	0.0	
Internal Acct/Budg/Financial Svcs	0.0	
Other Internal Services	0.0	
Repair And Maintenance - Buildings	0.0	
Repair And Maintenance - Vehicles	0.0	
Repair And Maint - Mainframe And Legacy	0.0	
Repair And Maint-Pc/Lan/Serv/Web	0.0	
Repair And Maintenance - Other Equipment	0.0	
Other Repair And Maintenance	0.0	
Software Support And Maintenance	0.1	
Uniforms	0.0	
Inmate Clothing	0.0	
Security Supplies	0.0	
Office Supplies	0.0	
Computer Supplies	0.0	
Housekeeping Supplies	0.0	
Bedding And Bath Supplies	0.0	
Drugs And Medicine Supplies	0.0	
Medical Supplies	0.0	
Dental Supplies	0.0	
Automotive And Transportation Fuels	0.0	
Automotive Lubricants And Supplies	0.0	
Rpr And Maint Supplies-Not Auto Or Build	0.0	
Repair And Maintenance Supplies-Building	0.0	
Other Operating Supplies	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Captive Insurer Program</b>

	FY 2019 Actual	FY 2020 Expd. Plan
Publications	0.0	
Aggregate Withheld Or Paid Commissions	0.0	
Lottery Prizes	0.0	
Lottery Distribution Costs	0.0	
Material for Further Processing	0.0	
Other Resale Supplies	0.0	
Loss On Sales Of Capital Assets	0.0	
Loss on Sales of Investments	0.0	
Employee Tuition Reimbursement-Graduate	0.0	
Employee Tuition Reimb Under-Grad/Other	0.0	
Conference Registration-Attendance Fees	3.2	
Other Education And Training Costs	0.0	
Advertising	0.0	
Sponsorships	0.0	
Internal Printing	0.0	
External Printing	0.0	
Photography	0.0	
Postage And Delivery	0.0	
Document shredding and Destruction Services	0.0	
Translation and Sign Language Services	0.0	
Distribution To State Universities	0.0	
Other Intrastate Distributions	0.0	
Awards	0.0	
Entertainment And Promotional Items	0.4	
Dues	0.4	
Books- Subscriptions And Publications	0.2	
Costs For Digital Image Or Microfilm	0.2	
Revolving Fund Advances	0.0	
Credit Card Fees Over Approved Limit	0.0	
Relief Bill Expenditures	0.0	
Surplus Property Distr To State Agencies	0.0	
Security Services	0.0	
Judgments - Damages	0.0	
ICA Payments to Claimants Confidential	0.0	
Jdgmnt-Confidential Restitution To Indiv	0.0	
Judgments - Non-Confidential Restitution	0.0	
Judgments - Punitive And Compensatory	0.0	
Pmts Made to Resolve/Disputes/Avoid Costs of Litigation	0.0	
Pmts For Contracted State Inmate Labor	0.0	
Payments To State Inmates	0.0	
Bad Debt Expense	0.0	
Interview Expense	0.0	
Employee Relocations-Nontaxable	0.0	
Employee Relocations-Taxable	0.0	
Non-Confidential Invest/Legal/Law Enf	0.0	
Conf/Sensitive Invest/Legal/Undercover	0.0	
Fingerprinting, Background Checks, Etc.	0.0	
Other Miscellaneous Operating	0.2	

## Program Expenditure Schedule

<b>Agency:</b>	<b>Department of Insurance</b>
<b>Program:</b>	<b>Captive Insurer Program</b>

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>16.9</b>	<b>17.5</b>
<b>Non-Appropriated</b>		
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	16.9	17.5
<b>Fund Source Total</b>	<b>16.9</b>	<b>17.5</b>

---

Current Year Expenditures		0.0
Capital Equipment Budget And Approp	0.0	
Vehicles Capital Purchase	0.0	
Vehicles Capital Leases	0.0	
Furniture Capital Purchase	0.0	
Depreciable Works Of Art & Hist Treas/Coll Capital Purcha	0.0	
Non Depr Works Of Art & Hist Treas/Coll Cap Purchase	0.0	
Furniture Capital Leases	0.0	
Computer Equipment Capital Purchase	0.0	
Computer Equipment Capital Lease	0.0	
Telecommunication Equip-Capital Purchase	0.0	
Telecommunication Equip-Capital Lease	0.0	
Other Equipment Capital Purchase	0.0	
Other Equipment Capital Leases	0.0	
Purchased Or Licensed Software-Website	0.0	
Internally Generated Software-Website	0.0	
Development in Progress	0.0	
Right-Of-Way/Easement/Extraction Rights	0.0	
Oth Int Assets purchased, licensed or internally generate	0.0	
Other intangible assets acquired by capital lease	0.0	
Other Capital Asset Purchases	0.0	
Leasehold Improvement-Capital Purchase	0.0	
Other Capital Asset Leases	0.0	
Non-Capital Equip Budget And Approp	0.0	
Vehicles Non-Capital Purchase	0.0	
Vehicles Non-Capital Leases	0.0	
Furniture Non-Capital Purchase	0.0	
Works Of Art And Hist Treas-Non Capital	0.0	
Furniture Non-Capital Leases	0.0	
Computer Equipment Non-Capital Purchase	3.1	
Computer Equipment Non-Capital Lease	0.0	
Telecomm Equip Non-Capital Purchase	0.0	
Telecomm Equip Non-Capital Leases	0.0	
Other Equipment Non-Capital Purchase	0.0	
Weapons Non-Capital Purchase	0.0	
Other Equipment Non-Capital Lease	0.0	
Purchased Or Licensed Software/Website	0.0	
Internally Generated Software/Website	0.0	
LICENSES AND PERMITS	0.0	
Right-Of-Way/Easement/Extraction Exp	0.0	
Other Intangible Assets - Purchased, Licensed or Internall	0.0	
Noncapital Software/Web By Capital Lease	0.0	
Other Intangible Assets Acquired by Capital Lease	0.0	
Other Long Lived Tangible Assets to be Expenses	0.0	
Non-Capital Equipment Excluded from Cost Allocation	0.0	

## Program Expenditure Schedule

<b>Agency:</b>	Department of Insurance
<b>Program:</b>	Captive Insurer Program

	FY 2019 Actual	FY 2020 Expd. Plan
<b>Expenditure Category Total</b>	<b>3.1</b>	<b>0.0</b>
<b>Non-Appropriated</b>		
ID2377-N Captive Insurance Regulatory and Supervision Fund (Non-	3.1	0.0
<b>Fund Source Total</b>	<b>3.1</b>	<b>0.0</b>
<hr/>		
Capital Outlay	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Debt Service	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Cost Allocation	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>
<hr/>		
Transfers	0.0	0.0
<b>Expenditure Category Total</b>	<b>0.0</b>	<b>0.0</b>

### Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
Arizona State Retirement System	3.6	249.7	ID2377-N

### Combined Regular & Elected Positions At/Above FICA Maximum of \$128,400

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
0.0	0.0	0.0

## Administrative Costs

**Agency:** Department of Insurance

### Administrative Costs Summary

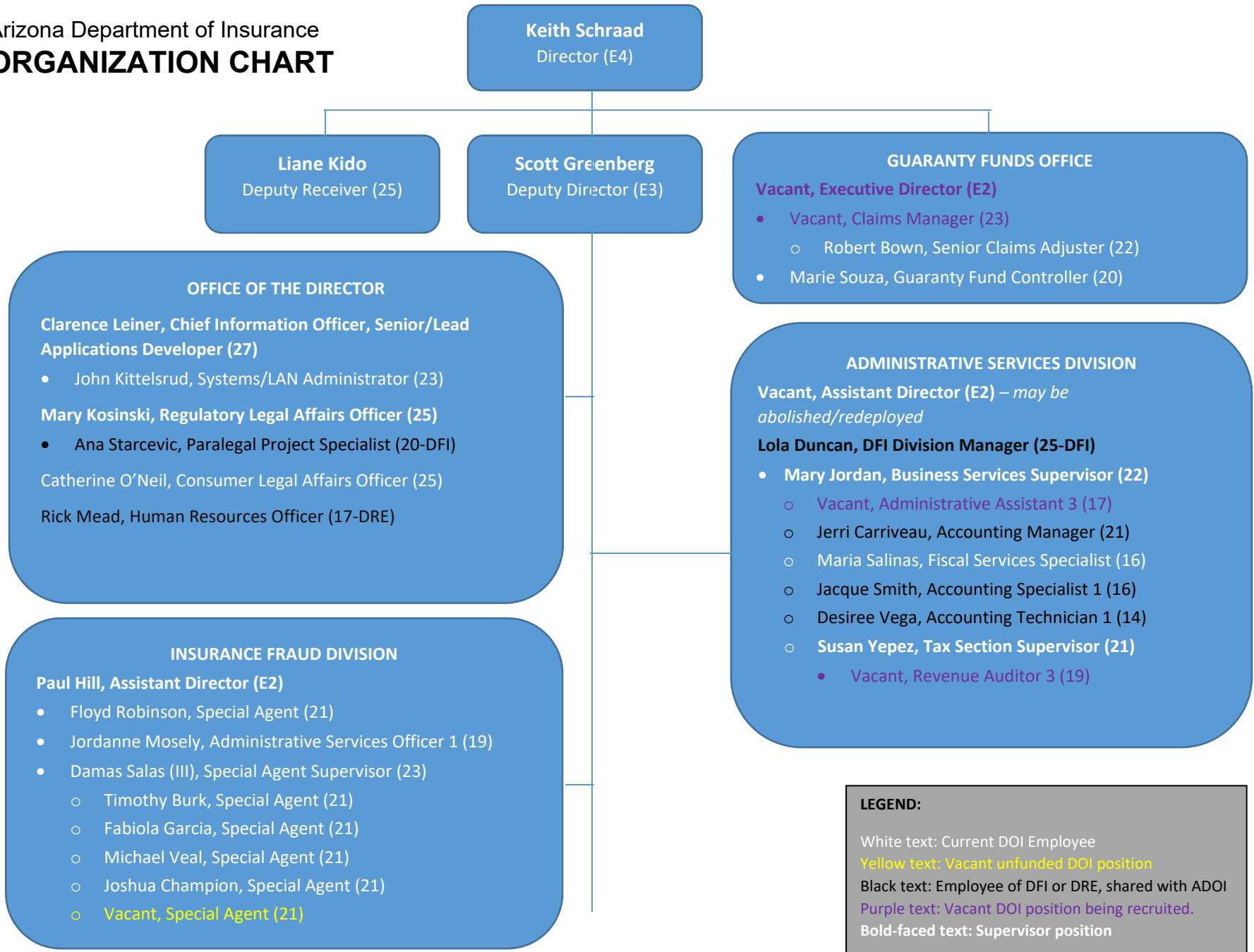
Common Administrative Area	FY 2021
Personal Services	735.3
ERE	335.1
All Other	486.5
<b>Administrative Costs Total:</b>	<b>1,556.9</b>

### Administrative Cost / Total Expenditure Ratio

	Request	Admin %
FY 2021	9,386.2	16.6%



Arizona Department of Insurance  
**ORGANIZATION CHART**



Arizona Department of Insurance  
**ORGANIZATION CHART**

**Scott Greenberg**  
Deputy Director (E3)

**MARKET OVERSIGHT DIVISION**

**Erin Klug, Assistant Director**

- Steven Noble, Administrative Assistant 2 (15)
- **Mary Boatright, Life/Health Insurance Analyst Supervisor (25)**
  - Audrey Franklin, HCSO Compliance Administrator (23)
  - Tom Boston, Senior Insurance Analyst (22)
  - Tolanda Coker, Senior Insurance Analyst (22)
  - Sterling Gavette, Senior Insurance Analyst (22)
  - Vanessa Darrah, Senior Insurance Analyst (22)
  - Delon Price, Senior Insurance Analyst (22)
  - Carla Thomas, Life/Health Administrative Officer (19)
- **Tom Zuppan, Property/Casualty Insurance Analyst Supervisor (24)**
  - Brooke Lovallo, Senior Insurance Analyst (22)
- **Maria Ailor, Insurance Analyst Supervisor (24)**
  - Cheryl Hawley, Senior Insurance Analyst (22)
  - Vacant, ID Program Administrator (22)

**CONSUMER PROTECTION DIVISION**

**Steven Fromholtz, Assistant Director (E2)**

- Sharyn Kerr, Administrative Assistant 3 (17)
- **Gloria Barnes-Jackson, Consumer Assistance Section Supervisor (21)**
  - Lari Snodgrass, Consumer Services Specialist 2 (18)
  - Dawn Lucero, Consumer Services Specialist 2 (18)
  - Deborah Claw, Consumer Services Specialist 2 (18)
  - Carlos Egurrola, Consumer Services Specialist 2 (18)
  - Gary Henton, Consumer Services Specialist 2 (18)
  - Vacant, Consumer Services Specialist 2 (18)
- **Wendy Greenwood, Investigations Supervisor 2 (20)**
  - Jeffrey Eavenson, Investigator 3 (18)
  - Alexis Ortiz, Investigator 3 (18)
- **Susana Lesmeister, Health Care Appeals Administrator (21)**
  - Jeanette Villines, Insurance Analyst (20)
- **Aqueelah Currie, Licensing Supervisor, Administrative Services Officer 1 (19)**
  - Cameron Frerichs, Administrative Assistant 2 (15)
  - Cassandra Diggs, Administrative Assistant 2 (15)
  - Victoria Chavez, Administrative Assistant 2 (15)
  - Shanita Ferguson, Administrative Assistant 2 (15)

Arizona Department of Insurance  
**ORGANIZATION CHART**

**Scott Greenberg**  
Deputy Director (E3)

**FINANCIAL AFFAIRS DIVISION**

**Kurt Regner, Assistant Director (E2)**

- Robert Kell, Actuary (27)
- Richard Johnson, Executive Consultant 1 (20)
- **Cary Cook, Administrative Services Officer 4 (23)**
  - Erica Bowsher, Insurance Analyst (20)
  - Anthony McCormack, Administrative Assistant 3 (17)
  - Francine Martinez, Administrative Assistant 3 (17)
  - Christopher Ochoa, Administrative Assistant 1 (13)
- **David Lee, Chief Financial Examiner (27)**
  - Julie Bond, Financial Affairs Coordinator (24)
  - Kathy Schmidt, Program Administrator (22)
- **Scott Persten, Chief Financial Analyst (25)**
  - David Lathrop, Financial Analyst 3 (23)
  - Alan Walters, Financial Analyst 3 (23)
  - L. Tami Posey, Financial Analyst 2 (21)
  - **Vacant, Financial Analyst 2 (21)**
  - Stephen Brent, Financial Analyst 1 (19)
  - John Butler, Financial Analyst 1 (19)
- **Vincent Gosz, Specialty Insurer Examinations Mgr (25)**
  - Rae Ann Hughes, Financial Analyst 2 (21)
  - Stephen Briggs, Executive Consultant I (20)